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#### COUNCIL ON DENTAL EDUCATION AND LICENSURE AMERICAN DENTAL ASSOCIATION **VIDEO CONFERENCE, CHICAGO JANUARY 21-22, 2021**

Call to Order: Dr. Jacqueline Plemons, chair, called a regular meeting of the Council on Dental 5 6 Education and Licensure to order on Thursday, January 21, 2021 at 4:00 p.m. via video conference.

Roll Call: Dr. Cheska Avery-Stafford, Dr. Uri Hangorsky, Dr. Willis Stanton Hardesty Jr., Dr. Eileen R. 7 Hoskin, Dr. Steven M. Lepowsky, Dr. Jun S. Lim, Dr. William M. Litaker, Dr. Maurice S. Miles, Dr. Barbara 8 9 L. Mousel, Dr. James D. Nickman, Dr. David L. Nielson, Dr. Joan Otomo-Corgel, Dr. Jacqueline Plemons, Dr. Bruce R. Terry and Dr. Donna Thomas-Moses were present. Dr. Linda C. Niessen and Dr. Daniel 10 A. Hammer attended portions of the meeting. 11

12 Dr. Linda Himmelberger attended as the ADA Board Liaison to the Council. Ms. Sydney Shapiro attended as student liaison of the American Student Dental Association. 13

The following guests attended portions of the meeting: Ms. Catherine Baumann, Director, National 14

Commission on Recognition of Dental Specialties and Certifying Boards, Ms. Mary Borysewicz, Director, 15

Commission for Continuing Education Provider Recognition, Dr. Benoit Soucy, Director, Clinical and 16

Scientific Affairs, Canadian Dental Association, Dr. Denice Stewart, Chief Policy Officer, American Dental 17

Education Association, Dr. Sherin Tooks, Director, Commission on Dental Accreditation and Dr. David 18

19 Waldschmidt, Director, Testing Services and Secretary, Joint Commission on National Dental Examinations. 20

In addition to the Council staff, the following ADA staff members attended all or portions of the meeting: 21

Ms. Cathryn Albrecht, Senior Associate General Counsel, Mr. Thomas Elliott, Deputy General Counsel 22

and Director, Council on Ethics, Bylaws and Judicial Affairs, Dr. Daniel J. Klemmedson, President, 23 American Dental Association, Dr. Kathleen T. O'Loughlin, Executive Director, Dr. Cesar R. Sabates, President-

24 elect, American Dental Association and Dr. Anthony J. Ziebert, Senior Vice-President, Education and

- 25
- Professional Affairs. 26

#### 27 Adoption of Agenda, Disclosure of Business or Personal Relationships, and ADA Professional

28 **Conduct Policy:** The Council approved the agenda, and authorized the chair to alter the order of the agenda items as necessary to expedite business. Dr. Plemons directed the Council's attention to the 29

ADA Disclosure Policy. No personal, professional or business relationships were disclosed. 30

- Affirmation of E-mail Ballots: The Council acknowledged e-mail ballots since the June 2020 meeting: 31
- a. Minutes: June 17-18, 2020 (Ballot 2020-2) 32
  - b. Minutes: September 21, 2020 (Ballot 2020-3)
  - c. Support for American Dental Board of Medicine Application for National Certifying Board
- 35 Recognitions (Ballot 2021-1)

Consent Calendar: A consent calendar was prepared to expedite the business of the Council. Dr. 36

Plemons reminded Council members that any report, recommendation or resolution could be removed 37

from the consent calendar for discussion. The Dental Education's Report, Update on Activities of the 38

Commission on Dental Accreditation (CODA), was removed from the Consent Calendar by Dr. Linda 39

Niessen. The following reports in their entirety were placed on the consent calendar and adopted as 40

received: 41

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**Dental Education Committee:** 42

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- 2 Annual Report of the National Board for Certification in Dental Laboratory Technology (NBC)
- 3 Annual Report of the Dental Assisting Nation Board, Inc. (DANB)
- 4 Actions Taken by the 2020 House of Delegates

#### 5 Licensure Committee:

- 6 Actions Taken by the 2020 House of Delegates
- 7 Update on the Review of the CEBJA Statement "Ethical Consideration When Using Patients in
- 8 the Examination Process"
- 9 Update on Bills and Changes in State Regulations
- 10 Update on the DLOSCE
- 11 Update on the Coalition for Modernizing Dental Licensure

### 12 Recognition of Specialties and Interests Areas in General Dentistry Committee:

13 Review of American Dental Board of Oral Medicine Application for National Board Recognition

#### 14 Anesthesiology Committee:

15 Update on Plans for 2020 Invitational Consensus Conference

#### 16 Reports of Related Groups

ADA Board of Trustees Liaison: On the behalf of the Board of Trustees, Dr. Himmelberger provided the Council with an update on ADA Board activities. Dr. Himmelberger commended Dr. O'Loughlin and all ADA staff for their hard work and dedication supporting members and dentistry during the COVID-19 crisis, particularly advocating for PPP loans, helping dentists get on the list for PPE distribution and providing return to work guidance and other resources to members and nonmembers. Dr. Himmelberger also noted that she is a former Council member and pleased to be serving as the Council's Board Liaision this year.

- 24
- American Association of Dental Boards (AADB): Dr. Robert Zena was unable to attend and no
   written report was submitted.
- 27

American Dental Education Association (ADEA): On behalf of ADEA, Dr. Stewart thanked the ADA 28 and Council for their efforts during the COVID-19 pandemic and proceeded with highlighting recent 29 ADEA events and activities. Dr. Stewart announced that the ADEA 2021 Annual Session and 30 31 Exhibition will be held virtually on March 13-16, 2021. Council members were extended an invitation and directed to ADEA's website for additional information and registration. Dr. Stewart shared that the 32 online community platform, ADEA Connect, launched in early 2020, proved to be an effective platform 33 34 during the COVID-19 pandemic, enabling communication between ADEA councils, members and staff. ADEA Connect has 97 communities that are engaging members in discussion and collaborative 35 exchange. Dr. Stewart reported that in the summer and fall 2020 ADEA, Henry Schein, Inc. and the 36 Federal Emergency Management Agency (FEMA) collaborated on an initiative that involved procuring 37 and delivering KN95 masks to dental schools and allied dental education programs to be used for 38

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patient care. Close to 3 million masks were distributed to 290 U.S. dental schools and allied dental
 programs.

- 3 4 Dr. Stewart reported that in 2020 the Summer Health Professions Education Program (SHPEP) was 5 delivered online successfully to more than 930 scholars. In December 2020, ADEA released a Request 6 for Proposal (RFP) to develop, implement and analyze a dental education-wide climate assessment. ADEA plans to invite dental schools and allied dental education programs in the United States and 7 8 Canada to participate in the study to gather baseline data across dental education that will assist institutions in developing strategies to create a more inclusive environment for all persons. Dr. Stewart 9 10 shared that the Leadership Institute, designed to develop the most promising individuals at academic institutions to become future leaders in dental and higher education, took place virtually on. June 23-26, 11 2020. ADEA also offers the Leadership Essentials for Allied Dental Educators, a program that provides 12 leadership training for dental assisting, dental hygiene, dental laboratory technology and dental therapy 13 adjunct faculty. Dr. Stewart reported that dental school applications have increased when compared to 14 the amount of applications received the same time last year. She concluded her presentation by 15 16 sharing that as a result of the COVID-19 pandemic, ADEA eLearn experienced a rapid rise in traffic and 17 engagement of members in presenting and participating in learning activities. From June 2020 to December 2020, ADEA eLearn hosted over 50 webinars and presentations. 18
- 19

20 **Canadian Dental Association (CDA):** Dr. Soucy shared that as a result of the COVID-19 pandemic, the Canadian Dental Association (CDA) was forced to completely reorganize its activities after February 21 2020 resulting in priorities being shelved and focus given to managing the impact of the resulting crisis 22 on dentistry and oral health. Dr. Soucy also highlighted that because the CDA reacted quickly to the 23 pandemic, it was able to control its revenue loss. Dr. Soucy reported that the CDA held two dental 24 25 aptitude tests planned for 2020 by implementing the following changes: reorganizing the scoring of the Manual Dexterity Test, rewriting the registration software, and subcontracting test administration to 26 dental schools. Dr. Soucy concluded his report by thanking the staff of the ADA Department of Testing 27 28 Services (DTS) for the assistance provided with the changes that were implemented to ensure that the psychometric validity of the November 2020 Dental Aptitude Test (DAT) would not be impacted. The 29 CDA looks forward to collaborating with DTS as it transitions its DAT to a computer-based format to 30 provide Canadian Dental Schools with additional flexibility in delivering the tests. 31

32

33 Commission of Dental Accreditation (CODA): Dr. Tooks shared that the Commission's 2020 Annual 34 Report is now available on CODA's website. She reported that currently there are 1,417 CODA-35 accredited education programs in approximately 800 institutions. During its October 13, 2020 Special Closed Meeting, the Commission reviewed interruption of education reports on predoctoral dental 36 37 education programs, advanced dental education programs and allied dental education programs for the 38 Class of 2020. The Commission also considered meeting reports of its 14 Review Committees related to the interruption of education for the Class of 2021. Dr. Tooks explained that having this review 39 process in place enables the Commission to assess educational programs' continued compliance with 40 educational standards during the COVID-19 pandemic. Recognizing the impact of COVID-19 on travel 41 42 and on CODA-accredited dental and dental-related education programs, the Commission directed that any remaining site visits through 2020 be canceled and rescheduled in 2021. Dr. Tooks explained that 43 44 according to the United States Department of Education (USDE), CODA and/or any accrediting agency 45 may conduct site visits virtually at its discretion, but would be expected to visit the programs in-person at a later date. 46

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Dr. Tooks highlighted that in 2019 and 2020, the Commission established the following three Ad Hoc 1 2 Committees: Ad Hoc Committee on Review Committee and Commission Structure and Function, Ad Hoc Committee on Educational Activity Sites, and Ad Hoc Committee on Alternative Site Visit Methods. 3 4 The Ad Hoc Committee on Alternative Site Visit Methods has been tasked to study virtual site visits, 5 including the development of policies and procedures for the conduct of virtual visits with a report to the 6 Commission at its Winter 2021 meeting. CODA continues to monitor USDE updates related to COVID-7 19 and has created a COVID-19 Update Page, a webpage that monitors the impact of COVID-19 on CODA-accredited programs and provides the list of Temporary Flexibility in Accreditation Standards 8 9 and Interruption of Education Guidelines. Dr. Tooks reported that the USDE has extended all the flexibilities from Spring 2020 for the duration of the national emergency declaration and for 180 days 10

11 following the date on which the COVID-19 national emergency declaration is rescinded.

12

A Council member asked Dr. Tooks to provide an example of how a dental education program would 13 14 demonstrate compliance with accreditation standards during the COVID-19 pandemic. Dr. Tooks 15 shared that a dental education program must assure CODA that it has and continues to assess the competency of its graduates. A Council member asked Dr. Tooks about the status of the secure web 16 portal for program submissions. Dr. Tooks responded that the portal is anticipated to go live on 17 February 1, 2021 and assured the Council that Commissioners and CODA staff will work with programs 18 that have upcoming submissions. A Council member thanked Dr. Tooks and her entire team for being 19 responsive to programs' inquiries and its efforts on moving forward during these challenging times. Dr. 20 Tooks concluded her report by sharing that CODA will be conducting Validity and Reliability studies in 21 22 2021 for its predoctoral standards, oral & maxillofacial standards and anesthesiology standards. 23

24 Joint Commission on National Dental Examinations (JNCDE): Dr. David Waldschmidt shared an update on activities of the Joint Commission on National Dental Examinations (JCNDE). In 2020, the 25 JCNDE successfully released the Dental Licensure Objective Structured Clinical Exam (DLOSCE) and 26 27 the Integrated National Board Dental Examination (INBDE), introduced the short-form National Board 28 Dental Hygiene Examination (NBDHE) and approved actions in support of these exams. In support of the strategic direction of the JCNDE, staff were directed to develop a business plan to understand 29 potential interest in developing a Dental Hygiene Licensure Objective Structured Clinical Exam 30 (DHLOSCE) and the anticipated requirements to develop, implement and provide ongoing support for 31 such an examination. A second Dental Hygiene member was added to the JCNDE Board of 32 Commissioners. Additionally, the Rules of the JCNDE and the Operational and Policy Manual of the 33 JCNDE were amended to further reduce potential conflicts of interest related to Commissioner and test 34 35 constructor service.

36

37 National Commission on Recognition of Dental Specialties and Certifying Boards (NCRDSCB): Ms. Baumann summarized that two new dental specialties, Oral Medicine and Orofacial Pain, were 38 recognized by the National Commission in 2020. Oral Medicine is the eleventh recognized dental 39 specialty and the second new specialty to be recognized since the formation of the National 40 41 Commission in 2018. Orofacial Pain is the twelfth recognized dental specialty and the third new 42 specialty to be recognized since the formation of the National Commission in 2018. During its March 2020 meeting, the National Commission determined that the American Dental Board of Anesthesiology 43 application for recognition as the national certifying board for Dental Anesthesiology met each of the 44 45 Requirements for Recognition of a Dental Specialty National Certifying Board. Ms. Baumann also shared that the American Dental Board of Oral Medicine's application was out for public comment: 46 comments were due to the by January 16, 2021. The application will be reviewed by the National 47 Commission at its Spring 2021 meeting which has been postponed from March to April 26-27, 2021. 48

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- 1 Dr. Baumann concluded her report by sharing that during the Spring 2021 meeting, the National
- 2 Commission will consider the ten-year periodic review of specialty education and practice which was
- 3 conducted in 2020 as well as the annual reports submitted by the dental specialty certifying boards.
- 4

5 Commission on Continuing Education Provider Recognition (CCEPR): Ms. Mary Borysewicz provided an update on the Commission on Continuing Education Provider Recognition (CCEPR). At its 6 7 October 1, 2020 meeting, the Commission approved revisions to the CERP Eligibility Criteria and approved a CERP Business Review Process. This optional screening process is designed to assist the 8 9 provider and CCEPR determine whether the provider has a commercial interest. Additionally, the chair 10 and vice-chair were elected for the 2020-2021 term. As of November 1, 2020, 492 CE providers were ADA CERP recognized, including 14 providers approved through Joint Accreditation for 11 12 Interprofessional Continuing Education.

13

Senior Vice President, Education/Professional Affairs: Dr. Anthony Ziebert reported on activities within the ADA Division of Education and Professional Affairs. Dr. Ziebert informed the Council that the Education division met all but one of its goals for 2020 despite the disruption and extra work caused by the pandemic. He thanked the whole division, specifically the Library and Archives department team members and Mary Ellen Murphy for providing outstanding support to ADA members by collecting and disseminating information and data on COVID-19 to the public and Association.

- The DLOSCE launched a year early in response to the need for non-patient-based examination options
- for new dental school graduates. Based on the first two administrations of the DLOSCE
- psychometricians conducted a thorough analysis of the exam and determined there is evidence that the DLOSCE is valid and reliable for determining the competency of the beginning dentist. The testing
- DLOSCE is valid and reliable for determining the competency of the beginning dentist. The testing
   windows for the DLOSCE in 2021 have been scheduled. He reported that six states (Alaska, Colorado,
- Indiana, Iowa, Oregon and Washington) were accepting the DLOSCE as a partial or full indication of
- 26 clinical competency.
- 27 Dr. Ziebert explained the transition to virtual test construction meetings has been seamless and
- resulted in significant decreased travel expenses. He continued to praise the work of the Commissions,
   CODA, in particular, for maintaining operations despite the US Department of Education's delayed
   guidance for accrediting bodies at the offset of the pandemic and being understaffed.
- As of December 2020, the preliminary financial reports indicate that the division's revenue would meet between 85-90% of the budgeted expenses and revenue. Final numbers will be available in mid-
- between 85-90% of the budgeted expenses and revenue. Final numbers will be available in mid-
- 33 February. Based on the events of 2020, the ADA Financial Department is predicting more revenue in
- 34 2021, based on the success of the Integrated National Board Dental Examination and the prediction
- that additional states will accept the DLOSCE.
- 36
- American Student Dental Association: Ms. Shapiro reported that during the 2019-2020 membership
  year, ASDA had a total of 23,079 members and 66 chapters. Ms. Shapiro shared that as a result of the
  COVID-19 pandemic and safety concerns for staff and members, face-to-face meetings were replaced
  with virtual meetings. Accordingly, ASDA held its virtual Leadership Conference on November 13-15,
  2020 and more than 770 students from 64 dental schools joined the first-ever virtual meeting.
  Upcoming national initiatives include the Week of Service taking place January 25-31, 2021 and the
  Board of Trustees' review of applications to appoint ASDA's 2021-2022 national leaders. The virtual
- 44 Board of Trustees meeting will be held on January 23-24, 2021 and its first-ever virtual Annual Session
- 45 will be held on February 19-21, 2021. The virtual Annual Session will focus on association governance

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and policy. Ms. Shapiro concluded her report by noting that ASDA's and ADA's advocacy efforts have
 influenced licensure reform in several states.

3

#### 4 Committee Reports:

5 **Dental Education Committee:** Dr. Steven Lepowsky presented the Committee's comments and 6 recommendations to the Council. The following summarizes the agenda items discussed and the 7 Council's actions.

8

9 Update on Activities of the Commission on Dental Accreditation (CODA): This agenda item was
 10 removed from the consent calendar. The discussion occurred during Dr. Took's presentation to the
 11 Council and is reported elsewhere in these minutes.

12

13 2021 CDEL Review of ADA Policies: The Council was reminded of the Association's protocol 14 requiring agencies to review assigned Association policies every five years. The intent of the review is 15 to maintain only those policies that have 1) relevance, 2) continued need, 3) consistency with other 16 Association policies, and 4) appropriate language and terminology. This year, the ADA policies related 17 to dental accreditation and dental education are scheduled for review. Accordingly, the Council 18 considered the following policies and agreed with the Dental Education Committee's recommendation, 19 to retain them as written. Full text of each policy is presented in Appendix 1.

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- State Board and Commission on Dental Accreditation Roles in Candidate Evaluation for Licensure
- 23 Sponsorship of Dental Accreditation Programs
  - Single Accreditation Program
  - Consultation and Evaluation of International Dental Schools
  - Dental Degrees
  - Support of Dental Education Programs
    - Assistance to Dental Schools Upon Closure
      - Support for the Continued Existence of Private and Public Dental Schools in the United States
    - Participation in Dental Outreach Programs
- Participation in International Higher Education Collaborative Networks

Action: The Council directed that the policy statements noted in Appendix 1 be retained as written and that this be reported to the 2021 House of Delegates.

The Council also reviewed the *Continuing Competency* definition, and agreed with the Dental Education Committee that an amendment should be considered to strengthen the definition and reflect language consistent with Standard 5-3 of the CODA Accreditation Standards for Dental Education Programs which states:

- 41 "The dental school must conduct a formal system of continuous quality improvement for the patient42 care program that demonstrates evidence of:
- 44 c. an ongoing review of a representative sample of patients and patient records to assess the 45 appropriateness, necessity and quality of the care provided;"
- 46

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2	Action: The Council directed that proposed amendment to the Continuing Competency
3	definition be transmitted to the 2021 House of Delegates for adoption (additions
4	underlined; deletions stricken)
5	
6	Continuing Competency: The continuance of the appropriate knowledge and skills
7	appropriateness, necessity and quality of the care provided by the dentist in order to maintain
8	and improve the oral health care of his or her patients in accordance with the ethical principles
9	of dentistry.
10	
11	Consideration of Resolution 100H-2020 Special Needs Dentistry: The Council noted that the 2020
12	ADA House of Delegates adopted Resolution 100H-2020 Special Needs Dentistry, calling for the
13	Council to explore the feasibility of requesting the development of an accreditation process and
14	accreditation standards for advanced education programs in special needs dentistry and to address
15	actionable strategies to strengthen training in this area at the predoctoral, advanced dental and
16	continuing education levels. The Council considered a survey previously reviewed by the Dental
17	Education Committee and noted the communities of interest proposed to receive the survey.
18	
19	Action: The Council directed the Dental Education Committee to conduct the survey of
20	the appropriate communities of interest to gather data on the current state of special
21	needs dentistry education and report its findings for consideration at the June Council
22	meeting.
23	
24	The Council also reviewed and supported additional suggested actions to address Resolution 100H-
25	2020.
26	
27	Action: The Council directed the Dental Education Committee to take the following
28	measures to address actionable strategies for Resolution 100H-2020 and report its
29	findings for consideration at the June Council meeting:
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31	<ul> <li>In regard to strategies for enhancing and expanding pre-doctoral training, consider the</li> </ul>
32	results of the survey, review the current Accreditation Standards for Dental Education
33	Programs as they relate to special needs dentistry, and consider the scope and depth of
34	didactic and clinical instruction provided to students in treating special needs patients.
35	
36	<ul> <li>In regard to strategies for developing and promoting continuing education programs for</li> </ul>
37	existing practitioners, consider the survey results, conduct an environmental scan of
38	current CE offerings on this topic and determine whether additional CE activities should
39	be recommended for development, including financial implications.
40	
41	<ul> <li>In regard to investigating advanced educational opportunities, review the current</li> </ul>
42	accreditation standards for advanced dental education programs in the relevant
43	disciplines as they relate to special needs dentistry and determine whether the
44	standards should be strengthened and/or the development of fellowship programs
45	should be encouraged.
46	
47	Licensure Committee: Dr. David Nielson presented the Committee's comments and
48	recommendations to the Council. The following summarizes the agenda items discussed and the

49 Council's actions.

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2 Update on Interstate Licensure Compacts: Dr. Nielson reminded the Council members that the Council on State Governments (CSG) conducted a webinar in November 2020 regarding the 3 4 development of licensure compacts. The Department of Defense is providing funding to the CSG to 5 assist professionals in the development of new interstate compacts for occupational licensure portability. The scope of assistance includes drafting the model compact legislation, developing a 6 7 legislative resource kit and convening a national meeting of state policymakers to introduce the compact. The CDEL Chair, several Council members and ADA staff attended the webinar and 8 discussed their impressions during the Licensure Committee meeting. The Committee noted the 9 application deadline of February 1, 2021 and requested staff to draft a grant application for 10 consideration at the January 2021 Council meeting. 11

- 1. At this meeting, the Council reviewed and discussed the draft grant application, focusing 12 specifically on the organizations the ADA identified as additional potential partners with whom to 13 work with on compact development. Additionally, the Council discussed the financial 14 implications related to this effort including the rationale for the request of financial support from 15 16 CSG for the profession to develop compact legislation and the financial resources that would be necessary to sustain a compact once active. At the conclusion of the discussion the Council 17 agreed with the Licensure Committee's recommendation to submit the Application for 18 Assistance to Develop New Interstate Compacts for Occupational Licensure for Dentistry to the 19 20 Council of State Governments National Center for Interstate Compacts after contacting the 21 potential partners listed on the application to confirm their approval and agreement to be listed in the application. 22
- 23

Action: The Council requested that other national dental organizations noted in the draft Application for Assistance to Develop New Interstate Compacts for Occupational Licensure be invited to support the application and approved the submission of the Application to the Council of State Governments National Center for Interstate Compacts with the caveat that mention of any organization declining to support the application be deleted from the Application prior to its submission.

30 Update on ADA Support for Military Spouse Bill and VA Interim Rule: The Military Spouse Relief 31 Act of 2020 would grant military spouses with valid professional licenses reciprocity in the state in which 32 their spouse is currently serving on military orders. It was noted that the ADA sent a letter of support to 33 the sponsor, Utah Senator Mike Lee, in November 2020. The interim rule on Authority of VA 34 Professionals to Practice Health Care published in the Federal Register and effective November 12, 35 2020 confirms the VA's current practice of allowing VA health care professionals to deliver services in a 36 State other than the health care professional's State of licensure, registration or certification. The ADA 37 also submitted a letter of support of the interim rule in early December 2020. 38

Anesthesiology Committee: Dr. Joan Otomo-Corgel presented the Committee's comments and
 recommendations to the Council. The following summarizes the agenda items discussed and the
 Council's actions.

42 Consideration of Comments on Draft Guidelines for Teaching Pediatric Pain Control and

43 Sedation to Dentists and Dental Students: Dr. Otomo-Corgel noted that after finalizing the new

44 Guidelines for Teaching Pediatric Pain Control and Sedation to Dentists and Dental Students (Pediatric

45 *Teaching Guidelines)*, the Anesthesiology Committee recommended that the document be transmitted

- to the Council for consideration and determination of next steps for disseminating the *Pediatric*
- 47 *Teaching Guidelines*. Prior to the Council meeting, the chair of the Council sought advice from ADA
- 48 leadership and the legal department confirming that the new Pediatric Teaching Guidelines may remain

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under the purview of the Council and the experts on the Anesthesiology Committee so that the
 document may be updated as necessary. The Council discussed the matter, approved the *Guidelines for Teaching Pediatric Pain Control and Sedation to Dentists and Dental Students* and requested staff
 to disseminate the document to the appropriate communities of interest.

- 4 to disseminate the document to the appropriate communities of interest.
- Action: The Council approved the Guidelines for Teaching Pediatric Pain Control and
   Sedation to Dentists and Dental Students (Appendix 2) and directed that the document
   be disseminated to the appropriate communities of interest.
- 8 Consideration of Sedation and Anesthesia Policies Assigned to the Council on Dental
- 9 Education and Licensure for Review in 2021: According to Association protocol, agencies are to
- 10 review assigned Association policies at least every 5 years. In 2021, the Council is responsible for
- 11 reviewing the Guidelines for the Use of Sedation and General Anesthesia by Dentists, Guidelines for
- Teaching Pain Control and Sedation to Dentists and Dental Students and the ADA Policy Statement:
   The Use of Sedation and General Anesthesia by Dentists. However, it was determined that review of
- 13 The Use of Sedation and General Anesthesia by Dentists. However, it was determined that review of 14 these policies will be postponed until 2022, pending development of new evidence-based clinical
- 15 guidelines on sedation and general anesthesia by the ADA Council on Scientific Affairs.
- 16 State Statutes/Regulations that Reference ADA Teaching Guidelines and AAPD Guidelines:The
- 17 Council reviewed a chart highlighting the states that cite in their statutes and regulations the 2016 ADA
- 18 Guidelines for Teaching Pain Control and Sedation to Dentists and Dental Students that focus on adult
- 19 patients, and the AAP/AAPD Guidelines for Monitoring and Management of Pediatric Patients Before,
- 20 During, and After Sedation for Diagnostic and Therapeutic Procedures that focus on pediatric patients.
- The Council discussed this information in an effort to understand the frequency with which these
- guidelines are cited by state dental boards and where in the statutes and regulations these citations are located. This survey will provide the foundation for development of a new visualization map dedicated
- to anesthesiology licensure planned for the Dental Licensure Dashboard on ADA.org in 2021.

Continuing Education Committee: The Continuing Education Committee Summary Report was on the consent calendar, but Dr. James Nickman reminded the Council of the Committee's charge, shared an overview of the composition of the Committee and highlighted the ADA's response to COVID-19 by transitioning to an online platform to provide CE and develop content to address the many challenges presented by COVID-19.

30

# 31 **Emerging Issues, Trends and Miscellaneous Affairs:**

ADA Executive Director's Update: Dr. Kathleen O'Loughlin reviewed Common Ground 2025 with the 32 Council. She explained that Common Ground continues a disciplined approach to deliver a balanced 33 budget, manage business via a cross-divisional Agile methodology, invest in client services for states 34 and locals and commit to innovation. She reviewed the four goals of Common Ground 2025 35 (Membership, Finance, Organizational and Public) and discussed 2021 operating plan alignment 36 including objectives that support each of the goals. She urged the Council to consider how its defined 37 38 responsibilities, programs and projects align with Common Ground 2025 and the objectives set forth for 2021. Dr. O'Loughlin also discussed the impact COVID-19 has had on the Association's operations 39 40 and reviewed the ADA's COVID-19 vaccine strategy.

Unofficial Report of Actions of the 2020 House of Delegates: The Council received the Unofficial
 Actions of the 2020 ADA House of Delegates and noted the following which pertain to the business and
 responsibilities of the Council.

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- 1 Resolution 1H-2020: Review of ADA Policies: Dentistry and Dentistry as an Independent Profession
- 2 called for combining the two policies into one declarative positive statement that defines the
- 3 independent profession of dentistry and notes dentistry's commitment to professionalism and
- 4 interprofessional health. The two policies were combined by amending the policy *Dentistry* and
- rescinding the policy *Dentistry as an Independent Profession*. The resolution was presented by the
   Council.
- 7 Resolution 16H-2020: Comprehensive ADA Policy Statement on Teledentistry called for editorial and
- 8 clarifying revisions to better reflect the position of the Association. CDEL provided comment to the
- 9 Council on Dental Practice during the initial review process prior to presentation to the House of
- 10 Delegates. The Council supported the amendment to the policy.
- 11 Resolution 100H-2020: Special Needs Dentistry called for pursuing a feasibility study for developing an
- 12 accreditation process and standards for advanced education programs in special care dentistry by the
- 13 Commission on Dental Accreditation (CODA). The resolution also called for the Council to address
- actionable strategies to enhance and expand pre-doctoral training; to develop and promote continuing
- 15 education programs for existing practitioners; and to investigate advanced educational opportunities.
- 16 The resolution was supported by the Council. As noted elsewhere in these Minutes, Resolution 100H-
- 2020 was referred to the Dental Education Committee for study and report to the June 2021 Councilmeeting.
- 19

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- 20 Resolution: 76-2020 Elder Care Strategies on Increased Preparedness of Educational Institutions was
- not adopted. It was referred to the appropriate ADA agency to be presented at the 2021 House of
   Delegates. The Council noted that the resolution calls for increased preparedness of educational
- 23 institutions to train dentists and specialists in elder care.
- Action: The Council referred consideration of Resolution 76-2020 to the Dental
   Education Committee for study and requested a report of its findings and
- 26 recommendations for consideration at the Council's June meeting.
- Strategic Plan 2020-2025: Council Priorities in 2021: The Council discussed and confirmed its
   priorities and projects for 2021 in light of the Strategic Plan Goals and the Council's defined
   responsibilities:
- On behalf of the ADA, monitor and comment on matters of the Commission on Dental
   Accreditation, Commission for Continuing Education Provider Recognition, and the National
   Commission on Recognition of Dental Specialties and Certifying Boards. [ORGANIZATIONAL
   GOAL AND PUBLIC GOAL]
- Solution for Modernizing Dental Licensure.
   (ORGANIZATIONAL GOAL AND PUBLIC GOAL)
- On behalf of the ADA, further explore the implementation of licensure compacts and advocate for
   changes to state dental practice acts, rules and regulations regarding licensure, as requested.
   [ORGANIZATIONAL GOAL AND PUBLIC GOAL]
- 42 > Continue to support the implementation and promotion of the Dental Licensure Objective Structured
   43 Clinical Examination (DLOSCE). [ORGANIZATIONAL GOAL AND PUBLIC GOAL]
- 44
   45 Provide oversight to the Department of Testing Services regarding the administration of the Dental
   46 Admission Test (DAT) and Advanced Dental Admission Test (ADAT). [PUBLIC GOAL AND
   47 FINANCIAL GOAL]

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- America's leading advocate for oral health
- Provide oversight to the Department of Testing Services regarding the development of an admission test for dental hygiene programs. [PUBLIC GOAL AND FINANCIAL GOAL]
- Finalize and disseminate Guidelines for Teaching Pediatric Pain Control and Sedation to Dentists
   and Dental Students. [ORGANIZATIONAL GOAL AND PUBLIC GOAL]
- 8 > Per the 5-year review cycle, consider and possibly recommend revision to the education and
   9 accreditation policies assigned to the Council for review. [ORGANIZATIONAL GOAL AND PUBLIC
   10 GOAL]
- Address Resolution 100H-2020 and Resolution 76-2020 and report findings to the 2021 House of
   Delegates. [ORGANIZATIONAL GOAL AND PUBLIC GOAL]
- Consider the annual reports of the Dental Assisting National Board and the National Board for
   Certification in Dental Laboratory Technology. [ORGANIZATIONAL GOAL AND PUBLIC GOAL]
- 17 <u>Action:</u> The Council approved its priorities and projects for 2021 as noted above.

18 Greetings from ADA President: Dr. Klemmedson expressed his appreciation for the invitation to 19 attend the Council meeting and acknowledged and thanked the Council for its efforts, especially during 20 these challenging times.

Coalition for Modernizing Dental Licensure: Dr. Strotman provided an update on the activities of the 21 Coalition for Modernizing Dental Licensure. In 2020, the Coalition Executive Committee met six times. 22 In 2020, 29 organizations and agencies joined the Coalition, advocacy efforts continued virtually 23 through presentations to various schools and organizations, and the Coalition hosted a booth in the 24 25 ADA FDC Virtual Connect Conference exhibit hall. Spring 2020 was spent working collaboratively with 26 Coalition member associations and organizations to assist in efforts to get the licensure candidates from the class of 2020 licensed. Later in the year, the Coalition sent a letter in support of the Military 27 Spouse Licensing Relief Act of 2020. Looking forward, the Executive Committee is scheduled to meet 28 29 on February 3, 2021 to confirm a strategic plan; staff continue to monitor and track licensure changes 30 and support Coalition members with requests for assistance. Coalition leadership will make a 31 presentation at the ADEA Annual Session and Exhibition on March 15, 2021.

Chair and Vice-chair Election for 2021-2022: Dr. Jacqueline Plemons (ADA appointee), will complete her term as chair at the close of the 2021 ADA House of Delegates meeting on October 13, 2021. Dr. Donna Thomas-Moses (ADA appointee) also will complete her one-year term as vice-chair of the Council at that time. The Council was reminded that at its June 2021 meeting, Council members will elect a chair and vice chair for the 2021-2022 year.

37

11

Adjournment: 3:10 PM, Friday, January 22, 2021

Page **12** of **12** CDEL Minutes January 2021

### Appendices

- 2 **Appendix 1:** Policies to be Retained as Written
- 3 Appendix 2: ADA CDEL Guidelines for Teaching Pediatric Pain Control and Sedation to Dentists and
- 4 Dental Students

#### Policies Recommended to Retain as Written

# State Board and Commission on Dental Accreditation Roles in Candidate Evaluation for Licensure (*Trans.*1992:697; 2003:367)

**Resolved,** that the Association urge state boards of dentistry to continue to support the role of the Commission on Dental Accreditation as the agency responsible for the evaluation of dental education programs.

#### Sponsorship of Dental Accreditation Programs (Trans.1972:697; 2003:367; 2016:298)

**Resolved,** that the American Dental Association supports the concept of nongovernmental, voluntary accreditation, and be it further

**Resolved**, that the American Dental Association opposes the development of federal or state dental accreditation programs in the United States.

#### Single Accreditation Program (Trans.1996:696; 2010:577)

**Resolved**, that the American Dental Association support a single accreditation program for dental and dentally-related educational programs.

#### Consultation and Evaluation of International Dental Schools (Trans.2005:298)

**Resolved,** that the ADA and its Board of Trustees support the Commission on Dental Accreditation's initiative to offer consultation and accreditation services to international dental schools.

#### Dental Degrees (Trans.1972:698; 2016:299)

**Resolved**, that the American Dental Association supports the principle that degree determination is the prerogative of the individual educational institution.

#### Support of Dental Education Programs (Trans.1972:697; 2016:299)

**Resolved,** that the American Dental Association encourages members of the profession to support vigorously, through direct financial contributions and political activity, dental education programs which have been accredited by the Commission on Dental Accreditation.

#### Assistance to Dental Schools Upon Closure (Trans.1992:610)

**Resolved,** that in the event an accredited dental school announces the intention to cease operations, the ADA work closely with the American Dental Education Association to assist the affected dental students in locating positions in other accredited dental schools.

# Support for the Continued Existence of Private and Public Dental Schools in the United States (*Trans*.1989:522; 2016:299)

**Resolved,** that the American Dental Association strongly supports the continued existence of the private and public dental schools in the United States and the need for dental education to remain an integral part of the university community and an inviolate part of the higher education system.

#### Participation in Dental Outreach Programs (Trans.2010:587; 2016:299)

**Resolved,** students in U.S. dental schools and pre-dental programs who participate in a dental outreach program (e.g., international service trips, domestic service trips, volunteerism in underserved areas, etc.) are strongly encouraged:

- To adhere to the ASDA Student Code of Ethics and the ADA *Principles of Ethics and Code of Professional Conduct;*
- To be directly supervised by dentists licensed to practice or teach in the United States;
- To perform only procedures for which the volunteer has received proper education and training.

#### Participation in International Higher Education Collaborative Networks (Trans. 2003:368)

**Resolved,** that the Association continue and the Commission on Dental Accreditation be urged to continue to participate in international higher education collaborative networks, to ensure that the Association and the Commission are positioned to collaborate, assist, participate, and provide consultation on international standards for dental education and clinical practice.

1 2 3	Guidelines for Teaching Pediatric Pain Control and Sedation to Dentists and Dental Students ADA Council on Dental Education and Licensure Approved January 21, 2021
1	
5 6 7	Note: The Council on Dental Education and Licensure is responsible for maintaining this document's currency. Copies of cited references may be obtained by contacting the publishers or ADA Library and Archives.
8	
9	I. Introduction
10	The administration of least anotherial codation and general anotheria is an integral part of
11	the practice of dentictry. The American Dental Association (ADA) is committed to the safe and
12 13	effective use of these modalities by appropriately educated and trained dentists
13	effective use of these modalities by appropriately educated and trained defitists.
15	Anxiety and pain control can be defined as the application of various physical pharmacological
16	and psychological modalities for the prevention and treatment of preoperative, operative and
17	postoperative patient anxiety and pain to allow dental treatment to occur in a safe and
18	effective manner. It involves all disciplines of dentistry and, as such, is one of the most
19	important aspects of dental education. Pediatric patients are particularly susceptible to pain
20	and anxiety associated with dental procedures and because of limited cognitive, psychological,
21	and emotional coping strategies, completion of medically necessary dental care may be difficult
22	or impossible. <sup>1-3</sup>
23	
24	These Guidelines are intended to provide direction for the teaching of initial competency in
25	pediatric pain control and minimal and moderate sedation to dentists and can be applied at all
26	levels of dental education from predoctoral education through postgraduate residency training
27	and continuing education. The ADA recognizes the Guidelines for the Use of Sedation and
28	General Anesthesia by Dentists, which describe best practices for clinical administration of
29	sedation and anestnesia for adult patients. For pediatric patients undergoing minimal or
30 21	Academy of Pediatric Dentistry (AAP/AAPD) Guidelines for Menitoring and Management of
32	Pediatric Patients During and After Sedation for Diagnostic and Therapeutic Procedures <sup>5</sup>
33	rediather attents burning and Arter Sedation for Diagnostic and merapeutic rocedures.
34	The definition of a pediatric patient as it relates to pain control and sedation, is dependent on
35	age, size, circumstance and intent. Various regulatory agencies have identified a threshold of
36	ages $10 - 13$ years old for pediatric patients in areas such as medication dosage guides,
37	research, training parameters and privacy concerns. In regard to sedation in dentistry, sedation
38	of pediatric patients is different from that of adults, and poses a higher risk. The highest risk
39	exists in providing sedation for pediatric patients younger than 6 years of age. <sup>5</sup> Additional
40	consideration should be given to those for whom development is not defined by chronological
41	age, including individuals with special healthcare needs which interfere with their ability to
42	undergo dental treatment. For the purposes of these teaching guidelines for minimal and
43 44	moderate sedation, however, pediatric will be defined as prepubescent.

- 45 The predoctoral curriculum in anxiety and pain control in pediatric patients should include
- training in both non-pharmacological and pharmacological management techniques. Non-
- 47 pharmacological modalities place emphasis on the interactions between the dentist, staff, the
- 48 patient, and the parent. Goals of pediatric behavior guidance include establishing
- 49 communication, alleviating fear and anxiety, delivering quality dental care, building a
- relationship of trust, and promoting a positive attitude within the pediatric patient towards oral
- 51 health care. While the focus of these guidelines relates to pharmacological modalities,
- 52 instruction in this area should also include non-pharmacologic management/behavioral
- 53 guidance.
- 54
- 55 Dental students should acquire the knowledge and skills necessary to administer local
- anesthesia and nitrous oxide inhalation sedation effectively and safely to adult and pediatric
- 57 patients, in order to alleviate anxiety and control pain, while minimizing adverse physiological
- or psychological side effects. The goals, prerequisites, didactic content, clinical experiences,
- 59 faculty, and facilities described herein are intended to guide dental schools in planning
- 60 predoctoral curricula, taught by faculty trained and experienced in all pharmacological
- 61 modalities to engender familiarity with the indications for different therapies including
- analgesic medications, local anesthesia, sedation and general anesthesia. Above all, the
- 63 importance of understanding, recognizing and managing emergencies related to local
- 64 anesthesia and sedation administration cannot be overstated. While dental students must
- obtain certification in Basic Life Support for the Healthcare Provider approved by the American
- 66 Heart Association or the American Red Cross, emphasis should be placed on the need to
- 67 maintain emergency preparedness in future practice as well, with regular continuing education
- 68 as well as simulation practice.
- 69

70 Local anesthesia has been the foundation of pain control in dentistry. The use of local

- anesthesia in dentistry has a long record of safety; however, dentists must remain cognizant of
- the maximum recommended doses, as high doses of local anesthetics may lead to significant
- 73 cardiovascular and central nervous system depression. Less commonly appreciated is that local
- 74 anesthetic toxicity may still manifest at lower than published maximum recommended doses,
- and recognition and swift treatment of both early and late signs of local anesthetic overdose
- 76 are key to avoiding patient harm. Predoctoral students therefore must not only routinely
- calculate appropriate local anesthetic doses for pediatric patients, but also be trained in the
- 78 management of local anesthetic toxicity. The addition of sedative medications with local
- anesthesia administration carries physiologic and pharmacological implications, including
- 80 increased sedative effects. Recognizing the potential for enhanced sedative effects when the
- 81 highest recommended doses of local anesthetic drugs are used in combination with other
- 82 sedatives is especially critical in pediatric patients.<sup>5</sup>
- 83
- 84 Training in moderate sedation for pediatric patients requires a level of knowledge and clinical
- 85 experience beyond the scope of most predoctoral education programs. While training in
- 86 minimal sedation may be more easily incorporated into the dental school curriculum,
- 87 instruction in moderate sedation requires specific teaching requirements described herein that
- 88 include additional didactic education hours and clinical case experiences that extend beyond

90	either an advanced dental education program or continuing education competency courses.
91	Whenever local anesthesia and/or sedation is employed, treatment areas must be properly
92	equipped to manage emergencies, including appropriate physiologic monitoring equipment, a
93	positive pressure oxygen delivery system, and emergency drugs and equipment suitable for the
94	rescue of the patient being treated. Descriptions of recommended equipment and medications
95	that may be necessary for emergency management in pediatric sedation are included as
96	Appendices 3 and 4 in the AAP/AAPD Guidelines. <sup>5</sup>
97	
98	The knowledge, skill and clinical experience required for the safe administration of deep
99	sedation and/or general anesthesia are beyond the scope of predoctoral and continuing
100	education programs. Advanced dental education programs that teach deep sedation and/or
101	general anesthesia to competency have specific teaching requirements described in the
102	Commission on Dental Accreditation standards for those advanced dental programs and
102	represent the educational and clinical requirements for teaching deep sedation and/or general
103	anosthosia in dontictry
104	anestnesia in dentistry.
105	These teaching guidelines reinferce the understanding that the level of sodation is independent
100	of the route of administration. Minimal moderate, doop sodation and general aposthesia may
107	be achieved via any route of administration, and therefore these guidelines do not delineate
100	level of sodation by route of administration, and therefore these guidelines do not delineate
109	are a continuum, it is importative that training for any level of codation emphasizes the
110	are a continuum, it is imperative that training for any level of sedation emphasizes the
111	respectively of a patient progressing to a rever of sedation one rever deeper than that intended,
112	fregardiess of the route of administration selected. Hence the need for recognition and rescue
113	from unintended deeper levels of sedation is repeated throughout these teaching guidelines.
114	The American Dental Accordiation urges dentists to adhere to state continuing education
115	The American Dental Association diges dentists to adhere to state continuing education
110	requirements and participate regularly in update courses in these modalities in order to remain
117	current in the expansion of knowledge as well as maintaining competency. Ultimately, the
118	objective of educating dentists to utilize pain control, and minimal and moderate sedation is to
119	in a sefer effective and essentials mapped
120	in a sale, effective and accessible manner.
121	
122	
123	II. Definitions
124	
125	Matheda of Anniatu and Dain Control
126	wethods of Anxiety and Pain Control
127	analysis the diminution or elimination of pain
120 120	
120	<b>Jocal anosthosia</b> - the elimination of constation, especially pain, in one part of the body by the
124	tonical anelication or regional injection of a drug
101	topical application of regional injection of a drug.
132	

most predoctoral curricula. These teaching requirements may be specifically addressed in

133	Note: Dentists must remain cognizant of the maximum recommended doses, as high doses
134	of local anesthetics may lead to significant cardiovascular and central nervous system
135	depression. Local anesthetic toxicity may still manifest at lower doses, and recognition and
136	swift treatment of both early and late signs of local anesthetic overdose are key skills to
137	preventing patient harm. Recognizing that this is especially critical in pediatric patients,
138	there may be enhanced sedative effects when the highest recommended doses of local
139	anesthetic drugs are used in combination with other sedatives. <sup>5</sup>
140	
141	minimal sedation (previously known as anxiolysis) - a minimally depressed level of
142	consciousness, produced by a pharmacological method that retains the patient's ability to
143	independently and continuously maintain an airway and respond <i>normally</i> to tactile stimulation
144	and verbal command. Although cognitive function and coordination may be modestly impaired,
145	ventilatory and cardiovascular functions are unaffected. <sup>6</sup>
146	
147	The following definitions apply to administration of pediatric minimal sedation:
148	
149	In accord with the definition of minimal sedation, the drug and/or technique used
150	should carry a margin of safety wide enough never to render unintended loss of
151	consciousness. The use of the maximum recommended dose (MRD) to guide dosing for
152	minimal sedation is intended to create this margin of safety.
153	5
154	maximum recommended dose (MRD) – maximum FDA-recommended dose of a drug, as
155	printed in FDA-approved labeling for pediatric use in the unmonitored home.
156	
157	dosing for minimal sedation via the enteral route – minimal sedation for pediatric
158	patients may be achieved by the administration of a single dose of a single oral agent
159	that is FDA-approved for pediatric use, not to exceed the maximum recommended dose
160	(MRD).
161	
162	The oral sedative agent must be administered by the dentist in the office setting.
163	Redosing during a single treatment day with additional oral sedative medication is not
164	recommended.
165	
166	Minimal sedation for those beyond prepubescence may be achieved in accordance with
167	adult training guidelines. In contrast to adult training guidelines for minimal sedation,
168	which allow for divided doses (not to exceed the MRD) to achieve the desired clinical
169	effect, divided doses in pediatric enteral minimal sedation are not recommended.
170	
171	Nitrous oxide-oxygen inhalation may be co-administered. If nitrous oxide-oxygen
172	inhalation is combined with a sedative oral medication (e.g., benzodiazepines
173	antihistamines, opioids), or if nitrous oxide-oxygen is used in concentrations >50%, the
174	likelihood of entering a state of moderate or deen sedation increases, in which case the
175	guidelines for moderate sedation or deep sedation will apply <sup>6</sup>
176	
· · •	

177 178	The administration of one of the following during the single appointment will be considered moderate sedation, and the moderate sedation guidelines will apply
170	The on more and a detine model and institute seducion guidelines will appry.
179	a. Two of more oral sedative medications
180	b. One oral sedative medication exceeding the WRD
181	c. Pharmacy-compounded sedative medication
182	d. Parenterally administered sedative medication
183	
184	moderate sedation - a drug-induced depression of consciousness during which patients
185	respond <i>purposefully</i> to verbal commands, either alone or accompanied by light factile
186	stimulation. Bidirectional communication between patient and provider is maintained. No
187	interventions are required to maintain a patent airway, and spontaneous ventilation is
188 189	adequate. Cardiovascular function is usually maintained.°
190	In accord with this particular definition, the drugs and/or techniques used should carry a
191	margin of safety wide enough to render unintended loss of consciousness unlikely.
192	Repeated dosing of an agent before the effects of previous dosing can be fully
193	appreciated may result in a greater alteration of the state of consciousness than is the
194	intent of the dentist and is inappropriate for pediatric patients. A patient whose only
195	response is reflex withdrawal from a painful stimulus is not considered to be in a state
196	of moderate sedation.
197	
198	Oral sedative agent(s) must be administered by the dentist in the office setting.
199	
200	Moderate sedation for those beyond prepubescence may be achieved in accordance
201	with adult training guidelines. In contrast to adult training guidelines for moderate
202	sedation, repeated dosing or readministration is not recommended in pediatric
203	moderate sedation unless intravenous access is in place.
204	
205	Nitrous oxide-oxygen inhalation may be co-administered. If nitrous oxide-oxygen
206	inhalation is combined with another sedative medication (e.g., benzodiazepines,
207	antihistamines, opioids), or if nitrous oxide-oxygen is used in concentrations >50%, the
208	likelihood of entering a state of deep sedation increases, in which case the guidelines for
209	deep sedation will apply. <sup>6</sup>
210	
211	The following definition applies to administration of moderate and deeper levels of sedation:
212	
213	titration – administration of incremental doses of a medication via the intravenous or
214	inhalation route until a desired effect is reached. Knowledge of the time of onset, peak
215	response and duration of action of each drug is essential to avoid unintended levels of
216	sedation. Since peak onset of oral (enteral) sedatives is less predictable, titration of oral
217	sedatives cannot be performed. While peak onset of intranasal, intramuscular or
218	submucosal administration is more predictable, it can still be difficult to determine
219	when the previous dose has taken full effect to allow for predictable titration.

- 220
- deep sedation a drug-induced depression of consciousness during which patients cannot be
   easily aroused but respond purposefully following repeated or painful stimulation. The ability to
   independently maintain ventilatory function may be impaired. Patients may require assistance
   in maintaining a patent airway, and spontaneous ventilation may be inadequate. Cardiovascular
   function is usually maintained.<sup>6</sup>
- 226

general anesthesia – a drug-induced loss of consciousness during which patients are not
 arousable, even by painful stimulation. The ability to independently maintain ventilatory
 function is often impaired. Patients often require assistance in maintaining a patent airway, and
 positive pressure ventilation may be required because of depressed spontaneous ventilation or
 drug-induced depression of neuromuscular function. Cardiovascular function may be impaired.<sup>6</sup>

232

Because sedation and general anesthesia are a continuum, it is not always possible to predict
 how an individual patient will respond. Hence, practitioners intending to produce a given level
 of sedation should be able to rescue patients whose level of sedation becomes deeper than
 initially intended.<sup>6</sup>

237

For all levels of sedation, the qualified dentist must have the training, airway skills, drugs, monitors, and emergency equipment to identify and manage such an occurrence until either assistance arrives (emergency medical service) or the patient returns to the intended level of

- 241 sedation without airway or cardiovascular complications.
- 242 243

# 244 Routes of Administration

245

248

*enteral* - any technique of administration in which the agent is absorbed through the
 gastrointestinal (GI) tract or oral mucosa [i.e., oral, rectal].

*inhalation* - a technique of administration in which a gaseous or volatile agent is introduced
 into the lungs and whose primary effect is due to absorption through the gas/blood
 interface.

*parenteral* - a technique of administration in which the drug bypasses the gastrointestinal
(GI) tract [i.e., intramuscular (IM), intravenous (IV), intranasal (IN), submucosal (SM),
subcutaneous (SC), intraosseous (IO)].

- *transdermal* a technique of administration in which the drug is administered by patch or
  iontophoresis through skin.
- 259
- *transmucosal* a technique of administration in which the drug is administered across
   mucosa such as intranasal, buccal, sublingual, or rectal.
- 262 263 **Terms**

264	
265	continual - repeated regularly and frequently in a steady succession.
266	
267	continuous - prolonged without any interruption at any time.
268	
269	immediately available – on site in the facility and available for immediate use.
270	
271	may - indicates freedom or liberty to follow a reasonable alternative.
272	
273	must/shall - Indicates an Imperative need and/or duty; an essential or indispensable item;
274	mandatory.
275	and the state of the second of the second state is a state of the state of the state of the state of the state
276	pediatric —For the purposes of these guidelines for minimal and moderate sedation,
277	pediatric age will be defined as prepubescent.
278	
279	pediatric dentistry - An age-defined specialty that provides both primary and
280	comprehensive preventive and therapeutic oral health care for infants and patients through
281	adolescence, including those with special health care needs."
282	
283	qualified dentist – a dentist providing sedation and anestnesia in compliance with their state
284	rules and/or regulations.
285	versue versue of a patient frame a descentional of addition then intended is an intervention.
286	hus prostitioner profisiont in airway management and advanced life support. The qualified
287	by a practitioner proficient in all way management and advanced me-support. The qualified
288	of codation (such as hyperpendication, hyperpendication) and returns the notion to
209	the ariginally intended level of codation. It is not appropriate to continue the precedure at
290	an unintended level of sedation. It is not appropriate to continue the procedure at
291	an unintended level of sedation.
292	should indicates the recommended manner to obtain the standard, highly desirable
293	should -indicates the recommended manner to obtain the standard, inginy desirable.
234	time oriented anesthesia record - documentation at appropriate time intervals of drugs
290	dosos, hobavioral and physiologic data obtained during patient monitoring
290	doses, benavioral and physiologic data obtained during patient monitoring.
297	Levels of Knowledge
290	Levels of Knowledge
299	familiarity - a simplified knowledge for the purpose of orientation and recognition of
301	general principles
302	Seneral principies.
302	in-denth - a thorough knowledge of concepts and theories for the nurnose of critical
304	analysis and the synthesis of more complete understanding (highest level of knowledge)
305	analysis and the synthesis of more complete understanding (highest level of knowledge).
306	Levels of Skill
307	
~ ~ .	

- *competent* displaying special skill or knowledge derived from training experience.

*exposed* - the level of skill attained by observation of or participation in a particular activity.

### **Patient Physical Status Classification**<sup>8</sup>

Classification	Definition	Examples, including but not limited to:
ASA I	A normal healthy patient	Healthy, non-smoking, no or minimal alcohol use
ASA II	A patient with mild systemic disease	Mild diseases only without substantive functional limitations. Examples include (but not limited to): current smoker, social alcohol drinker, pregnancy, obesity (30 < BMI < 40), well-controlled DM/HTN, mild lung disease
ASA III	A patient with severe systemic disease	Substantive functional limitations; One or more moderate to severe diseases. Examples include (but not limited to): poorly controlled DM or HTN, COPD, morbid obesity (BMI ≥40), active hepatitis, alcohol dependence or abuse, implanted pacemaker, moderate reduction of ejection fraction, ESRD undergoing regularly scheduled dialysis, premature infant PCA < 60 weeks, history (>3 months) of MI, CVA, TIA, or CAD/stents.
ASA IV	A patient with severe systemic disease that is a constant threat to life	Examples include (but not limited to): recent ( < 3 months) MI, CVA, TIA, or CAD/stents, ongoing cardiac ischemia or severe valve dysfunction, severe reduction of ejection fraction, sepsis, DIC, ARD or ESRD not undergoing regularly scheduled dialysis
ASA V	A moribund patient who is not expected to survive without the operation	Examples include (but not limited to): ruptured abdominal/thoracic aneurysm, massive trauma, intracranial bleed with mass effect, ischemic bowel in the face of significant cardiac pathology or multiple organ/system dysfunction
ASA VI	A declared brain-dead patient whose organs are	

	being purpo	; removed for donor oses
	*The addition of "E" existing when delay i in the threat to life on	denotes Emergency surgery: (An emergency is defined as n treatment of the patient would lead to a significant increase r body part)
314 315	Pediatric Exam	iples:
316 317	ASA II - well cor	ADHD, asthma, well controlled seizure disorders, stable hypothyroidis ntrolled DM, GERD.
318 319 320	ASA III - disorde syndror	<ul> <li>Poorly controlled DM or HTN, morbid obesity, poorly controlled seizuers, cystic fibrosis, severe asthma, airway anomalies (e.g., Treacher Coll me, Goldenhar syndrome).</li> </ul>
321 322	ASA IV - treatme	<ul> <li>Unrepaired complex congenital cardiac conditions, active oncology ent.</li> </ul>
323		
324	2020 Practice Guidelin	nes for Preoperative Fasting <sup>9</sup>
	Ingested Material	Minimum Fasting Period
	Clear liquids	2 hours
	Breast milk	4 hours
	Infant formula	6 hours
	Nonhuman milk	6 hours
	Light meal	6 hours
	Fatty meal	8 hours
325 326	Pediatric Exam	iples:
327	Clear lic	quids – water, apple juice
328	Nonhur	man milk – cow, goat, fortified human milk
329	Light m	eal – dry toast, bowl of cereal
330	Fatty m	ieal – eggs and bacon, pizza, macaroni and cheese
331 332 333	Education Courses	
334 335 336	Education may be offe advanced education p	red at different levels (competency, update, survey courses and rograms). A description of these different levels follows:
337 338 339	1. Competency Cours competent in the s moderate sedation	ses are designed to meet the needs of dentists who wish to become safe and effective administration of local anesthesia, minimal and n. They consist of lectures, demonstrations and sufficient clinical

340		participation to assure the faculty that the dentist understands the procedures taught and
341		can safely and effectively apply them. Faculty must assess and document the dentist s
342		competency upon successful completion of such training. To maintain competency, periodic
343		update courses must be completed.
344		
345	2.	Update Courses are designed for persons with previous training. They are intended to
346		provide a review of the subject and an introduction to recent advances in the field. They
347		should be designed didactically and clinically to meet the specific needs of the participants.
348		Participants must have completed previous competency training (equivalent, at a minimum,
349		to the competency course described in this document) and have current experience to be
350		eligible for enrollment in an update course.
351		
352	3.	Survey Courses are designed to provide general information about subjects related to pain
353		control and sedation. Such courses should be didactic and not clinical in nature, since they
354		are not intended to develop clinical competency.
355		
356	4.	Advanced Education Courses are a component of an advanced dental education program.
357		accredited by the Commission on Dental Accreditation in accord with the Accreditation
358		Standards for advanced dental education programs. These courses are designed to prepare
359		the graduate dentist or postdoctoral student in the most comprehensive manner to be
360		competent in the safe and effective administration of minimal moderate and deen sedation
361		and general anesthesia
362		
363		
364		III. Teaching Pediatric Pain Control
265		in. reaching rediatile rail control
305	ть	as <i>Cuidelings</i> present a basic evenuing of the recommendations for teaching pediatric pain
300	111	ese Guidelines present a basic overview of the recommendations for teaching pediatric pair
367	CO	ntrol.
368	-	
369 370	А.	the dentist must:
371		1. Have an in-depth knowledge of those aspects of pediatric anatomy, physiology,
372		nharmacology and psychology involved in the use of various sedation and pain control
373		methods
575		inctrious.
374		2. Be competent in evaluating the age, temperamental, psychological and physical status
375		of the patient, as well as the magnitude of the operative procedure, including the use of
376		age/developmental appropriate pain scales in order to select the proper regimen.
377		3. Be competent in monitoring vital functions.
378 379		<ol> <li>Be competent in prevention, recognition and management of related complications; particularly airway complications.</li> </ol>
379		particularly airway complications.

380 381		5.	Have in-depth knowledge of the appropriateness of and the indications for medical consultation or referral.
382 383 384 385		6.	Be competent in the maintenance of proper records with accurate chart entries recording medical history, physical examination, vital signs, drugs administered and patient response.
386 387	В.	Pai	in Control Curriculum Content:
388 389		1.	Philosophy of anxiety, pain control, and pediatric behavior guidance, including the nature and purpose of pain
390		2.	Review of physiologic and psychologic aspects of anxiety and pain
391		3.	Review of pediatric airway anatomy and physiology
392 393 394 395 396 397 398 399		4.	Physiologic monitoring a. Observation (1) Central nervous system (2) Respiratory system (a) Oxygenation (b) Ventilation (3) Cardiovascular system b. Monitoring equipment
400 401 402 403 404 405 406 407		5.	<ul> <li>Pharmacologic aspects of anxiety and pain control <ul> <li>a. Routes of drug administration</li> <li>b. Sedatives, anxiolytics and antagonists</li> <li>c. Local anesthetics</li> <li>d. Analgesics and antagonists</li> <li>e. Adverse side effects</li> <li>f. Drug interactions</li> <li>g. Drug abuse</li> </ul> </li> </ul>
408 409 410 411 412 413		6.	Control of preoperative and operative anxiety and pain a. Patient evaluation (1) Behavior and psychological status (2) ASA physical status (3) Type and extent of operative procedure
414			b. Nonpharmacologic methods
415 416			(1) Pediatric Benavior guidance Strategies
410 /17			(a) Communicative strategies of patient management (b) Distraction
418			(c) Positive reinforcement
419			(d) Tell-show-do
420			(e) Memory restructuring
			(-, ······ , ····· )

421	(f) Systematic desensitization
422	
423	c. Local anesthesia
424	(1) Review of related anatomy and physiology
425	(2) Pharmacology
426	(a) Focus on weight-based calculations for pediatric patients
427	(aa) Adjustments for overweight and obese patients
428	(b) Toxicity
429	(c) Selection of agents
430	(3) Techniques of administration
431	(a) Topical
432	(b) Infiltration (supraperiosteal)
433	(c) Nerve block – maxilla-to include:
434	(aa) Posterior superior alveolar
435	(bb) Infraorbital
436	(cc) Nasopalatine
437	(dd) Greater palatine
438	(ee) Maxillary (2 <sup>nd</sup> division)
439	(ff) Other blocks
440	(d) Nerve block – mandible-to include:
441	(aa) Inferior alveolar-lingual
442	(bb) Mental-incisive
443	(cc) Buccal
444	(dd) Gow-Gates
445	(ee) Closed mouth
446	(e) Alternative injections-to include:
447	(aa) Periodontal ligament
448	(bb) Dental intraosseous
449	
450	d. Prevention, recognition and management of complications and emergencies
451	
452	C. Sequence of Pain Control Didactic and Clinical Instruction: Beyond the basic didactic
453	instruction in local anesthesia, additional time should be provided for demonstrations and
454	clinical practice of the injection techniques. The teaching of other methods of anxiety and pain
455	control, such as the use of analgesics and enteral, inhalation and parenteral sedation, should be
456	coordinated with a course in pharmacology. By this time the student also will have developed a
457	better understanding of patient evaluation and the problems related to prior patient care. As
458	part of this instruction, the student should be taught the techniques of venipuncture and
459	physiologic monitoring. Time should be included for demonstration of minimal and moderate

- 460 sedation techniques.
- 461 Following didactic instruction in minimal and moderate sedation, the student must receive
- 462 sufficient clinical experience to demonstrate competency in those techniques in which the
- 463 student is to be certified. It is understood that not all institutions may be able to provide

464 465 466 467	instruction to the level of clinical competence in pharmacologic sedation modalities to all students. The amount of clinical experience required to achieve competency will vary according to patient population (i.e., healthy vs medically complex), student ability, teaching methods and the anxiety and pain control modality taught.
468 469 470 471 472	Throughout both didactic and clinical instruction in anxiety and pain control, the importance of non-pharmacologic pediatric guidance throughout the sedation procedure should be stressed. Instruction should emphasize that the need for sedative techniques is related to the patient's age, level of anxiety, cooperation, medical condition and the planned procedures.
473 474 475	<b>D. Faculty:</b> Instruction must be provided by qualified faculty for whom pediatric sedation and pain control are areas of major proficiency, interest and concern.
476 477 478 479 480	<b>E. Facilities:</b> Competency courses must be presented in facilities appropriately prepared for pediatric patient care, with drugs and equipment immediately available for the management of emergencies.
481	N/ Teaching Administration of Dedictric Minimal Sedetion
482 483	iv. reaching Administration of Pediatric Minimal Sedation
483 484 485 486 487 488 488 489	The faculty responsible for curriculum in pediatric minimal sedation techniques must be familiar with the ADA Policy Statement: <i>Guidelines for the Use of Sedation and General Anesthesia by Dentists</i> , the Commission on Dental Accreditation's Accreditation Standards for Dental Education Programs, and the AAP/AAPD Guidelines for Monitoring and Management of Pediatric Patients During and After Sedation for Diagnostic and Therapeutic Procedures.
<ul> <li>490</li> <li>491</li> <li>492</li> <li>493</li> <li>494</li> <li>495</li> <li>496</li> <li>497</li> <li>498</li> <li>499</li> </ul>	These various guidelines and standards present a basic overview of the recommendations for teaching pediatric minimal sedation. These include courses in nitrous oxide-oxygen inhalation sedation and minimal sedation, most likely administered via the enteral route in pediatric patients. Minimal sedation in pediatric patients may be achieved by the administration of a single dose of a drug, not to exceed the maximum recommended dose (MRD). The administration of more than one drug, one drug exceeding the MRD, or concomitant use of nitrous oxide with another drug or use at concentrations >50% during a single appointment may produce moderate or deep levels of sedation, wherein guidelines for those levels of sedation apply, as indicated by the patient's response. <sup>6</sup>
500 501	<b>General Objectives:</b> Upon completion of a competency course in pediatric minimal sedation, the dentist must be able to:
502 503 504	<ol> <li>Describe the anatomy and physiology of the respiratory, cardiovascular and central nervous systems, as they relate to the above techniques and the unique anatomy and physiology of the child patient and the challenges that they present.</li> </ol>
505	2. Describe the pharmacological effects of sedative medications.

506 507	3.	Describe the methods of obtaining a medical history and conducting an appropriate physical examination.
508	4.	Apply these methods clinically in order to obtain an accurate evaluation.
509 510	5.	Use this information clinically for ASA classification risk assessment and pre-procedure fasting instructions.
511	6.	Choose the most appropriate technique for the individual patient.
512	7.	Use appropriate physiologic monitoring equipment.
513 514 515 516	8.	Describe the physiologic responses that are consistent with pediatric minimal sedation, including retention of the patient's ability to independently and continuously maintain an airway and respond <i>normally</i> to tactile stimulation and verbal command, as well as maintain respiratory and cardiovascular stability.
517	9.	Understand the sedation/general anesthesia continuum.
518 519	10	. Demonstrate the ability to diagnose and treat emergencies related to the next deeper level of anesthesia than intended.
520		
521 522	Minim	al Sedation (Nitrous Oxide-Oxygen): Inhalation
523 524 525	<b>A. Min</b> genera techni	<b>imal Sedation (Nitrous Oxide-Oxygen): Inhalation Course Objectives:</b> In addition to the al objectives listed above, upon completion of a competency course in inhalation sedation ques, the dentist must be able to:
526	1.	Describe the basic components of inhalation sedation equipment.
527	2.	Discuss the function of each of these components.
528	3.	List and discuss the advantages and disadvantages of inhalation sedation.
529	4.	List and discuss the indications and contraindications of inhalation sedation.
530	5.	List the complications associated with inhalation sedation.
531	6.	Discuss the prevention, recognition and management of these complications.
532 533	7.	Administer inhalation sedation to pediatric patients in a clinical setting in a safe and effective manner.
534 535	8.	Discuss the abuse potential, occupational hazards and other untoward effects of inhalation agents.
536	9.	List and discuss failed pediatric inhalation sedation and alternative care.

537 538	B. Mir	nimal Sedation (Nitrous Oxide-Oxygen): Inhalation Course Content:
539	1.	Historical, philosophical and psychological aspects of anxiety and pain control.
540 541	2.	Patient evaluation and selection through review of medical history taking, physical diagnosis and psychological considerations.
542 543	3.	Definitions and descriptions of physiological and psychological aspects of anxiety and pain.
544 545 546	4.	Description of the stages of drug-induced central nervous system depression through all levels of consciousness and unconsciousness, with special emphasis on the distinction between the conscious and the unconscious state.
547	5.	Review of pediatric respiratory and circulatory physiology and related anatomy.
548 549	6.	Pharmacology of agents used in inhalation sedation, including drug interactions and incompatibilities.
550	7.	Indications and contraindications for use of inhalation sedation.
551	8.	Review of dental procedures possible under inhalation sedation.
552 553 554	9.	Patient monitoring using observation and monitoring equipment (e.g., pulse oximetry), with particular attention to vital signs and reflexes related to pharmacology of nitrous oxide.
555 556 557	10	. Importance of maintaining proper records with accurate chart entries recording medical history, physical examination, vital signs, drugs and doses administered and patient response.
558 559	11	. Discussion of recovery from inhalational minimal sedation and appropriate discharge criteria.
560	12	. Prevention, recognition and management of complications and emergencies.
561	13	. Administration of local anesthesia in conjunction with inhalation sedation techniques.
562	14	. Description, maintenance and use of inhalation sedation equipment.
563	15	. Description, maintenance and use of emergency equipment and drugs.
564 565	16	. Introduction to potential health hazards of trace anesthetics and proposed techniques for limiting occupational exposure.
566	17	. Discussion of abuse potential.
567	18	. Discussion of failed pediatric inhalation sedation and alternative care.

568 C. Minimal Sedation (Nitrous Oxide-Oxygen): Inhalation Course Duration: While length of a course is only one of the many factors to be considered in determining the quality of an 569 570 educational program, the course should be a minimum of 14 didactic hours, which may overlap with adult inhalation sedation instruction, in addition to management of clinical pediatric 571 572 dental cases, during which clinical competency in inhalation sedation technique is achieved. The 573 pediatric inhalation sedation course most often is completed as a part of the predoctoral dental education program. However, the course may be completed in a postdoctoral continuing 574 575 education competency course. 576 577 D. Participant Evaluation and Documentation of Minimal Sedation (Nitrous Oxide-Oxygen): 578 Inhalation Instruction: Competency courses in inhalation sedation techniques must afford 579 participants with sufficient clinical experience to enable them to achieve competency. This experience must be provided under the supervision of qualified faculty and must be evaluated. 580 581 The course director must certify the competency of participants upon satisfactory completion 582 of training. Records of the didactic instruction and clinical experience, including the number of 583 patients treated by each participant must be maintained and available. Participants must document current certification in Basic Life Support for Healthcare Providers. 584 585 586 E. Minimal Sedation (Nitrous Oxide-Oxygen): Inhalation Faculty: The course should be 587 directed by a dentist or physician qualified by experience and training in the care of pediatric 588 patients, including those with special healthcare needs. This individual should possess an active state permit or license to administer moderate sedation to pediatric patients, and a minimum 589 of three years of experience administering sedation to pediatric patients, which may include 590 accredited postdoctoral training in pediatric anxiety and pain control. In addition, the 591 592 participation of highly qualified individuals in related fields, such as anesthesiologists, 593 pharmacologists, internists, cardiologists and psychologists, should be encouraged. 594 595 The participant-faculty ratio should not exceed 10:1 during pediatric inhalation sedation 596 instruction for appropriate supervision during the clinical phase of instruction; a 1:1 ratio is 597 recommended during the early phase of clinical instruction. 598 The faculty should provide a mechanism whereby the participant can evaluate the performance 599 of those individuals who present the course material. 600 601 602 F. Minimal Sedation (Nitrous Oxide-Oxygen): Inhalation Facilities: Competency courses must 603 be presented in facilities appropriately prepared for pediatric patient care, with drugs and 604 equipment immediately available for the management of emergencies. 605 Minimal Sedation: Enteral 606 607 A. Minimal Sedation: Enteral Course Objectives: In addition to the general objectives listed 608 above, upon completion of a competency course in minimal sedation techniques, the dentist 609

610 must be able to:

611	1.	List and discuss the advantages and disadvantages of enteral minimal sedation.
612 613	2.	List and discuss the indications and contraindications for the use of enteral minimal sedation.
614	3.	List the complications associated with enteral minimal sedation.
615 616	4.	Discuss the prevention, recognition and management of these complications, including patient rescue.
617 618	5.	Administer enteral minimal sedation to pediatric patients in a clinical setting in a safe and effective manner.
619 620	6.	Discuss the abuse potential, occupational hazards and other effects of enteral and inhalation agents.
621 622	7.	Discuss the pharmacology of the enteral and inhalation drugs selected for administration.
623 624	8.	Discuss the precautions, contraindications and adverse reactions associated with the select enteral medications.
625	9.	Discuss recovery from enteral minimal sedation and appropriate discharge criteria.
626 627 628	10.	Describe a protocol for management of emergencies in the dental office and list and discuss the airway maneuvers, emergency drugs and equipment required for management of life-threatening situations.
629 630 631	11.	Demonstrate the ability to manage life-threatening emergency situations, including current certification in Basic Life Support for Healthcare Providers. Training in advanced airway management (e.g., Pediatric Advanced Life Support) is strongly suggested.
632	12	List and discuss failed pediatric sedation and alternative care.
633 634 <b>B</b>	8. Min	imal Sedation: Enteral Course Content:
635	1.	Historical, philosophical and psychological aspects of anxiety and pain control.
636 637 638	2.	Preventive and non-restorative strategies that may provide an alternative to the use of sedation/general anesthesia, such as Silver Diamine Fluoride (SDF), Alternative Restorative Treatment (ART) and Interim Therapeutic Restoration (ITR).
639 640	3.	Patient evaluation and selection through review of age, temperament/behavior, medical history taking, and physical diagnosis.
641 642	4.	Definitions and descriptions of pediatric physiological and psychological aspects of anxiety and pain.

- 6435. Description of the stages of drug-induced central nervous system depression through all644levels of sedation, with special emphasis on the distinction between the various levels of645sedation.
- 646 6. Review of pediatric respiratory and circulatory physiology and related anatomy.
- 647
   7. Pharmacology of agents used in enteral minimal sedation, including dosing,
   648 administration techniques and rates, drug interactions and incompatibilities. Emphasis
   649 on unintended deeper level of sedation including monitoring, management and reversal
   650 options.
- 8. Indications and contraindications for use of enteral minimal sedation.
- 9. Review of dental procedures possible under enteral minimal sedation.
- 10. Administration of local anesthesia in conjunction with enteral minimal sedation.
- 65411. Pediatric patient monitoring using observation, monitoring equipment, with particular655attention to vital signs and monitoring of consciousness level.
- Maintaining proper records with accurate chart entries recording medical history,
   physical examination including weight, NPO status, informed consent, medications
   including local anesthetics and doses, and time-oriented sedation/anesthesia record,
   including any monitored physiological parameters, recovery and readiness for discharge.
- 13. Prevention, recognition and management of complications and life-threateningsituations including patient rescue.
- 662 14. Description, maintenance and use of emergency equipment and drugs.
- 663 15. Discussion of abuse potential of sedative medications.
- 664 16. List and discuss failed pediatric sedation and alternative care.

665 C. Minimal Sedation: Enteral Course Duration and Documentation: While course duration is only one of the many factors to be considered in determining the quality of an educational 666 program, the course should include a minimum of 20 didactic hours and a minimum of 10 667 668 individually managed clinical sedation cases involving pediatric patients 8 years old and younger, during which competency is demonstrated. The faculty should schedule participants 669 to return for additional clinical experience if competency has not been achieved in the time 670 671 allotted. The educational course may be completed in a predoctoral dental education 672 curriculum or a postdoctoral continuing education competency course. 673 Participants must document current certification in Basic Life Support for Healthcare Providers. 674 For trainees providing enteral minimal sedation to pediatric patients, training in advanced 675

- airway management (e.g., Pediatric Emergency Assessment and Stabilization (PEARS)) and/or
- pediatric life support (e.g., Pediatric Advanced Life Support (PALS)) is recommended. Simulation

- 678 training in the recognition and management of respiratory emergencies is highly
- 679 recommended.
- 680
- **D.** Participant Evaluation and Documentation of Minimal Sedation: Enteral Instruction:
- 682 Competency courses in pediatric enteral minimal sedation techniques must afford participants
- 683 with sufficient clinical understanding to enable them to achieve competency. The course
- 684 director must certify the competency of participants upon satisfactory completion of the
- 685 course. Records of the course instruction must be maintained and available.
- 686
- 687 E. Minimal Sedation: Enteral Faculty: The course should be directed by a dentist or physician qualified by experience and training in care of pediatric patients, including pediatric patients 688 689 with special healthcare needs. This individual should possess an active permit or license to administer moderate sedation to pediatric patients in at least one state, have had at least three 690 691 years of experience, including the individual's formal postdoctoral training in anxiety and pain 692 control. In addition, the participation of highly qualified individuals in related fields, such as 693 anesthesiologists, pharmacologists, internists, cardiologists and psychologists, should be encouraged. The faculty should provide a mechanism whereby the participant can evaluate the 694 695 performance of those individuals who present the course material.
- 696

The participant-faculty ratio should not exceed 4:1 during enteral minimal sedation instruction for appropriate supervision during the clinical phase of instruction; a 1:1 ratio is recommended during the early phase of clinical instruction.

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F. Minimal Sedation: Enteral Facilities: Competency courses must be presented in facilities
 appropriately prepared for pediatric patient care, including drugs and equipment immediately
 available for the management of emergencies.

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# V. Teaching Administration of Pediatric Moderate Sedation

These *Guidelines* present a basic overview of the requirements for a competency course in
pediatric moderate sedation. These include courses in enteral and parenteral pediatric
moderate sedation. The teaching guidelines contained in this section on moderate sedation
differ slightly from documents in medicine to reflect the differences in delivery methodologies
and practice environment in dentistry.

- 713 Completion of a prerequisite nitrous oxide-oxygen competency course is required for
- 714 participants utilizing nitrous oxide-oxygen for moderate sedation.
- 715

A. Pediatric Moderate Sedation Course Objectives: Upon completion of a course in pediatric
 moderate sedation, the dentist must be able to:

1. List and discuss the advantages and disadvantages of moderate sedation.

719 720	2.	Discuss the limitations of moderate sedation when treating pre-cooperative pediatric patients.
721 722	3	. Describe and demonstrate the techniques of intravenous access, intramuscular injection and other parenteral techniques (e.g., intranasal).
723	4.	Discuss the pharmacology of the drug(s) selected for administration.
724 725	5.	Discuss the precautions, indications, contraindications and adverse reactions associated with the drug(s) selected.
726 727 728 729	6.	Discuss the pharmacological effects of combined drug therapy, their implications and their management including an understanding that nitrous oxide-oxygen when used in combination with sedative agent(s) may produce moderate or deep sedation or general anesthesia.
730 731	7.	Administer moderate sedation to pediatric dental patients in a clinical setting in a safe and effective manner.
732	8.	Discuss recovery from moderate sedation and appropriate discharge criteria.
733 734	9.	List and discuss the prevention, recognition and management of complications associated with moderate sedation.
735 736	10	. List and discuss the emergency drugs and equipment required for the prevention and management of emergency situations.
737 738	11	. Describe a protocol for management of emergencies in the dental office. Reinforce need to practice drills regularly in practice setting.
739 740	12	. Discuss principles of pediatric advanced life support, or an appropriate pediatric dental sedation/anesthesia emergency course equivalent.
741 742	13	. Demonstrate the ability to recognize and treat emergencies including reversal and rescue during an unintended deeper level of sedation.
743 744	14	. Discuss the abuse potential, occupational hazards and other untoward effects of the agents utilized to achieve moderate sedation.
745 746	15	. List and discuss failed pediatric sedation and alternative care.
747	B. Ped	liatric Moderate Sedation Course Content:
748	1.	Historical, philosophical and psychological aspects of anxiety and pain control.
749 750 751	2.	Patient evaluation and selection through review of age, temperament/behavior, medical history taking, and physical diagnosis including Malampatti scoring and tonsillar assessment.

752 753	3.	Use of patient history and examination for ASA classification, risk assessment and pre- procedure fasting instructions.
754 755	4.	Definitions and descriptions of physiological and psychological aspects of anxiety and pain.
756 757	5.	Description of the sedation/general anesthesia continuum, with special emphasis on the distinction between minimal, moderate and deep sedation and general anesthesia.
758	6.	Review of respiratory and circulatory physiology and related anatomy.
759 760 761 762	7.	Pharmacology of local anesthetics and agents used in moderate sedation, including drug interactions and contraindications. Emphasis on the role of local anesthetic toxicity in producing unintended deeper levels of sedation. The use of reversible sedation drugs is encouraged.
763	8.	Indications and contraindications for use of moderate sedation.
764	9.	Review of dental procedures possible under moderate sedation.
765	10.	Review of enteral moderate sedation techniques.
766 767 768	11.	Intravascular access: anatomy, equipment and techniques for intravenous and intraosseous access. Prevention, recognition and management of complications of venipuncture and emergency intraosseous access techniques.
769	12.	Review of parenteral moderate sedation techniques.
770	13.	Description and rationale for the technique to be employed.
771	14.	Description, maintenance and use of moderate sedation monitors and equipment.
772 773 774 775	15.	Patient monitoring using patient observation and monitoring equipment, with particular attention to vital signs, ventilation/oxygenation, and level of consciousness. Monitoring equipment reviewed should include: pulse oximeter, automated non-invasive blood pressure devices, electrocardiogram, capnograph and pretracheal stethoscope.
776	16.	Personnel requirements and roles of auxiliaries in monitoring sedation.
777 778 779 780	17.	Maintenance of proper records with accurate chart entries recording medical history, physical examination including weight, NPO status, informed consent, medications including local anesthetics and doses, and time-oriented sedation/anesthesia record, including any monitored physiological parameters, recovery and readiness for discharge.
781 782	18.	Prevention, recognition and management of complications and emergencies, with emphasis on pediatric airway maintenance and cardiovascular support.
783 784	19.	List and discuss failed pediatric sedation and alternative care.

- 785 20. Discussion of abuse potential of sedative medications.
- 787 C. Pediatric Moderate Sedation Course Duration and Documentation: The Course must
   788 include:
- A minimum of 60 hours of didactic instruction.
  A minimum of 20 individually managed clinical cases of moderate sedation for pediatric patients 8 years old and younger; at least 15 patients must be under 6 years of age.
- Certification of competence in pediatric moderate sedation technique(s).
- Certification of competence in rescuing patients from a deeper level of sedation than
   intended including managing the airway, intravascular or intraosseous access, and
   reversal medications.
- Provision by course director or faculty of additional clinical experience if participant
   competency has not been achieved in time allotted.
- Records of instruction and clinical experiences (i.e., number of patients managed by each participant in each modality/route) that are maintained and available for participant review.
- Dentists providing moderate sedation to pediatric patients must also receive training in
   advanced emergency recognition and airway management ideally incorporating live patient
   experience or emergency management training using high fidelity simulation. As an alternative,
   PALS (Pediatric Advanced Life Support) and/or PEARS (Pediatric Emergency Assessment,
   Recognition and Stabilization) courses or other courses which provide similar training may be
   used.

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- **D. Pediatric Moderate Sedation Documentation of Instruction:** The course director must
   certify the competency of participants upon satisfactory completion of training in each
   moderate sedation technique, including instruction, clinical experience, managing the airway,
   intravascular/intraosseous access, and reversal medications.
- 812

813 E. Pediatric Moderate Sedation Faculty: The course should be directed by a dentist or physician qualified by experience and training in care of pediatric patients, including those with special 814 healthcare needs. This individual should possess an active state permit or license to administer 815 816 moderate sedation to pediatric patients, and a minimum of three years of experience 817 administering sedation to pediatric patients, which must include accredited postdoctoral 818 training in pediatric anxiety and pain control. In addition, the participation of highly qualified individuals in related fields, such as anesthesiologists, pharmacologists, internists, cardiologists 819 820 and psychologists, should be encouraged.

821

The participant-faculty ratio should not exceed 4:1 during moderate sedation instruction for appropriate supervision during the clinical phase of instruction. A 1:1 ratio is recommended during the early phases of clinical instruction.

826 Course and faculty evaluations should be completed by participants and made available for 827 review.

828

F. Pediatric Moderate Sedation Facilities: Competency courses must be presented in facilities
 appropriately prepared for pediatric patient care, with drugs and equipment immediately
 available for the management of emergencies. These facilities may include dental and medical
 schools/offices, hospitals and surgical centers.

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<sup>1</sup> Coric, A, Banozic, A, et al., *Dental fear and anxiety in older children: an association with parental dental anxiety and effective pain coping strategies.* J Pain Res, 2014. **7**: p. 515-21.

<sup>2</sup> Cantekin, K, Yildirim, MD, and Cantekin, I, Assessing change in quality of life and dental anxiety in young children following dental rehabilitation under general anesthesia. Pediatr Dent, 2014. **36**(1): p. 12E-17E.

<sup>3</sup> Wilson, S, *Management of child patient behavior: quality of care, fear and anxiety, and the child patient.* J Endod, 2013. **39**(3 Suppl): p. S73-7.

<sup>4</sup> Guidelines for the Use of Sedation and General Anesthesia by Dentists (trans.2007:282; 2012:468; 2016:277), October 2019, of the American Dental Association (ADA). Retrieved from <a href="http://www.ada.org/~/media/ADA/Education%20and%20Careers/Files/anesthesia">http://www.ada.org/~/media/ADA/Education%20and%20Careers/Files/anesthesia</a> use guidelines.pdf

<sup>5</sup> Cote, CJ, Wilson, S, et al., *Guidelines for Monitoring and Management of Pediatric Patients Before, During, and After Sedation for Diagnostic and Therapeutic Procedures.* Pediatrics, 2019. 143(6).

<sup>6</sup> Excerpted from *Continuum* of *Depth of Sedation: Definition of General Anesthesia and Levels of Sedation/Analgesia*, 2014, of the American Society of Anesthesiologists (ASA)

<sup>7</sup> Specialty Definitions. National Commission on Recognition of Dental Specialties and Certifying Boards (NCRDSCB), Updated March 2019. Retrieved from <u>https://www.ada.org/en/ncrdscb/dental-specialties/specialty-definitions</u>

<sup>8</sup> ASA Physical Status Classification System is reprinted with permission of the American Society of Anesthesiologists, Last Amended October 23, 2019 original approval: October 15, 2014.

<sup>9</sup> American Society of Anesthesiologists: Practice Guidelines for preoperative fasting and the use of pharmacologic agents to reduce the risk of pulmonary aspiration: application to healthy patients undergoing elective procedures. Anesthesiology 114:495. Updated in 2017. Reprinted with permission.