

1 ADBA's application states that ADBA was originally founded in 1994 under the sponsorship of the
2 American Society of Dentist Anesthesiologists (ASDA). On March 11, 2019, the National Commission
3 on Recognition of Dental Specialties and Certifying Boards recognized dental anesthesiology as a
4 dental specialty. The Council believes that the close working relationship between the ASDA and the
5 ADBA is not only clearly established within the ASDA's application for specialty recognition, but also
6 evident within the governing documents (Constitution and Bylaws) for each respective organization.
7 The Council believes Organization of Boards Requirement 1 is met.
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9 In regard to Organization of Boards Requirement 2, The ADBA's application demonstrates there is
10 sufficient evidence that the certification program in place is sufficiently comprehensive in scope to meet
11 the requirements established by the ADA for the operation of a certifying board. The ADBA is
12 sponsored by the American Society of Dentist Anesthesiologists (ASDA), which has satisfactorily met
13 all the elements of Requirement (1) of the NCRDSCB Requirements for Recognition of Dental
14 Specialties as ultimately evident by the announcement on March 11, 2019 accepting the ASDA's
15 application and request for recognition of dental anesthesiology as a dental specialty. Furthermore,
16 the relationship between ADBA and ASDA is clearly established and documented within the ASDA
17 and the ADBA governing documents, specifically their respective Constitutions and Bylaws. The
18 Council believes Organization of Boards Requirement 2 is met.
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20 In regard to Organization of Boards Requirement 3, the application provides ample evidence that
21 ADBA has adequate financial support to conduct its program of certification. The Council referenced
22 Chapter VII: Finances, found within the ADBA Constitution and Bylaws, which provides the
23 organizational guidance that governs the finances of the Certifying Board. The ADBA has
24 established a general fund as well as a reserve fund which adequately support all business
25 activities of the organization. As of December 31, 2018, the ADBA has \$116,769.91 in its general
26 fund and \$142,363.21 in its reserve fund, for a total asset value of \$259,133.12. The ADBA has
27 \$0.00 total liabilities, and therefore, has a total net asset value of \$259,133.12.
28

29 In reviewing ADBA's financial statement, generated by the accounting firm Holland and Company, the
30 Council noted that as of December 31, 2018, the ADBA's yearly revenue was \$140,276.65, yearly
31 functional expenses were \$101,194.27, for a net annual revenue gain of \$39,082.38. ADBA's
32 application states that all financial support for the organizational activities of the ADBA originates
33 completely within the organization. The vast majority of the organization's income is derived from the
34 collection of annual Diplomate registration dues and examination fees (Written Exam, Oral Exam, and
35 In-Training Exams), with a smaller fraction derived from investments. The Council believes
36 Organization of Boards Requirement 3 is met.
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38 In regard to Organization of Boards Requirement 4, the Council reviewed ADBA's Constitution and
39 Bylaws, Chapter II, Section 80, and Chapter IV, noting that the examination content is overseen and
40 developed primarily by the Vice-Presidents of the Written and Oral Exams, who serve as
41 Chairpersons of their respective exam committees. All content for the Written and Oral Exams is
42 developed exclusively within the ADBA, and anyone serving on the aforementioned examination
43 committees is required to be a Diplomate of the ADBA. ADBA's application states that all
44 examiners that administer the Oral Exam are required to be ADBA Diplomates, consisting of
45 members of the current ADBA Board of Directors as well as invited members selected from the pool
46 of ADBA Diplomates in good standing. All examiners are trained and calibrated according to the
47 testing standards established by the Oral Exam Committee. The Council believes Organization of
48 Boards Requirement 4 is met.

Operation of Boards:

In regard to Operation of Boards Requirement 1, the Council discussed and agreed that the ADBA application provides sufficient narrative and supporting documentation that evidences that the ADBA only certifies qualified dentists as ADBA Diplomates within the special area of dental practice defined as Dental Anesthesiology. As described in the ADBA mission statement and the ADBA Constitution and Bylaws, a qualified dentist is defined as an individual who has completed the requisite training in Dental Anesthesiology. In reviewing the Certification Requirements, the Council noted that a dentist with training in other areas or specialties within dentistry would only qualify to be an eligible candidate for the ADBA if they also successfully completed an accredited program in dental anesthesiology. There are no other recognized certifying boards with the same explicit training requirements within the specialty of Dental Anesthesiology. The Council believes Operation of Boards Requirement 1 is met.

In regard to Operation of Boards Requirement 2, in reviewing the ADBA Constitution and Bylaws, specifically Chapter VI, Section 40.A, the Council noted that the ADBA offers the Written Exam and the Oral Exam one (1) time each per calendar year. The Written Exam is offered and administered annually on the day prior to the start of the ASDA Annual Scientific Session, which is held each spring. The Oral Exam is offered and administered annually as well, typically each June. The dates for the Written and Oral Exams are published on the ADBA website well in advance, at least six (6) months in advance. Dates for the upcoming 2020 Written (4/29/2020) and Oral (5/30-31/2020) Exams are already available and published on the ADBA website. The Council believes Operation of Boards Requirement 2 is met.

In regard to Operation of Boards Requirement 3, the ADBA has a policy in place, per its Constitution and Bylaws, Chapter 1, Section 100.I, that states that a duty of the Board of Directors is to maintain a current roster of Diplomates of the American Dental Board of Anesthesiology. The ADBA publishes the list of all current ADBA Diplomates who wish to be published that can be found within the ADBA website and which is made available to the public. The Council believes Operation of Boards Requirement 3 is met.

In regard to Operation of Boards Requirement 4, the ADBA application provides sufficient data and documentation pertaining to the ADBA's financial operations, applicant admission procedures and examination content and results. The ADBA follows the Standards for Educational and Psychological Testing for examination development, evaluation of results, including validity and reliability evidence, and procedures for examination administration. ADBA Diplomates can, upon request to the ADBA Board of Directors, obtain copies of the annual technical and financial reports of the Board. The Council believes Operation of Boards Requirement 4 is met.

In regard to Operation of Boards Requirement 5, the Council noted that ADBA's Constitution and Bylaws, Chapter VI, Section 60, state that Diplomates must comply with maintenance and recertification requirements as set by the Board of Directors. These requirements are further detailed within the ADBA website which states that Diplomates are required to submit to the ADBA documentation of one hundred twenty (120) credits of continuing education every six (6) years. This recertification is designed to encourage engagement in anesthesia related continuing education at the anesthesia specialist level. Attendance at Morbidity and Mortality Conferences related to anesthesiology for dentistry is also encouraged. This is a regular feature at the Annual Scientific Meeting of the ASDA, when the ADBA Diplomat meeting also generally takes place. Accordingly, the Council believes Operation of Boards Requirement 5 is met.

1 In regard to Operation of Boards Requirement 6, the Council reviewed the Certification and
2 Examination Summary and Historical Data provided by ADBA. Below are the statistics relative to the
3 number of diplomates.

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5 a. Number certified without examination from founding date through current date: 98
6 Diplomates

7 b. Number certified by examination through current date: 222 Diplomates

8 c. Total certified through current date: 320 Diplomates

9 d. Number of active Diplomates as of current date: 266 Diplomates

10 e. Total number that have taken the examination and number of candidates that have failed the
11 examination for the past five (5) years: 157 candidates/27 failures (Written Exam); 157
12 candidates/43 failures (Oral Exam)

13 f. List the sections of the examination and the total number that have passed and failed in each
14 section for the past five (5) years: 130 pass/27 failures (Written Exam 157 total candidates);
15 114 pass/43 failures (Oral Exam 157 total candidates)”

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17 The ADBA application provides sufficient evidence of its examination and certification of a significant
18 number of additional dentists in order to warrant its continuing approval by the NCRDSCB. The Council
19 believes Operation of Boards Requirement 6 is met.

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21 In regard to Operation of Boards Requirement 7, according to the ADBA Constitution and Bylaws, the
22 ADBA bears the full weight and sole responsibility for conducting all business pertaining to the
23 evaluation of the qualifications and competence of applicants, those it certifies as diplomates, as well
24 as the issuance and maintenance of certificates. The ADBA is not beholden to the sponsoring
25 organization or any other body in determining the qualifications or certification, competency of
26 applicants, or issuance of certificates. This independence is made clear within the ADBA Constitution
27 and Bylaws and particular responsibilities are detailed within Article III: Objectives and Responsibilities;
28 Chapter I, Section 90: Powers, and Section 100: Duties. The Council also noted that the ADBA
29 Certificate and Mission tab via ADBA's website provide additional evidence supporting the fact that the
30 ADBA is the sole organization responsible for the following: establishing the qualifications for
31 Diplomate status of the ADBA; determining the eligibility of applicants for examination; examining
32 candidates seeking Diplomate status, and conferring Diplomate status upon those candidates who
33 successfully complete the examination process. The Council believes Operation of Boards
34 Requirement 7 is met.

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36 In regard to Operation of Boards Requirement 8, the ADBA Constitution and Bylaws, Chapter VI,
37 Section 70: Annual Registration Fee state that each diplomate is required to submit an annual
38 registration along with the associated registration fee, unless otherwise deemed exempt. The annual
39 registration fee as currently established by the Board of Directors is \$275 and is evidenced by the
40 blank invoice included as part of the supporting documentation. The recertification process, which is
41 required every six (6) years, has no associated fee as that is covered by the annual registration fee.
42 The Council also noted the 2018 Financial Statement which further evidences the collection of the
43 registration fee. Registration income, per the 2018 Financial Statement, is \$68,929.44. The Council
44 believes Operation of Boards Requirement 8 is met.

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46 **Certification Requirements:**

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48 In regard to Certification Requirements Requirement 1, the Council discussed and agreed that the

1 ADBA application narrative and supporting documentation provide sufficient evidence that ADBA meets
2 Requirement 1. The ADBA Constitution and Bylaws outline the basic requirements for board eligibility
3 in Chapter VI: Board Certification, Section 20.A. The eligibility criteria for individuals interested in
4 applying to become a candidate for the ADBA Written and Oral Board Exams are made available in
5 several locations on the ADBA website. Additionally, the ADBA application forms also make
6 abundantly clear to applicants the necessary documentation required to gain entrance to the Written
7 and Oral Exams. The Council believes Requirement 1 of the Certification Requirements is met.
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9 In regard to Certification Requirements Requirement 2, the ADBA application states that although
10 different dental specialties may have exposure to anesthesiology for varying lengths of time during
11 training, only graduates of a CODA accredited Dental Anesthesiology residency program or
12 individuals within six (6) months of graduation from a CODA accredited Dental Anesthesiology
13 residency program, with written permission from their program director, may become eligible to take
14 the Written Exam. Candidates must successfully pass the Written Exam prior to becoming eligible to
15 take the Oral Exam. In addition to successful completion of the Written Exam, the ADBA requires
16 candidates to have a minimum of six (6) months of independent anesthesia practice prior to gaining
17 eligibility to sit for the Oral Exam.
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19 The Council noted that the eligibility criteria for individuals interested in applying to become a candidate
20 for the ADBA Written and Oral Board Exams are made available in several locations on the ADBA
21 website. Additionally, the ADBA application forms also make abundantly clear to applicants the
22 necessary documentation required to gain entrance to the Written and Oral Exams. The Council
23 believes Requirement 2 of the Certification Requirements is met.
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25 In regard to Certification Requirements Requirement 3, the ADBA, with cooperation and support from
26 its sponsoring organization, has published its recommendations on the educational program and
27 experience requirements that candidates are expected to meet in several areas on its website. The
28 ADBA Board of Directors, along with the ASDA which provides recommendations, guidance, and input
29 as the sponsoring organization via the ASDA Immediate Past President, have established, perpetually
30 reviewed, and periodically revised the didactic and clinical educational requirements expected of
31 board eligible candidates.
32

33 To help guide prospective candidates, the ADBA publishes on its website an outline of the content of
34 the Written Exam, as well as overviews of the examination processes for the Written and Oral Exams.
35 Additionally, the ADBA meets annually with the Dental Anesthesiology program directors to review and
36 discuss items of interest including recommendations on the educational program and experiences
37 candidates are expected to meet prior to taking the ADBA Exams. Finally, the ADBA sends a letter
38 each year after the Oral Exams to each of the candidates who failed to successfully complete the Oral
39 Exam as well as to each of the Dental Anesthesiology program directors, that details several common
40 areas of weakness or general trends noted during the Oral Exam. The Council believes Requirement 3
41 of the Certification Requirements is met.
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43 **Action:** The Council directed that written comment be sent to the National Commission on
44 Recognition of Dental Specialties and Certifying Boards noting its conclusion that the application
45 submitted by the American Dental Board of Anesthesiology meets the ADA *Requirements for*
46 *Recognition of Dental Specialties and National Certifying Boards of Dental Specialists* and
47 urging that the American Dental Board of Anesthesiology be recognized as the national
48 certifying board for Dental Anesthesiology.

- 1 **Reminders:** The Council was reminded that the next in-person meeting of the Council will be January
- 2 16-17, 2020 at ADA Headquarters in Chicago.
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- 4 **Adjournment:** 6:19 PM, Wednesday, December 11, 2019