

Dental Quality Alliance

User Guide for Adult Measures Calculated Using Administrative Claims Data

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Table of Contents

1. Background	5
A. Measure Development	5
B. DQA Measures Summary	5
Adult Measures	6
Pregnancy Measures	6
Pediatric Measures	6
C. Measure Specification Updates	6
2. Measure Implementation Considerations	9
A. Implementing Measures for the Appropriate Reporting Units/Level of Care and D)ata
Sources	9
B. Implementing Measures in Accountability Applications	10
C. Reporting Measure Time Trends	11
D. Comparing Measures Between Reporting Entities	11
3. Data Collection, Preparation, and Reporting for Measures Implemented using Admir	nistrative
Enrollment and Claims/Encounter Data	12
A. Defining Reporting Year: Calendar Year versus Federal Fiscal Year	12
B. Level of Measurement/Reporting Unit	12
C. Data Quality	13
D. Age Eligibility	13
Pediatric Measures	13
Adult Measures	14
Pregnancy-Related Measures	14
E. Dental Benefits Eligibility	14
F. Measures Requiring Additional Claims Data (e.g., Medical and Pharmacy)	15
G. Enrollment Eligibility: Calculating Continuous Enrollment for Reporting at the Plan	("Same"
Plan) and Program ("Any" Plan) Levels	15
H. Paid and Unpaid Claims	16
I. Using Provider Type to Identify "Dental" Services	16
Delineating "Dental" Services	16
"Billing" vs. "Rendering" Provider	17
J. Bundled Services Reported Using a Single Code on Dental Procedures and Nom	enclature
(CDT) Code	21

3|Page

	Κ.	FQHC Encounter Billing	.21
	L.	Non-FFS Reimbursement	.21
	M.	Identifying Individuals at "Elevated" Risk for Dental Caries	.21
	N.	Exclusion of Edentulous Individuals	.23
	О.	Stratification by Enrollee and Program Characteristics	.24
		Race and Ethnicity	.25
		Sex	.25
		Payer Type	.25
		Geographic Location	.26
		Special Health Care Needs (SHCN)	.26
4.	Frec	quently Asked Questions	.27
	Α.	Classifying Individuals at Elevated Caries Risk	.27
		A1. Why did the DQA not consider all Medicaid-enrolled individuals as being at	
		"elevated risk"?	.27
		A2. Why use methodologies that require prior years' data to identify elevated risk, which	ch
		may impact feasibility?	.27
		A3. Should individuals be enrolled in each of the three years to apply the 'look-back	
		method'?	. 28
		A4. What should I do if I do not have 3 years of claims history prior to the reporting year	ir
		for some individuals meeting the enrollment criteria in the reporting year?	.28
		A5. If I am a new plan in Medicaid or am entering a new market and do not have any	1
		claims from prior years, what can I do?	.29
	Β.	Topical Fluoride for Adults at Elevated Caries Risk: Why were 2 fluoride applications	
		selected to qualify for the numerator?	. 29
	C.	Identifying Individuals with a History of Periodontitis	. 29
		C1. Do the measures distinguish between aggressive and chronic periodontitis?	.29
		C2. Why use methodologies that require prior years' data to identify individuals with	
		periodontitis, which may impact feasibility?	. 29
		C3. Should individuals be enrolled in each of the three years to identify "history of	
		periodontitis"?	.30
		C4. What should I do if I do not have a full 3 years of claims history prior to the reportin	g
		year for some individuals meeting the enrollment criteria in the reporting year?	.30
		C5. If I am a relatively new plan in Medicaid or recently entering a new market and de	0
		not have claims history in that program/market for 3 prior years, what can I do?	.30

4 | Page

C6. If I am a new plan in Medicaid or am entering a new market and do not have any
claims from prior years, what can I do?
D. Why is Periodontal Evaluation in Adults with Periodontitis considered a "utilization"
measure and Non-Surgical Ongoing Periodontal Care for Adults with Periodontitis
considered a "process quality measure"?
E. Pregnancy-Related Measures: Why are the Measures limited to Beneficiaries with Live-Birth
Deliveries?
F. Dual Eligibles: Why are beneficiaries dually eligible for Medicaid and Medicare excluded
from some medical-dental measures?
G. Why are inpatient admissions excluded from Ambulatory Care Sensitive Emergency
Department Visits for Non-Traumatic Dental Conditions in Adults and Follow-Up after
Emergency Department Visits for Non-Traumatic Dental Conditions in Adults?
H. Follow-Up after Emergency Department Visits for Non-Traumatic Dental Conditions in
Adults: Are the 7-day and 30-day follow up periods for visits with a dentist after a non-
traumatic dental condition emergency department visit mutually exclusive?
I. Where can I access state-level oral healthcare quality reports?
Appendix 1: User Guide and Measure Specification Substantive Updates
Appendix 2: International Classification of Diseases, Clinical Modification, Cross-Mapping
End Notes

5 | Paae

1. Background

A. Measure Development

The <u>Dental Quality Alliance (DQA)</u> was formed in 2010 as a multi-stakeholder group to advance oral healthcare performance measurement. The DQA develops aligned, standardized, and validated measures that can be applied in the public and private sectors. DQA Measures include oral healthcare access, process, and outcomes quality measures and related healthcare delivery measures (e.g., utilization and cost of care). Measures developed by the DQA undergo rigorous validation.¹

DQA Measures can be used to:

- 1. uniformly assess evidence-based quality of care across reporting entities;
- inform performance improvement projects longitudinally and monitor improvements in care;
- 3. identify variations in care;
- 4. develop benchmarks for comparison; and
- 5. uniformly assess utilization of care.

DQA Measures include measures calculated using administrative claims data that are designed for use by public programs (e.g., Medicaid and CHIP), state Marketplaces, dental benefits administrators (DBAs), and managed care organizations (MCOs). DQA Measures have been formally adopted by the Centers for Medicare and Medicaid Services (CMS), the Health Resources and Services Administration (HRSA), state Medicaid programs, and state Marketplaces.²⁻⁴ This User Guide was developed to assist in implementing the administrative claims-based DQA Measures for adults.

B. DQA Measures Summary

Table 1 summarizes all validated DQA administrative claims-based measures for **adults** and during **pregnancy** as of September 1st, 2023. Detailed specifications are available on the <u>DQA</u> <u>website</u>.⁵ Information on measures currently in development also is available on the <u>DQA</u> <u>website</u>.⁶ DQA measures are reviewed on an annual basis with new versions effective January 1st of each year. This User Guide is updated on the same schedule.

6 | Paae

Adult Measures

The DQA approved three adult measures focused on prevention and disease management in December 2016. Three additional adult measures to assess ambulatory care sensitive dentalrelated emergency department visits and oral evaluation for individuals with diabetes were approved by the DQA in June 2019. These measures were developed for implementation with administrative enrollment and claims data for plan and program level reporting.

Pregnancy Measures

The DQA approved two measures focused on utilization of services and oral evaluation during pregnancy in November 2022. These measures were developed for implementation with administrative enrollment and claims data for plan and program level reporting.

This User Guide focuses on the six adult measures and two pregnancy measures.

Pediatric Measures

The DQA's initial measure set ("Starter Set"), Dental Caries in Children: Prevention and Disease Management, was approved by the DQA and published in July 2013. These measures were developed for implementation with administrative enrollment and claims data for plan and program level reporting. Two measures of ambulatory care sensitive emergency department visits among children for reasons related to dental caries and subsequent follow-up with a dental provider were developed in 2014 for implementation with administrative enrollment and claims data for program level reporting. DQA measures have been endorsed by the CMS's consensusbased entity.

Two measure concepts from the Starter Set that were developed for implementation with electronic health records (EHRs) were approved by the DQA and published in the United States Health Information Knowledgebase in October 2014.^{7,8}

The pediatric measures and companion User Guide are available on the DQA website.

C. Measure Specification Updates

The DQA has an annual measure review and maintenance process that includes a 30-day public comment period. The annual measure review reports are available on the <u>DQA website</u>.⁵

Measure specification updates are summarized in Appendix 1.

Table 1A. DQA Administrative Claims-Based Adult Measures Summary⁺

Evaluating Utilization

Measure	Measure Name	Description	Data Source	Measure	Level(s) of
Abbreviation				Domains	Measurement
PEV-A-A	Periodontal	Percentage of enrolled adults aged 30 years	Administrative	Related	Program, Plan
	Evaluation in Adults	and older with history of periodontitis who	enrollment	Health Care	
	with Periodontitis	received a comprehensive or periodic oral	and claims	Delivery: Use	
		evaluation or a comprehensive periodontal		of Services	
		evaluation within the reporting year			

Evaluating Quality of Care

Measure Abbreviation	Measure Name	Description	Data Source	Measure Domains	Level of Measurement
POC-A-A	Non-Surgical Ongoing Periodontal Care for Adults with Periodontitis	Percentage of enrolled adults aged 30 years and older with a history of periodontitis who received an oral prophylaxis OR scaling/root planing OR periodontal maintenance visit at least 2 times within the reporting year	Administrative enrollment and claims	Process	Program, Plan
TFL-A-A	Topical Fluoride for Adults at Elevated Caries Risk	Percentage of enrolled adults aged 18 years and older who are at "elevated" risk (i.e., "moderate" or "high") who received at least 2 topical fluoride applications within the reporting year	Administrative enrollment and claims	Process	Program, Plan
DOE-A-A	Adults with Diabetes – Oral Evaluation	Percentage of enrolled adults with diabetes who received a comprehensive or periodic oral evaluation or a comprehensive periodontal evaluation within the reporting year	Administrative enrollment and claims	Process	Program, Plan
EDV-A-A	Ambulatory Care Sensitive Emergency Department Visits for Non-Traumatic Dental Conditions in Adults	Number of emergency department (ED) visits for ambulatory care sensitive dental conditions per 100,000 member months for enrolled adults	Administrative enrollment and claims	Access	Program, Plan

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EDF-A-A	Follow-up after	The percentage of ambulatory care sensitive	Administrative	Process	Program, Plan
	Emergency	dental condition emergency department visits	enrollment		
	Department Visits	among adults aged 18 years and older in the	and claims		
	for Non-Traumatic	reporting period for which the member visited a			
	Dental Conditions in	dentist within (a) 7 days and (b) 30 days of the			
	Adults	ED visit			

[†]The detailed specifications can be found on the DQA website at: <u>https://www.ada.org/resources/research/dental-quality-alliance/dqa-dental-quality-measures</u>.

Table 1B. DQA Administrative Claims-Based Pregnancy Measures Summary⁺

Measure Measure Name		Description	Data Source	Measure	Level(s) of
Abbreviation				Domains	Measurement
UTL-P-A	Utilization of Dental	Percentage of enrolled persons aged 15	Administrative	Access	Program, Plan
	Services During	through 44 years with live-birth deliveries in the	enrollment		
	Pregnancy	reporting year who received any dental service	and claims		
		during pregnancy			
OEV-P-A	Oral Evaluation	Percentage of enrolled persons aged 15	Administrative	Access/	Program, Plan
	During Pregnancy	through 44 years with live-birth deliveries in the	enrollment	Process	
		reporting year who received a comprehensive	and claims		
		or periodic oral evaluation during pregnancy			

[†]The detailed specifications can be found on the DQA website at: <u>https://www.ada.org/resources/research/dental-quality-alliance/dqa-dental-quality-measures</u>.

2. Measure Implementation Considerations

Clearly specified, feasible, reliable, and valid measures are required to implement standardized performance measurement that fosters quality improvement and improved health outcomes. When standardized measures are implemented across reporting entities, benchmarks can be established, comparisons can be made, and improvement opportunities can be identified. DQA Measures are standardized with detailed specifications and have been validated for feasibility, reliability, usability, and validity.

Equally important to valid measurement is appropriate implementation of the measures. Measure users should verify that they can feasibly, reliably and validly implement the measures within their own systems of care.¹ This includes assessing the completeness and accuracy of the critical data elements used to calculate the measures, implementing the measures following the detailed measure specifications, and evaluating face validity of the resulting measure scores with individuals who have appropriate local expertise.

A. Implementing Measures for the Appropriate Reporting Units/Level of Care and Data Sources

Quality of care is assessed at multiple levels, such as practices, MCOs or medical/dental benefits administrators (DBAs), public insurance programs, and public health programs. There often are different measurement considerations at different "levels" of care. The level for which a measure is specified may also be referred to as the "reporting unit." In addition, different types of data sources (e.g., administrative claims, EHRs, or surveys) have different strengths and limitations. Measure development takes into account both the reporting unit and the data source.

Measures should be reported at the level (e.g., program, plan, or practice) and using the data source (e.g., administrative claims or EHR) for which they were developed and validated. Implementation of measures at different levels or with different data sources than those for which the measure was intended may not be reliable.

B. Implementing Measures in Accountability Applications

Performance measures are increasingly being used for accountability applications, which include consumer report cards, pay for performance programs, value-based payments, certification, and accreditation.

Before using a measure for accountability purposes, it is strongly recommended that the accountability application be preceded by a period during which reporting entities gain experience with measure implementation, data are collected to establish baseline values, and appropriate benchmarks for comparison and performance goals are identified.

The National Quality Forum (NQF) advises:⁹

When performance measures are used for accountability applications such as public reporting and pay-for-performance, then purchasers, policymakers and other users of performance measures should assess the potential impact on disadvantaged patient populations and the providers/health plans serving them to identify unintended consequences and to ensure alignment with program and policy goals. Additional actions such as creating peer groups for comparison purposes could be applied. (p. 11)

Incorporating quality measures for accountability applications should be tested using multiple years of measure data to evaluate whether the application achieves the intended goals and whether there are unintended consequences that may undermine quality improvement efforts. It is incumbent upon the users of performance measures to carefully evaluate these impacts prior to implementing the accountability application. Development of benchmarks for quality measures used in any reporting applications should be guided by historical data evaluation for the population being served. When used in pay-for-performance applications, the Medicaid state agency or other organization instituting the program should develop benchmarks using historical data based on the same definition of the measure that the responsible reporting entities (e.g., MCOs, DBAs) will be held accountable to and should test the application prior to implementation. Additionally, benchmarks need to be evaluated for each re-measurement period to avoid undermining the strides in quality improvements.

Implementing measures initially in non-accountability quality improvement initiatives can inform the development of accountability applications. Accountability applications should be considered only after there is experience with measure implementation, careful review and interpretation of the resulting measure rates, and an evaluation of the measure's effectiveness in promoting identified quality improvement and care goals.

11 | Page

C. Reporting Measure Time Trends

Quality improvement efforts require establishing baseline values of the quality measures being used and monitoring performance on those measures over time to assess whether improvements are occurring. Consequently, examining measure performance over time is a critical aspect of quality improvement. However, there are factors that may limit the ability to make reliable comparisons in measure performance between years. For example, if there are significant changes in measure specifications, then comparing measure scores over time using the different specifications will not provide a reliable indication of performance over time. Examples of significant changes in DQA measure specifications include changes to the pediatric sealant measures that became effective in January 2020.

Ultimately, what constitutes a significant change for reliably comparing measures over time must be determined by the measure user based on intended use. Historical changes to DQA adult measures are contained in <u>Appendix 1</u>. For example, effective January 2022, measure specifications were modified to exclude completely edentulous individuals from the denominators of the adult topical fluoride measure and measures focused on individuals with a history of periodontitis. **Measure users who wish to examine time trends for a measure that has undergone changes in specifications should apply the same version of the specifications** (current version or a previous version) across all years of interest. When applying the same set of specifications across years, users should note whether there have been any changes in relevant codes (e.g., introduction or removal of procedure codes, diagnosis codes, etc.) during those years and assess the impact of those code changes on the reported measure scores.

D. Comparing Measures Between Reporting Entities

Measure users are often interested in comparing their performance to a national benchmark or to other similar entities in order to assess their performance in a broader context and to inform quality improvement goal setting. Direct comparisons to other reporting entities (e.g., comparing performance between two Medicaid programs or between two MCOs) should be done with caution as multiple factors may influence relative performance. From a measurement perspective, it is important to ensure that there is comparability in data availability, data quality, and measure calculation. For example, when comparing measure scores between MCOs that participate in a Medicaid program, a newly-participating MCO may not have equivalent historical information for measures that require data from years prior to the reporting year.

12 | Paae

The DQA has published an <u>Oral Healthcare Quality Dashboard</u> with dynamically-generated reports of DQA quality measures that were calculated using <u>Transformed Medicaid Statistical</u> <u>Information System</u> (T-MSIS) Analytic Files (TAFs) from the Centers for Medicaid and Medicaid Services (CMS). This dashboard allows for assessments of performance on DQA measures by state, including indicators of the underlying data quality for each state and measure, with comparisons to a national benchmark. These reports are part of a research project titled "The State of Oral Healthcare Use, Quality and Spending: Findings from Medicaid and CHIP Programs," made possible through Data Use Agreement (DUA) RSCH-2020-55639 with CMS.

3. Data Collection, Preparation, and Reporting for Measures Implemented using Administrative Enrollment and Claims/Encounter Data

A. Defining Reporting Year: Calendar Year versus Federal Fiscal Year

If not otherwise specified, the definition of "reporting year" can be either calendar year (CY) (January 1, 20XX – December 31, 20XX) or federal fiscal year (FFY) (October 1, 20XX through September 30, 20YY). During testing of the DQA Starter Set, the results were similar between these two definitions. Agencies requesting measurement scores should specify the reporting year. The reporting year should be reported with the measurement score. Some measures require data from time periods preceding the reporting year. The measure technical specifications indicate the data collection period required.

B. Level of Measurement/Reporting Unit

Measures using administrative data may be specified for reporting at the program (e.g., Medicaid) or plan (e.g., MCO or DBA) level. The technical specifications for each measure specify for which reporting unit the measure was developed and validated. Reporting on the measure for a unit other than that for which the measure was developed may not be reliable.

13 | Paae

C. Data Quality

Critical data elements are those without which the measure cannot be calculated (e.g., birth date, date of service, and procedure codes). Stratification data elements are those data elements used for reporting of the measure score by population characteristics (e.g., race, ethnicity, and geographic location). Particularly for critical data elements, reporting entities should identify error thresholds — the maximum percentage of missing or invalid values that will be accepted — prior to adopting a measure. Following guidance from CMS, it is recommended that data element error thresholds be set below 5%.¹⁰ Reporting entities should have detailed protocols in place for routinely assessing data completeness, accuracy, and quality.

Although reliability and validity of the DQA Measures has been established, ultimate reliability and validity of reported measure scores depend critically on the quality of the data that are used to calculate the measures. The completeness (percentage of missing or invalid values) and accuracy of all critical data elements should be investigated prior to measurement for the reporting unit and reporting year.

D. Age Eligibility

The technical specifications identify the eligibility criteria for each measure. DQA Measures are developed for alignment and use across public and private sectors.

Pediatric Measures

When used for comparisons across Medicaid/CHIP programs, the DQA has included individuals aged younger than 21 years (<21 years) in its pediatric measures to be consistent with Medicaid Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) eligibility. When used for reporting within a Health Insurance Marketplace under the Affordable Care Act, plans should include individuals younger than aged 19 years (<19 years) for pediatric measures to be consistent with the age requirements for Essential Dental Benefit coverage. Entities reporting for other programs or purposes should check with program officials regarding the appropriate age criteria. The age criteria used should be reported with the measurement score.

Adult Measures

The DQA uses 18 years as its lower age bound for potential inclusion in adult measures to be consistent with the lower age bound included in the Medicaid Core Set of Adult Health Care Quality Measures and the Health Insurance Marketplace Quality Rating System.

Program officials should be consulted to confirm the upper bound of the age range that should be reported for pediatric measures and the lower bound of the age range that should be reported for adult measures. The age criteria used should be reported with the measure scores, and comparisons between programs should be limited to uniform age bounds.

Pregnancy-Related Measures

The DQA uses the age range of 15-44 years for pregnancy-related measures. Testing data found very low frequencies of pregnancy-related events for ages younger than 15 years and older than 44 years. For the purposes of quality measurement, the age range of 15-44 years enables more consistent reporting of all age stratifications. This age range also is aligned with the age range for measures included in the CMS Core Set of Maternal and Perinatal Measures for Medicaid and CHIP.

Because age eligibility varies for pediatric, pregnancy, and adult dental benefit coverage across the public and private sectors, the age ranges for measures may overlap between these populations. For example, measure specifications between adult and pediatric populations for the same measure concept (e.g., topical fluoride) may be different; therefore, it is important that measure implementers consult the appropriate specifications and not use the same measure specifications.

E. Dental Benefits Eligibility

Many measures are appropriate only for those members eligible for dental benefits. The measure specifications indicate whether enrolled members who are not eligible for dental benefits should be excluded. The number of individuals excluded should be reported.

15 | Paae

F. Measures Requiring Additional Claims Data (e.g., Medical and Pharmacy)

Some measures (e.g., Ambulatory Care Sensitive Emergency Department Visits for Non-Traumatic Dental Conditions in Adults, Adults with Diabetes – Oral Evaluation, and the pregnancy-related measures) require claims data from medical encounters. The measure of oral evaluation for individuals with diabetes also requires pharmacy claims data. Consequently, these measures only apply to programs, such as Medicaid, or plans that provide both medical and dental benefits. Use of these measures as a requirement for stand-alone dental benefit plans may result in feasibility issues due to lack of access to necessary data. Use by health plans that provide both medical and dental benefits to a population may be considered after assessment of data element feasibility within the plans' databases.

G. Enrollment Eligibility: Calculating Continuous Enrollment for Reporting at the Plan ("Same" Plan) and Program ("Any" Plan) Levels

Continuous enrollment for measures with 180-day (6-month) enrollment criteria requires that there be no gap in coverage. Continuous enrollment for measures with full-year enrollment criteria allows for a single one-month gap in coverage. At the state program level (e.g., Medicaid) a criterion of "any" plan applies when assessing continuous enrollment, whereas at the plan level (e.g., MCO or DBA) a criterion of "same" plan applies. That is, at the program level, all enrollment months are counted regardless of whether the enrollee switched plans during the reporting period; at the plan level, only enrollment months in the particular plan are counted. The criterion of "any" plan versus "same" plan should be reported with the measure rate. While this prevents direct aggregation of results from plan to program, each entity is given due credit for the population it serves. Thus, programs with multiple MCOs and/or DBAs should not merely "add up" the plan level rates but should calculate the overall program rate (i.e., using the "any" plan criterion) from their databases to allow inclusion of individuals who were continuously enrolled but switched plans during the reporting year. Measure implementers also are encouraged to report the average enrollment duration of all members included in the denominator with the measure rate (total number of months enrolled/total unduplicated members).

H. Paid and Unpaid Claims

The technical specifications for each measure indicate whether only paid claims should be used or whether both paid and unpaid claims (including pending, suspended, and denied claims) should be used. The intent of measures that specify both paid and unpaid claims is to capture whether or not the enrollee received the service that is the focus of the measurement during the reporting period regardless of whether the claim for that service was paid. Paid claims include services covered under a per member per month (PMPM) payment. Only the most recent disposition of adjudicated claims should be used, and implementers should allow for at least three months of claims run-out from the end of the reporting period before calculating the measures. For example, if the reporting period is calendar year 2023, then the measures should not be run before April 1, 2024 to allow sufficient time for claims processing. Implementers should check with program administrators for any requirements related to claims run-out. In the absence of program requirements, implementers should verify that the run-out period is long enough to have sufficiently complete claims for reliable reporting. The claims run-out period should be reported with the measure rate.

I. Using Provider Type to Identify "Dental" Services

Note: Stand-alone commercial dental plans that reconcile claims only for dental providers can skip the steps required to check for "dental" services.

Delineating "Dental" Services

Some measure specifications, such as the pregnancy-related measures, report measure rates for services provided as "dental" services. The Code of Federal Regulations defines "dental" services as follows:

§ 440.100 Dental services.

(a) "Dental services" means diagnostic, preventive, or corrective procedures provided by or under the supervision of a dentist in the practice of his profession, including treatment of —

(1) The teeth and associated structures of the oral cavity; and

(2) Disease, injury, or impairment that may affect the oral or general health of the beneficiary.(b) "Dentist" means an individual licensed to practice dentistry or dental surgery.

Source: Code of Federal Regulations. 42 C.F.R. Section 400.100 Dental services. (2022). https://www.ecfr.gov/current/title-42/chapter-IV/subchapter-C/part-440/subpart-A/section-440.100.

Thus, "dental services" refer to oral healthcare services provided by or under the supervision of a dentist.

The Health Care Provider Taxonomy code set maintained by the National Uniform Claim Committee (hereafter referred to as NUCC maintained provider taxonomy codes) can be used to identify "dental" services. Services rendered by a provider with one of the NUCC maintained provider taxonomy codes in Table 2 below should be categorized as "dental" services. Some states may use custom codes instead of NUCC maintained provider taxonomy codes. The custom codes should be mapped as closely as possible to the NUCC maintained provider taxonomy codes for assignment of "dental" providers.

Note that services provided by a dental hygienist would only be counted as a "dental" service if those services are provided under the supervision of a dentist.¹ In cases where the provider is listed as a Federally Qualified Health Center (FQHC) (NUCC Code: 261QF0400X), Rural Health Center (RHC) (NUCC Code: 261QR1300X) or County Health Department, the services would be classified as "dental" services. Qualifying services related to the oral cavity that are billed using CPT codes should be identified as "oral health" services when billed by non-dental providers.

"Billing" vs. "Rendering" Provider

For measures that require identification of provider type, provider type classification should be based on the <u>rendering</u> provider (not billing provider) unless otherwise indicated in the specifications. Rendering provider is more likely to capture the individual who actually performed the service. Typically, the claims/encounter database includes both the provider ID (tax ID, NPI, or program assigned ID) as well as the provider type (e.g., NUCC maintained provider taxonomy codes). In cases where the provider taxonomy is not available in the claims/encounter database, users should link the provider ID in the claims database to the provider taxonomy in the provider database. When linking to the provider database is necessary to identify the provider taxonomy: if an individual provider ID maps to more than one taxonomy, the service should be counted as a dental service if <u>any</u> of the taxonomies that the provider maps to is within the list presented in Table 2 with the exception of dental hygienist if the dental hygienist is not under the supervision of a dentist. As a last resort, when "rendering" provider information is not available, the billing provider's taxonomy may be used. If the billing provider is used, this should be noted in the performance report.

¹ Without taxonomy codes that distinguish allied dental professionals practicing independently versus under the supervision of a dentist, administrative claims data may not distinguish whether services are provided under the supervision of a dentist.

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Table 2: NUCC Maintained Provider Taxonomy Codes*

Code	Grouping: Classification	Specialization	Definition
122300000X	Dental Providers: Dentist		A dentist is a person qualified by a doctorate in dental surgery (D.D.S.) or dental medicine (D.M.D.), licensed by the state to practice dentistry, and practicing within the scope of that license. There is no difference between the two degrees: dentists who have a DMD or DDS have the same education. Universities have the prerogative to determine what degree is awarded. Both degrees use the same curriculum requirements set by the American Dental Association's Commission on Dental Accreditation. Generally, three or more years of undergraduate education plus four years of dental school is required to graduate and become a general dentist. State licensing boards accept either degree as equivalent, and both degrees allow licensed individuals to practice the same scope of general dentistry. Additional post-graduate training is required to become a dental specialist.
1223D0001X	Dental Providers: Dentist	Dental Public Health	The science and art of preventing and controlling dental diseases and promoting dental health through organized community efforts. It is that form of dental practice that serves the community as a patient rather than the individual. It is concerned with the dental health education of the public, with applied dental research, and with the administration of group dental care programs as well as the prevention and control of dental diseases on a community basis.
1223D0004X	Dental Providers: Dentist	Dentist Anesthesiologist	A dentist who has successfully completed an accredited postdoctoral anesthesiology residency training program for dentists of two or more years duration, in accord with Commission on Dental Accreditation's Standards for Dental Anesthesiology Residency Programs, and/or meets the eligibility requirements for examination by the American Dental Board of Anesthesiology.
1223E0200X	Dental Providers: Dentist	Endodontics	The branch of dentistry that is concerned with the morphology, physiology and pathology of the human dental pulp and periradicular tissues. Its study and practice encompass the basic and clinical sciences including biology of the normal pulp, the etiology, diagnosis, prevention and treatment of diseases and injuries of the pulp and associated periradicular conditions.
1223G0001X	Dental Providers: Dentist	General Practice	A general dentist is the primary dental care provider for patients of all ages. The general dentist is responsible for the diagnosis, treatment, management and overall coordination of services related to patients' oral health needs.
1223P0106X	Dental Providers: Dentist	Oral and Maxillofacial Pathology	The specialty of dentistry and discipline of pathology that deals with the nature, identification, and management of diseases affecting the oral and maxillofacial regions. It is a science that investigates the causes, processes, and effects of these diseases. The practice of oral and maxillofacial pathology includes research and diagnosis of diseases using clinical, radiographic, microscopic, biochemical, or other examinations.
1223\$0112X	Dental Providers: Dentist	Oral and Maxillofacial Surgery	The specialty of dentistry which includes the diagnosis, surgical and adjunctive treatment of diseases, injuries and defects involving both the functional and esthetic aspects of the hard and soft tissues of the oral and maxillofacial region.
1223X0008X	Dental Providers: Dentist	Oral and Maxillofacial Radiology	The specialty of dentistry and discipline of radiology concerned with the production and interpretation of images and data produced by all modalities of radiant energy that are used for the diagnosis and management of diseases, disorders and conditions of the oral and maxillofacial region.
1223X2210X	Dental Providers: Dentist	Orofacial Pain	A dentist who assesses, diagnoses, and treats patients with complex chronic orofacial pain and dysfunction disorders, oromotor and jaw behavior disorders, and chronic head/neck pain. The dentist has successfully completed an accredited postdoctoral orofacial pain residency training program for dentists of two or more years duration, in accord with the Commission on Dental Accreditation's Standards for Orofacial Pain Residency Programs, and/or meets the requirements for examination and board certification by the American Board of Orofacial Pain. Source: American Academy of Orofacial Pain, <u>http://www.aaop.org</u> Additional Resources: American Board of Orofacial Pain, <u>http://www.abop.net</u>

1223X0400X	Dental Providers: Dentist	Orthodontics and Dentofacial Orthopedics	That area of dentistry concerned with the supervision, guidance and correction of the growing or mature dentofacial structures, including those conditions that require movement of teeth or correction of malrelationships and malformations of their related structures and the adjustment of relationships between and among teeth and facial bones by the application of forces and/or the stimulation and redirection of functional forces within the craniofacial complex. Major responsibilities of orthodontic practice include the diagnosis, prevention, interception and treatment of all forms of malocclusion of the teeth and associated alterations in their surrounding structures; the design, application and control of functional and corrective appliances; and the guidance of the dentition and its supporting structures to attain and maintain optimum occlusal relations in physiologic and esthetic harmony among facial and cranial structures.
1223P0221X	Dental Providers: Dentist	Pediatric Dentistry	An age-defined specialty that provides both primary and comprehensive preventive and therapeutic oral health care for infants and children through adolescence, including those with special health care needs.
1223P0300X	Dental Providers: Dentist	Periodontics	That specialty of dentistry which encompasses the prevention, diagnosis and treatment of diseases of the supporting and surrounding tissues of the teeth or their substitutes and the maintenance of the health, function and esthetics of these structures and tissues.
1223P0700X	Dental Providers: Dentist	Prosthodontics	That branch of dentistry pertaining to the restoration and maintenance of oral functions, comfort, appearance and health of the patient by the restoration of natural teeth and/or the replacement of missing teeth and contiguous oral and maxillofacial tissues with artificial substitutes.
122400000X	Dental Providers: Denturist		Definition to come.
125Q00000X	Dental Providers: Oral Medicinist		A dentist with advanced training specializing in the recognition and treatment of oral conditions resulting from the interrelationship between oral disease and systemic health. The Oral Medicinist manages clinical and non-surgical treatment of non-dental pathologies affecting the oral and maxillofacial region, such as cancer, organ transplants, and acute and chronic pain. Activities include provision of interdisciplinary patient care in collaboration with medical specialists and other dentists in hospitals and outpatient medical clinics in the management of patients with complex medical conditions requiring multidisciplinary healthcare intervention.
124Q00000X	Dental Providers: Dental Hygienist		An individual who has completed an accredited dental hygiene education program, and an individual who has been licensed by a state board of dental examiners to provide preventive care services under the supervision of a dentist. Functions that may be legally delegated to the dental hygienist vary based on the needs of the dentist, the educational preparation of the dental hygienist and state dental practice acts and regulations, but always include, at a minimum, scaling and polishing the teeth. To avoid misleading the public, no occupational title other than dental hygienist should be used to describe this dental auxiliary.
125J00000X	Dental Providers: Dental Therapist		A Dental Therapist is an individual who has completed an accredited or non-accredited dental therapy program and who has been authorized by the relevant state board or a tribal entity to provide services within the scope of their practice under the supervision of a dentist. Functions that may be delegated to the dental therapist vary based on the needs of the dentist, the educational preparation of the dental therapist and state dental practice acts and regulations.
125K00000X	Dental Providers: Advanced Practice Dental Therapist		An Advanced Practice Dental Therapist is: (1) A dental therapist who has completed additional training beyond basic dental therapy education and provides dental services in accordance with state advanced practice dental therapist laws or statutes; or (2) A dental hygienist with a graduate degree in advanced dental therapy prepared for independent and interdependent decision making and direct accountability for clinical judgment across the dental health care continuum. The individual has been authorized by the relevant state board or a tribal entity to provide services under the remote supervision of a dentist. The functions of the advanced practice dental therapist vary based on the needs of the dentist, the educational preparation of the advanced practice dental therapist and state dental practice acts and regulations.

126800000X	Dental Providers: Dental Assistant		An individual who may or may not have completed an accredited dental assisting education program and who aids the dentist in providing patient care services and performs other nonclinical duties in the dental office or other patient care facility. The scope of the patient care functions that may be legally delegated to the dental assistant varies based on the needs of the dentist the educational preparation of the dental assistant and state dental practice acts and regulations. Patient care services are provided under the supervision of a dentist. To avoid misleading the public, no occupational title other than dental assistant should be used to describe this dental auxiliary.
204E00000X	Allopathic & Osteopathic Physicians: Oral & Maxillofacial Surgery		Oral and maxillofacial surgeons are trained to recognize and treat a wide spectrum of diseases, injuries and defects in the head, neck, face, jaws and the hard and soft tissues of the oral and maxillofacial region. They are also trained to administer anesthesia, and provide care in an office setting. They are trained to treat problems such as the extraction of wisdom teeth, misaligned jaws, tumors and cysts of the jaw and mouth, and to perform dental implant surgery.
261QD0000X	Ambulatory Health Care Facilities: Clinic/Center	Dental	Definition to come
261Q\$0112X	Ambulatory Health Care Facilities: Clinic/Center	Oral & Maxillofacial Surgery	The specialty of dentistry which includes the diagnosis, surgical and adjunctive treatment of diseases, injuries and defects involving both the functional and esthetic aspects of the hard and soft tissues of the oral and maxillofacial region.
261QF0400X	Ambulatory Health Care Facilities: Clinic/Center	Federally Qualified Health Center (FQHC)	Definition to come
261QR1300X	Ambulatory Health Care Facilities: Clinic/Center	Rural Health	Definition to come

*National Uniform Claim Committee. Health Care Provider Taxonomy Code Set;

http://nucc.org/index.php?option=com_content&view=article&id=14&Itemid=125.

21 | Page

J. Bundled Services Reported Using a Single Code on Dental Procedures and Nomenclature (CDT) Code

Some state programs may reimburse a single amount for a bundled set of services – e.g., oral evaluation, topical fluoride, and prophylaxis. In such instances, providers should be encouraged to record all the services rendered on the claim form using the appropriate CDT codes. For calculating a measure, procedure codes should be interpreted according to the descriptions in the <u>CDT manual</u>. For example, if professionally applied topical fluoride is included as part of a bundled service under a procedure code other than CDT codes D1206 or D1208 and there is no record of D1206 or D1208 on the claim submitted for the bundled service, then it would <u>not</u> be included in the numerator for the Topical Fluoride measure.

K. FQHC Encounter Billing

Some FQHCs may be reimbursed based on an encounter — i.e., they are reimbursed based on each visit and not on the individual services provided during that visit. In such instances, that encounter may be captured in the claims system as a designated procedure/encounter code. Information on the specific services provided during that encounter is not captured. Performance reports from programs and plans should note such reimbursement policies and acknowledge the policy's limitation for accurately capturing service provision.

L. Non-FFS Reimbursement

Providers who are reimbursed using payment methods other than fee-for-service (e.g., capitation, salary, and hybrid payment methodologies) should be required to submit information on all rendered services on the encounter form to enable appropriate quality measurement. Programs and plans that reimburse providers using non-FFS reimbursement or that reimburse FQHCs on an encounter payment basis may want to consider approaches for capturing information on each rendered service to promote accurate quality measurement.

M. Identifying Individuals at "Elevated" Risk for Dental Caries

Evidence-based guidelines suggest a risk-based approach to prevention.¹¹ Consequently, some DQA measures, such as Topical Fluoride for Adults at Elevated Caries Risk, are limited to individuals identified as being at "elevated risk" for caries. Individuals are identified as being at elevated caries risk through the presence of caries risk assessment findings codes (D0602 and D0603) or the presence of CDT codes signifying caries-related treatment (Table 3) using the

following approach:

- a. If subject meets <u>ANY</u> of the following criteria, then identify the subject as being at elevated risk for inclusion in the denominator: (**Note:** BOTH (i) and (ii) should be checked to see if subject satisfies <u>any</u> criteria):
 - i. the subject has <u>at least 3 instances of the</u> CDT Codes among those in Table 3 <u>in the</u> <u>reporting year OR the three prior years</u> ("look-back" approach)

Note 1: There must be at least 3 instances of the CDT codes contained in Table 3. These three instances may occur during the same visit or during separate visits. The three instances may occur in any one or more of: the reporting year and the three prior years. The three instances may all occur in the same year, or they may be spread across the years. The same code can be used to count for more than one instance. This criterion does <u>not</u> require unique dates or service or unique codes.

Note 2: The subject does <u>not</u> need to be enrolled in any of the prior three years for the denominator enrollment criteria; this is a "look back" for enrollees who do have claims experience in any of the prior three years.

OR

- ii. the subject has a visit with a CDT code = (D0602 or D0603) in the reporting year.
- b. If the subject does not meet either of the above criteria for elevated risk, then STOP processing. This enrollee will not be included in the measure denominator.

Notes:

- Because risk assessment finding codes are not consistently in wide use, the validated methodology used to classify individuals as being at elevated risk includes using specific procedure codes indicative of caries-related lesion treatment identified in administrative claims data during the period spanning the reporting year and the three prior years.
- Implementers should check for both the risk assessment findings codes and the cariesrelated treatment codes to identify individuals at elevated risk. These are NOT alternative methodologies; they are complementary methodologies.
- Individuals do not have to be enrolled in the prior years. The past history is only a look-back period for available claims. The reporting year remains a single year and is the only year for which minimum enrollment length must be verified.
- Some individuals who meet enrollment criteria in the reporting year may not have the claims history with the same plan for all three prior years. The denominator includes those individuals who can be inferred to be at elevated risk for dental caries with administrative claims data and is not intended to be a prevalence measure of all individuals at elevated risk.

23 | Paae

D1352	D2392	D2610	D2710	D2782
D1354	D2393	D2620	D2712	D2783
D2140	D2394	D2630	D2720	D2790
D2150	D2410	D2642	D2721	D2791
D2160	D2420	D2643	D2722	D2792
D2161	D2430	D2644	D2740	D2794
D2330	D2510	D2650	D2750	D2799
D2331	D2520	D2651	D2751	D2931
D2332	D2530	D2652	D2752	D2932
D2335	D2542	D2662	D2753	D2933
D2390	D2543	D2663	D2780	
D2391	D2544	D2664	D2781	

Table 3: CDT Codes to identify adults at "elevated caries risk"

N. Exclusion of Edentulous Individuals

Individuals who are completely edentulous, as identified through CDT codes (Table 4) signifying that an individual has complete dentures, are excluded from the denominators of the following measures for the adult population:

- 1. Topical Fluoride for Adults at Elevated Caries Risk
- 2. Periodontal Evaluation in Adults with Periodontitis
- 3. Non-Surgical Ongoing Periodontal Care for Adults with Periodontitis

For the adult Topical Fluoride measure:

- Patients are excluded from the denominator if CDT codes indicate the maxillary and mandibular arches have been restored with any combination of complete dentures, implant supported removable dentures or implant supported full arch fixed restorations.
- Patients are not excluded from the denominator if CDT codes indicate treatment with tooth supported overdentures in either arch.

For the periodontal measures:

- Patients are excluded from the denominator if CDT codes indicate the maxillary and mandibular arches have been restored with complete dentures.
- Patients are not excluded from the denominator if CDT codes indicate treatment with either tooth or implant supported overdentures in either arch.

Topical Fluoride for Adults at Elevated Caries Risk	Periodontal Evaluation in Adults with Periodontitis
	and
	Non-Surgical Ongoing Periodontal Care for Adults with Periodontitis
i. Any one CDT code from the set: [D5110 or D5130 or D5810 or D5410 or D5512 or D5710 or D5730 or D5750 or D6110 or D6114 or D6119]	i. Any one CDT code from the set: [D5110 or D5130 or D5810 or D5410 or D5512 or D5710 or D5730 or D5750]
AND	AND
ii. Any one CDT code from the set: [D5120 or D5140 or D5811 or D5411 or D5511 or D5711 or D5731 or D5751 orD6111 or D6115 or D6118]	ii. Any one CDT code from the set: [D5120 or D5140 or D5811 or D5411 or D5511 or D5711 or D5731 or D5751]

Table 4. Codes Used to Identify Completely Edentulous Adults

O. Stratification by Enrollee and Program Characteristics

The DQA encourages the measure results to be stratified by age, race, ethnicity, geographic location, socioeconomic status, payer type, and program/plan type. Measure score stratification enables implementers to identify variations in care by enrollee and program characteristics, which can be used to inform quality improvement initiatives, reduce disparities, and promote health equity.¹² To stratify the measure results, the denominator population is divided into different subsets based on the characteristic of interest (e.g., age, race, ethnicity, or geographic location) and the rates are reported for each sub-population.

General guidance on reporting stratifications includes:

- Each stratification variable should be reported on **mutually exclusive** categories (i.e., each individual in the denominator should be counted in only one stratification category).
- Reporting entities should evaluate the extent to which there is **missing data**. The percentage of missing values should be reported with the stratifications. When missing data for a stratification variable exceed 10%, stratifications based on that variable should be interpreted with caution.

Guidance on reporting on specific stratification variables is provided below.

25 | Page

Race and Ethnicity

To promote consistency in reporting race and ethnicity categories, measures may be stratified by the following aggregated and **mutually exclusive** race and ethnicity categories:

- Hispanic
- Non-Hispanic White
- Non-Hispanic Black
- Non-Hispanic other race or multiple race

Note: Report the percentage of individuals in the overall measure denominator with unknown/missing values.

Individuals should be assigned to only one of the above categories. Individuals who select Hispanic ethnicity alone, or in combination with any of the race categories, should be classified as Hispanic. Non-Hispanic individuals who select more than one race category should be classified as multiple race. The above categories represent a minimum set of categories that are most likely to ensure sufficient denominator sizes for reliable reporting.

Sex

- Female
- Male

Note: Report the percentage of individuals in the overall measure denominator with unknown/missing values.

Payer Type

- Private
- Medicaid
- CHIP
- Other Public
- Uninsured

Note: Report the percentage of individuals in the overall measure denominator with unknown/missing values.

Individuals with more than one source of coverage should be classified into one category based on the primary payer. Individuals who had more than one primary payer type during the year

26 | Paae

(e.g., because they switched coverage) should be classified according to the payer type with the longest coverage duration.

Geographic Location

- Urban
- Rural

Note: Report the percentage of individuals in the overall measure denominator with unknown/missing values.

Methodology to classify geographic location:

- Use the enrollee's residence zip code.
- Map the zip code to one of Rural-Urban Commuting Areas (RUCA) codes using the 2010 Rural-Urban Commuting Area Codes, ZIP code file available at: https://www.ers.usda.gov/data-products/rural-urban-commuting-area-codes/.
- Use Categorization D to classify rural versus urban place of residence; available at: <u>http://depts.washington.edu/uwruca/ruca-uses.php</u>.

Special Health Care Needs (SHCN)

- Special health care needs (CDT code D9997 is recorded)
- No special health care needs

Identifying SHCN individuals from claims data is possible through the introduction of CDT code D9997 CDT code (D9997) dental case management - patients with special health care needs

<u>D9997 Description</u>: Special treatment considerations for patients/individuals with physical, medical, developmental or cognitive conditions resulting in substantial functional limitations, which require that modifications be made to delivery of treatment to provide comprehensive oral health care services.

Note: The absence of CDT D9997 may reflect that a patient does not have special health care needs or it may reflect missing data (i.e., the provider does not record this code regardless of whether the patient was assessed for special health care needs). This code is best used for stratification in settings that have established consistent screening and recording of special health care needs.

4. Frequently Asked Questions

A. Classifying Individuals at Elevated Caries Risk

Applicable Measure:

• Topical Fluoride for Adults at Elevated Caries Risk

A1. Why did the DQA not consider all Medicaid-enrolled individuals as being at "elevated risk"?

The DQA has focused measurement of topical fluoride receipt on adults at elevated risk for dental caries to focus on a priority population where evidence of effectiveness is greatest and there is the least uncertainty about the appropriateness of the intervention. The evidencebased guidelines regarding topical fluoride developed by the American Dental Association¹³ recommend that these services be provided for individuals "at-risk" for dental caries. Testing data found that significant performance gaps existed within elevated caries risk populations.^{14,15}

Within the care delivery system, evidence-based guidelines also recommend that **patient-level risk assessment** should drive treatment planning and care delivery. Accordingly, the DQA's approach to performance measurement within the care delivery system is based on these patient-centered decisions instead of using broad population level indicators such as socioeconomic status to measure performance. Not every person enrolled in Medicaid is at elevated caries risk. While social determinants play a significant role in influencing outcomes, their impact on each patient needs to be carefully assessed. Encouraging individualized risk-based care, in itself, is a quality improvement activity.

Creation of a "performance" measure should not be construed as a policy statement or as a basis for altering benefit design. For example, a performance measure focusing on preventive services for individuals **at elevated risk** does not imply that only individuals at elevated risk should receive the services; the measure is simply a means of assessing to what degree preventive services are being provided to a particular group of individuals for whom guidelines have established good evidence for recommending the services.

A2. Why use methodologies that require prior years' data to identify elevated risk, which may impact feasibility?

Based on the best current evidence, the National Institute for Health and Care Excellence (NICE) suggests that "clinical judgment of the dentist and his or her ability to combinerisk factors, based

27 | Paae

on their knowledge of the patient and clinical and socio-demographic information is as good as, or better than, any other method of predicting caries risk."¹⁶ Therefore, the DQA risk-based measures specifications include the caries-risk assessment CDT codes introduced in 2014. In addition, evidence from a systematic review indicates that previous caries experience is an important predictor of future disease.¹⁷ Therefore, additional methodology to identify individuals at elevated risk was included that is based on prior caries experience, which can be identified using caries-related treatment codes in administrative claims data. The DQA "lookback method" uses a tested methodology to identify individuals whose claims history is indicative of caries risk. Measure implementers should use both caries risk assessment codes and the caries-related treatment codes to identify individuals at elevated caries risk.

It is important to note that the methodology used to identify elevated caries risk is not intended as a "risk assessment tool" to be used at the level of individual patients either to assess risk or to define dental benefits or qualification for services for specific groups of individuals. It is only a model used to identify individuals who can be inferred to be at "elevated risk" for caries using claims data for the purpose of measuring program performance. This method is not intended to identify every person who may be at elevated risk.

A3. Should individuals be enrolled in each of the three years to apply the 'look-back method'?

There is no enrollment requirement during the three years prior to the reporting year. The past history is a look-back period for *available* claims. The reporting year remains a single year and is the only year during which minimum enrollment length must be verified.

A4. What should I do if I do not have 3 years of claims history prior to the reporting year for some individuals meeting the enrollment criteria in the reporting year?

The measure specifications require looking for specified caries-indicative codes in the reporting year and in the three prior years for available claims. Some individuals who meet enrollment criteria in the reporting year may not have the claims history with the same plan for prior years. The intent is to identify those individuals who can be confirmed as being at elevated risk; the intent is not to identify all individuals at elevated risk. The measure includes the subset of individuals who can be identified as being at elevated risk using claims data.

A5. If I am a new plan in Medicaid or am entering a new market and do not have any claims from prior years, what can I do?

If the prior three years claims history is not available, this should be noted within the final reports with an indication of how many prior years (if any) of data were used. When fewer years of historical data are used, the number of individuals who qualify for the denominator will decrease and the measure rates may be impacted. Comparisons between plans may not be valid unless all plans use the same look-back period.

B. Topical Fluoride for Adults at Elevated Caries Risk: Why were 2 fluoride applications selected to qualify for the numerator?

Evidence-based guidelines for adults suggest that professionally applied topical fluoride every 3-4 months is effective in preventing caries in adults at elevated risk for dental caries.¹¹ Programs and plans that wish to further explore receipt of topical fluoride among their enrollees to inform quality improvement efforts may find it useful to evaluate the number and percentage of individuals at increased caries risk who received 0, 1, 2, 3, or 4 or more topical fluoride applications.

C. Identifying Individuals with a History of Periodontitis

Applicable Measures:

- Periodontal Evaluation in Adults with Periodontitis
- Non-Surgical Ongoing Periodontal Care for Adults with Periodontitis

C1. Do the measures distinguish between aggressive and chronic periodontitis?

No, due to lack of diagnostic codes in claims data, these measures do not distinguish between aggressive and chronic periodontitis. CDT procedure codes indicative of periodontal treatment or maintenance are used to identify "history of periodontitis."

C2. Why use methodologies that require prior years' data to identify individuals with periodontitis, which may impact feasibility?

Both measures are designed to evaluate whether individuals who have a **history of periodontitis** continue to receive care. Therefore, the denominator population is comprised of individuals with periodontal treatment or maintenance in the three prior years.

C3. Should individuals be enrolled in each of the three years to identify "history of periodontitis"?

There is no enrollment requirement during the three years prior to the reporting year. The past history is based on *available* claims. The reporting year remains a single year and is the only year during which minimum enrollment length must be verified.

C4. What should I do if I do not have a full 3 years of claims history prior to the reporting year for some individuals meeting the enrollment criteria in the reporting year?

The measure specifications require looking for specified periodontitis-indicative codes in the three prior years. Some individuals who meet enrollment criteria in the reporting year may not have the claims history with the same plan for all three prior years. The intent is to identify those individuals who can be identified as having periodontitis; the intent is not to identify all individuals with periodontitis. The measure includes the subset of individuals who can be identified as having periodontitis.

C5. If I am a relatively new plan in Medicaid or recently entering a new market and do not have claims history in that program/market for 3 prior years, what can I do?

When three years claims history in the program or market is not available, this should be noted within the final reports with an indication of how many years of data were used. When fewer than three years of historical data are used, the number of individuals who qualify for the denominator will decrease and the measure rates may be impacted. Comparisons between plans may not be valid unless allplans use the same look-back period.

C6. If I am a new plan in Medicaid or am entering a new market and do not have any claims from prior years, what can I do?

If there is **no** claims history in prior years, it will not be possible to identify individuals with a history of periodontitis and, therefore, this measure cannot be calculated.

D. Why is Periodontal Evaluation in Adults with Periodontitis considered a "utilization" measure and Non-Surgical Ongoing Periodontal Care for Adults with Periodontitis considered a "process quality measure"?

Utilization measures are identified by the National Quality Measures Clearinghouse as "related health care delivery measures" that "can assess encounters, tests, or interventions that are not

31 | Page

supported by evidence for the appropriateness of service for the specified individuals."¹⁸ A process of care quality measure is a "health care-related activity performed for, on behalf of, or by a patient. Process measures are supported by evidence that the clinical process—that is the focus of the measure—has led to improved outcomes."¹⁸ There currently is an insufficient evidence base for associating oral evaluations with improved outcomes for patients with a history of periodontitis. However, oral evaluations can be used to identify the extent to which adults with a history of periodontitis are being seen for care. The measure *Non-Surgical Ongoing Periodontal Care for Adults with Periodontitis* identifies specific dental care services indicative of ongoing care associated with successful long-term management of periodontal disease.¹⁹⁻²² The two measures provide complementary information. *Periodontal Evaluation* indicates the percentage of enrollees with a history of periodontilis who are seen for care, whereas *Ongoing Periodontal Care* identifies the percentage of individuals with a history of periodontal *Evaluation* measure scores can provide context for interpreting *Ongoing Periodontal Care* scores by enabling programs to identify what percentage of patients with a history of periodontilis are accessing care.

E. Pregnancy-Related Measures: Why are the Measures limited to Beneficiaries with Live-Birth Deliveries?

Applicable Measures:

- Utilization of Services During Pregnancy
- Oral Evaluation During Pregnancy

Because the intent is to measure oral healthcare services received *during pregnancy*, it is necessary to identify the pregnancy episode (i.e., the period prior to the delivery date). To identify the pregnancy period, an "event" – a procedure or encounter – relative to which the pregnancy episode can be defined must be identified. Live-birth deliveries are commonly the basis for defining pregnancy episodes in other quality measures examining care received during pregnancy^{23,24} as well as for other oral healthcare performance metrics focused on pregnant beneficiaries.²⁵ The pregnancy episode can be defined as the period prior to the delivery date. Because different pregnancy outcomes (e.g., stillbirths, ectopic pregnancies, and terminations) have pregnancy episodes with different durations, each outcome requires identification of an event that forms the basis for reliably identifying the pregnancy episode. Published research validating identification of pregnancies using claims data demonstrate the complex logic required to capture pregnancy episodes across multiple types of outcomes.²⁶⁻²⁸ Research also

32 | Page

estimates that live births represent more than 70% of pregnancy episode outcomes (when an outcome can be identified)^{26,28} and tend to be more reliably identified than other pregnancy outcomes.^{26,27} With these feasibility and reliability considerations, live-birth deliveries were selected as a starting point for developing oral healthcare measures for pregnant individuals.

F. Dual Eligibles: Why are beneficiaries dually eligible for Medicaid and Medicare excluded from some medical-dental measures?

Applicable Measures:

- Ambulatory Care Sensitive Emergency Department Visits for Non-Traumatic Dental Conditions in Adults
- Follow-up after Emergency Department Visits for Non-Traumatic Dental Conditions in Adults
- Adults with Diabetes Oral Evaluation

These measures require medical administrative claims data as well as dental. Medicaid programs frequently do not have access to complete Medicare claims data for dual eligible beneficiaries. Thus, the measure cannot be reliably calculated. A program that does have access to complete Medicare claims data may want to additionally run these measures for its dual eligible population. If a program elects to do this, measure scores for the dual eligible population should be reported separately from the non-dual eligible population. In addition, the program should clearly indicate how it is identifying and defining "dual eligibles" because not all dual eligibles are fully eligible for Medicaid benefits (i.e., some dual eligible beneficiaries may only be eligible for limited Medicaid coverage). The definition for "dual eligible" and the extent of Medicaid benefits coverage for those individuals should be included in reports of measure scores for the dual eligible population.

G. Why are inpatient admissions excluded from Ambulatory Care Sensitive Emergency Department Visits for Non-Traumatic Dental Conditions in Adults and Follow-Up after Emergency Department Visits for Non-Traumatic Dental Conditions in Adults?

The intent is to measure access by evaluating the proportion of the population that seeks care in the emergency department for ambulatory care sensitive non-traumatic dental conditions and who are subsequently discharged from the ED. Patients who are admitted for hospitalization represent a different category of healthcare needs and a different episode of care. Patients who receive care in the ED typically do not receive definitive care and are referred to a dental

33 | Paae

provider. Consequently, the measure of follow-up care focuses on those patients discharged from the ED. Measure testing found that ED visits resulting in inpatient admissions represent fewer than 2% of ED visits. Consequently, exclusion of these visits will not materially affect relative comparisons between programs or evaluation of within-program trends over time. It is important that measure implementers recognize that this measure is **not designed to measure resource use**. The DQA recognizes that non-traumatic dental condition ED visits that result in inpatient admissions are significant in terms of both health consequences and system resources. Consequently, the measure specifications include reporting the number of visits excluded because they resulted in inpatient admissions so that programs and other stakeholders are aware of the magnitude of these visits and can monitor trends over time.

H. Follow-Up after Emergency Department Visits for Non-Traumatic Dental Conditions in Adults: Are the 7-day and 30-day follow up periods for visits with a dentist after a non-traumatic dental condition emergency department visit mutually exclusive?

No, visits that are captured in the 7-day follow-up visit also will be captured in the 30-day followup visit.

I. Where can I access state-level oral healthcare quality reports?

The DQA has published an <u>Oral Healthcare Quality Dashboard</u> with dynamically-generated reports of DQA quality measures that were calculated using <u>Transformed Medicaid Statistical</u> <u>Information System</u> (T-MSIS) Analytic Files (TAFs) from CMS, which contain state-level Medicaid and CHIP administrative eligibility and claims data. These reports are part of a research project titled "The State of Oral Healthcare Use, Quality and Spending: Findings from Medicaid and CHIP Programs," made possible through Data Use Agreement (DUA) RSCH-2020-55639 with CMS and are available on the <u>DQA website</u>.

Please contact DQA staff at <u>dqa@ada.org</u> with additional implementation questions.

Appendix 1: User Guide and Measure Specification Substantive Updates

Note: Relatively minor editorial changes in the User Guide and Measure Specifications are not indicated in the tables below. Only more substantive changes are reflected.

2024 Updates: Effective January 1, 2024

General Updates			
Updated effective date, copyright, and weblink citations.			
User Guide Updates			
Incorporated two pregnancy-relo Utilization of Services During Preg	ated measures approved by the DQA in November 2022: nancy and Oral Evaluation During Pregnancy.		
Added age guidance for the pre	gnancy-related measures.		
Moved Measures Specifications L Measures Summary.	Jpdate section as a sub-section under Section 1 DQA		
Added guidance on identifying '	'dental" services.		
Added FAQ about the rationale episodes for denominator inclusion Pregnancy and Oral Evaluation E	for using live-birth deliveries to identify pregnancy on in the measures of Utilization of Services During During Pregnancy.		
Technical Specifications Updates			
New Measures			
Utilization of Services During Pregnancy (UTL-P-A)	New measure, effective November 4, 2022.		
Oral Evaluation During Pregnancy (OEV-P-A)	New measure, effective November 4, 2022.		
Updates to Existing Measures			
Measure	Change(s)		
Ambulatory Care Sensitive Emergency Department Visits for Non-Traumatic Dental Conditions in Adults (EDV-A-A)	Updated the measure logic within Steps 2 and 3: moved exclusion of inpatient admissions from Step 3 to Step 2d and moved former Step 2d ("count only one visit per member per day") to Step 2e to allow de-duplication to occur after excluding inpatient admissions.		
Follow-Up after Emergency Department Visits for Non- Traumatic Dental Conditions in Adults (EDF-A-A)Updated the measure logic order within Step 1: former Step 1b ("Exclude visits that result in inpatient admissions") is now current Step 1d to align with logic flow of Ambulatory Care Sensitive Emergency			

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35 | Page

	Department Visits for Non-Traumatic Dental Conditions in Adults (EDV-A-A) by identifying ED visits as being caries-related before identifying visits resulting in inpatient admissions.
	Changed Step 2b to read: [DATE OF DENTAL VISIT] – [DATE OF ED VISIT]<=30 days And Step 3 to read: [DATE OF DENTAL VISIT] – [DATE OF ED VISIT]<=7 days
Ambulatory Care Sensitive Emergency Department Visits for Non-Traumatic Dental Conditions in Adults (EDV-A-A) and	Corrected the decimal placement for the following ICD-10-CM diagnosis codes in Table 1: K05.211, K05.212, K05.213, K05.219, K05.221, K05.222, K05.223, K05.229, K05.311, K05.312, K05.313, K05.319, K05.321, K05.322, K05.323, K05.329, K06.010, K06.011, K06.012, K06.020, K06.021, K06.022
Follow-Up after Emergency Department Visits for Non- Traumatic Dental Conditions in Adults (EDF-A-A)	
Utilization of Services During Pregnancy (UTL-P-A) and Oral Evaluation During Pregnancy (OEV-P-A)	Added measure logic in Step 4 (current 4c) to clarify the time frame used to identify dental services to be eligible for inclusion in the numerator.

2023 Updates: Effective January 1, 2023

General Updates		
Updated effective date	e, copyright, and weblink citations.	
User Guide Updates		
Added implementer	ation guidance related to reporting measure time trends.	
Added implemento entities.	ation guidance related to comparing measures between reporting	
Added FAQ that pr	ovides resource link to the DQA's Oral Healthcare Quality Dashboard.	
Added Appendix 2 Mapping	: International Classification of Diseases, Clinical Modification, Cross	
Technical Specification	s Updates	
Updates to Existing Med	asures	
Measure	Change(s)	
Ambulatory Care Sensitive Emergency Department Visits for Non-Traumatic Dental Conditions in Adults (EDV-A-A) and Follow-Up after Emergency Department Visits for Non-Traumatic Dental Conditions in Adults (EDF-A-A)	 Added the following ICD-10-CM Diagnosis Codes to Table 1 to identify ED visits for non-traumatic dental conditions: M26.641 Arthritis of right temporomandibular joint M26.642 Arthritis of left temporomandibular joint M26.643 Arthritis of bilateral temporomandibular joint M26.649 Arthritis of unspecified temporomandibular joint M26.651 Arthropathy of right temporomandibular joint M26.652 Arthropathy of left temporomandibular joint M26.653 Arthropathy of bilateral temporomandibular joint M26.659 Arthropathy of unspecified temporomandibular joint M26.659 Arthropathy of unspecified temporomandibular joint M35.0C Sjogren syndrome with dental involvement 	

36 | Page

2022 Updates: Effective January 1, 2022

General Updates

• Updated effective date, copyright, and weblink citations.

User Guide Updates

- Added guidance for excluding edentulous individuals from specific adult measures.
- Added and updated general guidance and category specific guidance for implementing stratifications.

Updates to the Technical Specifications

Measure	Change(s)
Topical Fluoride for Adults at Elevated	Added exclusion logic to exclude completely edentulous individuals as identified through the following CDT codes:
Caries Risk (TFL-A-A)	i. Any one CDT code from the set: [D5110 or D5130 or D5810 or D5410 or D5512 or D5710 or D5730 or D5750 or D6110 or D6114 or D6119]
	AND ii. Any one CDT code from the set: [D5120 or D5140 or D5811 or D5411 or D5511 or D5711 or D5731 or D5751 orD6111 or D6115 or D6118]
Periodontal Evaluation in Adults with	Added exclusion logic to exclude completely edentulous individuals as identified through the following CDT codes:
Periodontitis (PEV-A_A) Non-Surgical Ongoing	i. Any one CDT code from the set: [D5110 or D5130 or D5810 or D5410 or D5512 or D5710 or D5730 or D5750]
Periodontal Care for Adults with Periodontitis (POC-A- A)	AND ii. Any one CDT code from the set: [D5120 or D5140 or D5811 or D5411 or D5511 or D5711 or D5731 or D5751]
Non-Surgical Ongoing Periodontal Care for Adults with Periodontitis (POC-A- A)	Added CDT code D4346 (scaling in presence of generalized moderate or severe gingival inflammation) to the set of codes for inclusion in the numerator.
Ambulatory Care	Removed ICD-9-CM Diagnosis Codes in Tables 1 and 2.
Department Visits for Non-Traumatic Dental	Added the following ICD-10-CM Diagnosis Codes to Table 1 to identify ED visits for non-traumatic dental conditions:
(EDV-A-A)	 KØ8.12: Complete loss of teeth due to periodontal diseases KØ8.121: Complete loss of teeth due to periodontal disease, class
and	 KØ8.122: Complete loss of teeth due to periodontal disease, class
Follow-up after Emergency Department Visits for Non-Traumatic Dental	 II KØ8.123: Complete loss of teeth due to periodontal disease, class III

Conditions in Adults	- KØ8.124: Complete loss of teeth due to periodontal disease, class
(EDF-A-A)	IV
	- KØ8.129: Complete loss of teeth due to periodontal disease,
	unspecified class
	 KØ8.13: Complete loss of teeth due to caries
	 KØ8.131: Complete loss of teeth due to caries, class I
	 KØ8.132: Complete loss of teeth due to caries, class II
	 KØ8.133: Complete loss of teeth due to caries, class III
	 KØ8.134: Complete loss of teeth due to caries, class IV
	 KØ8.192: Complete loss of teeth due to other specified cause, class II
	- KØ8.193: Complete loss of teeth due to other specified cause,
	- KØ8 194: Complete loss of teeth due to other specified cause
	class IV
	- KØ8.421: Partial loss of teeth due to periodontal diseases, class l
	- KØ8.422: Partial loss of teeth due to periodontal diseases, class II
	- KØ8.423: Partial loss of teeth due to periodontal diseases, class III
	- KØ8.424: Partial loss of feeth due to periodontal diseases, class IV
	- KØ8.432: Partial loss of teeth due to carles, class II
	- KØ8.433: Partial loss of teeth due to carles, class III
	- KØ8.434. Partial loss of teeth due to other specified equise plass L
	- KØ0.491. Partial loss of teeth due to other specified cause, class I
	- KØ8.492: Partial loss of feeth due to other specified cause, class II
	- KØ8.493: Partial loss of feeth due to other specified cause, class III
	 KØ8.494: Partial loss of feeth due to other specified cause, class IV
	- KØ8.539: Fractured dental restorative material, unspecified
	- K11.22: Acute recurrent sialoadenitis
	- K13.24: Leukokeratosis nicotina palati
	- K13.3: Hairy leukoplakia
	- M26.219 Malocclusion, Angle's class unspecified
	- M26.611: Adhesions and ankylosis of right temporomandibular
	joint
	- M26.612: Adhesions and ankylosis of left temporomandibular joint
	 M26.613: Adhesions and ankylosis of bilateral temporomandibular isint
	- M26 619: Adhesions and ankylosis of temporomandibular joint
	- M20.017. Adhesions and anxylosis of temporomanabolar joint,
	- M79 11: Myalaia of mastication muscle
Follow-up after	NIICC Code IIndate
Emergency	
Department Visits for	The following NUCC codes are added to the identification of
Non-Traumatic Dental	"dental" providers:
Conditions in Adults	
(EDF-A-A)	126800000X Dental Providers: Dental Assistant
	122400000X Dental Providers: Denturist

39 | Page

	 204E00000X Allopathic & Osteopathic Physicians: Oral & Maxillofacial Surgery 261QD0000X Clinic/Center: Dental
	261QS0112X Clinic/Center: Oral & Maxillofacial Surgery
	For detailed descriptions, see Health Care Provider Taxonomy Code Set, Version 21.0, National Uniform Claim Committee: <u>https://nucc.org/index.php/code-sets-mainmenu-41/provider-</u> <u>taxonomy-mainmenu-40/csv-mainmenu-57</u>
Adults with Diabetes – Oral Evaluation	Made the following changes to align with updates to the FFY 2021 Medicaid Adult Core Set diabetes measure:
	- Exclude from the denominator those enrollees who received palliative care
	 Exclude from the denominator those enrollees aged >= 66 years with frailty and advanced illness - changed to optional exclusion
	- Updates to the measure logic and value sets for identifying patients with diabetes using diagnoses in inpatient and outpatient settings.

2021 Updates: Effective January 1, 2021

General Updates

• Updated effective date, copyright, and weblink citations.

User Guide Updates

• Added guidance for stratifying measure scores by special health care needs.

Updates to the Technical Specifications

Measure	Change(s)	
Topical Fluoride for Adults at Elevated Caries Risk (TFL-A-A)	 Added the following CDT codes to Table 1: Codes to identify "elevated risk" D1352 preventive resin restoration in a moderate to high caries risk patient – permanent tooth D2753: crown – porcelain fused to titanium and titanium alloys 	
Follow-up after Emergency	NUCC Code Update	
Department Visits for Non-Traumatic Dental Conditions in Adults (EDF-A-A)	1223X2210X Dental Providers; Dentist - Orotacial pain A dentist who assesses, diagnoses, and treats patients with complex chronic orofacial pain and dysfunction disorders, oromotor and jaw behavior disorders, and chronic head/neck pain. The dentist has successfully completed an accredited postdoctoral orofacial pain residency training program for dentists of two or more years duration, in accord with the Commission on Dental Accreditation's Standards for Orofacial Pain Residency Programs, and/or meets the requirements for examination and board certification by the American Board of Orofacial Pain.	
	Source: American Academy of Orofacial Pain, <u>http://www.aaop.org</u> Additional Resources: American Board of Orofacial Pain, <u>http://www.abop.net</u> Version 19.1 of the NUCC Health Care Provider Taxonomy Codes (<u>http://nucc.org/index.php/code-sets-mainmenu-41/provider-taxonomy-mainmenu-40</u>)	

40 | Page

2020 Updates: Effective January 1, 2020

General Updates

• Updated effective date, copyright, and weblink citations.

User Guide Updates

- Incorporated three additional measures approved by the DQA in June 2019: Ambulatory Care Sensitive Emergency Department Visits for Non-Traumatic Dental Related Reasons in Adults; Follow-up after Emergency Department Visits for Non-Traumatic Dental Related Reasons in Adults; and Adults with Diabetes – Oral Evaluation
- Added guidance for stratifying measure scores by race/ethnicity, sex, payer type, and geographic location.
- Added Measure Specification Updates section.
- Clarified measure intent of Ongoing Care in Adults with Periodontitis in FAQs.
- Added FAQ about the rationale for excluding Medicaid-Medicaid dual eligibles in medical-dental measures.
- Added FAQ about the inpatient admissions exclusions for Ambulatory Care Sensitive Emergency Department Visits for Non-Traumatic Dental Related Reasons in Adults
- Added FAQ about the follow-up time frames for the measure Follow-Up after Emergency Department Visits for Non-Traumatic Dental Conditions in Adults to clarify that the 7-day and 30-day follow-up time frames are not mutually exclusive.
- Added Appendix 1 Measure Specification Updates.

Technical Specification Updates			
Measure	Change(s)		
Ongoing Care in Adults with Periodontitis (POC-A-A)	Clarified that the measure intent is purposely broader than a measure focused only on D4910, periodontal maintenance in the Measure Purpose section.		
Topical Fluoride for Adults at Elevated Caries Risk (TFL-A-A)	Clarified the different types of fluoride captured in the numerator in the Measure Limitations section.		

Appendix 2: International Classification of Diseases, Clinical Modification, Cross-Mapping

The measures Ambulatory Care Sensitive Emergency Department Visits for Non-Traumatic Dental Conditions in Adults and Follow-Up after Emergency Department Visits Non-Traumatic Dental Conditions in Adults use the International Classification of Diseases, Tenth Revision, Clinical Modification (ICD-10-CM) codes to identify relevant ED visits. Programs that wish to evaluate earlier years of data may refer to the ICD-9-CM to ICD-10-CM cross-mapping below as a starting point.

*Note: This crosswalk is no longer actively maintained. The ICD-10-CM codes below no longer represent the complete set of codes used in the adult ED measures. Users will need to crosswalk newer ICD-10-CM codes on their own.

ICD-9 Code	Description of ICD-9 Code	ICD-10 Code	Description of ICD-10 Code
520.0	Anodontia	K00.0	Anodontia
520.1	Supernumerary teeth	K00.1	Supernumerary teeth
520.2	Abnormalities of size and form of teeth	K00.2	Abnormalities of size and form of teeth
520.3	Mottled teeth	K00.3	Mottled teeth
520.4	Disturbances of tooth formation	K00.4	Disturbances of tooth formation
520.5	Hereditary disturbances in tooth structure, not elsewhere classified	K00.5	Hereditary disturbances in tooth structure, not elsewhere classified
520.6	Disturbances in tooth eruption	K00.6	Disturbances in tooth eruption
520.6	Disturbances in tooth eruption	K01.0	Embedded teeth
520.6	Disturbances in tooth eruption	K01.1	Impacted teeth
520.8	Other specified disorders of tooth development and eruption	K00.8	Other specified disorders of tooth development
520.9	Unspecified disorder of tooth development and eruption	K00.9	Disorder of tooth development, unspecified
521.00	Dental caries, unspecified	K02.9	Dental caries, unspecified
521.01	Dental caries limited to enamel	K02.61	Dental caries on smooth surface limited to enamel

Table 1. Ambulatory Care Sensitive Non-Traumatic Dental Condition Diagnosis Codes

42 | Page

521.02	Dental caries extending into dentine	K02.52	Dental caries on pit and fissure surface penetrating into dentin
521.02	Dental caries extending into dentine	K02.62	Dental caries on smooth surface penetrating into dentine
521.03	Dental caries extending into pulp	K02.53	Dental caries on pit and fissure surface penetrating into pulp
521.03	Dental caries extending into pulp	K02.63	Dental caries on smooth surface penetrating into pulp
521.04	Arrested dental caries	K02.3	Arrested dental caries
521.05	Odontoclasia	K03.89	Other specified diseases of hard tissues of teeth
521.06	Dental caries pit and fissure	K02.51	Dental caries pit and fissure surface limited to enamel
521.06	Dental caries pit and fissure	K02.52	Dental caries on pit and fissure surface penetrating into dentin
521.06	Dental caries pit and fissure	K02.53	Dental caries on pit and fissure surface penetrating into pulp
521.07	Dental caries of smooth surface	K02.61	Dental caries on smooth surface limited to enamel
521.07	Dental caries of smooth surface	K02.62	Dental caries on smooth surface penetrating into dentine
521.07	Dental caries of smooth surface	K02.63	Dental caries on smooth surface penetrating into pulp
521.08	Dental caries of root surface	K02.7	Dental root caries
521.09	Other dental caries	K02.9	Dental caries, unspecified
521.10	Excessive dental attrition, unspecified	K03.0	Excessive attrition of teeth
521.11	Excessive attrition, limited to enamel	K03.0	Excessive attrition of teeth
521.12	Excessive attrition, extending into dentine	K03.0	Excessive attrition of teeth
521.13	Excessive attrition, extending into pulp	K03.0	Excessive attrition of teeth
521.14	Excessive attrition, localized	K03.0	Excessive attrition of teeth
521.15	Excessive attrition, generalized	K03.0	Excessive attrition of teeth
521.20	Abrasion of teeth, unspecified	K03.1	Abrasion of teeth
521.21	Abrasion, limited to enamel	K03.1	Abrasion of teeth
521.22	Abrasion, extending into dentine	K03.1	Abrasion of teeth
521.23	Abrasion, extending into pulp	K03.1	Abrasion of teeth
521.24	Abrasion, localized	K03.1	Abrasion of teeth
521.25	Abrasion, generalized	K03.1	Abrasion of teeth

521.30	Erosion, unspecified	K03.2	Erosion of teeth
521.31	Erosion, limited to enamel	K03.2	Erosion of teeth
521.32	Erosion, extending into dentine	K03.2	Erosion of teeth
521.33	Erosion, extending into pulp	K03.2	Erosion of teeth
521.34	Erosion, localized	K03.2	Erosion of teeth
521.35	Erosion, generalized	K03.2	Erosion of teeth
521.40	Pathological resorption, unspecified	K03.3	Pathological resorption of teeth
521.41	Pathological resorption, internal	K03.3	Pathological resorption of teeth
521.42	Pathological resorption, external	K03.3	Pathological resorption of teeth
521.49	Other pathological resorption	K03.3	Pathological resorption of teeth
521.5	Hypercementosis	K03.4	Hypercementosis
521.6	Ankylosis of teeth	K03.5	Ankylosis of teeth
521.7	Intrinsic posteruptive color changes of teeth	K03.7	Intrinsic posteruptive color changes of hard tissues of teeth
521.81	Cracked tooth	K03.81	Cracked tooth
521.89	Other specific diseases of hard tissues of teeth	K03.89	Other specific diseases of hard tissues of teeth
521.9	Unspecified disease of hard tissues of teeth	K03.9	Disease of hard tissues of teeth, unspecified
522.0	Pulpitis	K04.0	Pulpitis
522.0	Pulpitis	K04.01	Reversible pulpitis
522.0	Pulpitis	K04.02	Irreversible pulpitis
522.1	Necrosis of the pulp	K04.1	Necrosis of the pulp
522.2	Pulp degeneration	K04.2	Pulp degeneration
522.3	Abnormal hard tissue formation in pulp	K04.3	Abnormal hard tissue formation in pulp
522.4	Acute apical periodontitis of pulpal origin	K04.4	Acute apical periodontitis of pulpal origin
522.5	Periapical abscess without sinus	K04.7	Periapical abscess without sinus
522.6	Chronic apical periodontitis	K04.5	Chronic apical periodontitis
522.7	Periapical abscess with sinus	K04.6	Periapical abscess with sinus
522.8	Radicular cyst	K04.8	Radicular cyst
522.9	Other and unspecified diseases of pulp and periapical tissues	K04.90	Unspecified diseases of pulp and periapical tissues
522.9	Other and unspecified diseases of pulp and periapical tissues	K04.99	Other diseases of pulp and periapical tissues
523.00	Acute gingivitis, plaque induced	K05.00	Acute gingivitis, plaque induced
523.01	Acute gingivitis, non-plaque induced	K05.01	Acute gingivitis, non-plaque induced

523.10	Chronic gingivitis, plaque induced	K05.10	Chronic gingivitis, plaque induced
523.11	Chronic gingivitis, non-plaque induced	K05.11	Chronic gingivitis, non-plaque induced
523.20	Gingival recession, unspecified	K06.0	Gingival recession
523.20	Gingival recession, unspecified	K060.10	Localized gingival recession, unspecified
523.20	Gingival recession, unspecified	K060.20	Generalized gingival recession, unspecified
523.21	Gingival recession, minimal	K06.0	Gingival recession
523.21	Gingival recession, minimal	K060.11	Localized gingival recession, minimal
523.21	Gingival recession, minimal	K060.21	Generalized gingival recession, minimal
523.22	Gingival recession, moderate	K06.0	Gingival recession
523.22	Gingival recession, moderate	K060.12	Localized gingival recession, moderate
523.22	Gingival recession, moderate	K060.22	Generalized gingival recession, moderate
523.23	Gingival recession, severe	K06.0	Gingival recession
523.23	Gingival recession, severe	K06013	Localized gingival recession, severe
523.23	Gingival recession, severe	K06023	Generalized gingival recession, severe
523.24	Gingival recession, localized	K06.0	Gingival recession
523.24	Gingival recession, localized	K060.10	Localized gingival recession, unspecified
523.25	Gingival recession, generalized	K06.0	Gingival recession
523.25	Gingival recession, generalized	K060.20	Generalized gingival recession, unspecified
523.30	Aggressive periodontitis, unspecified	K05.20	Aggressive periodontitis, unspecified
523.31	Aggressive periodontitis, localized	K05.21	Aggressive periodontitis, localized
523.31	Aggressive periodontitis, localized	K052.11	Aggressive periodontitis, localized, slight
523.31	Aggressive periodontitis, localized	K052.12	Aggressive periodontitis, localized, moderate
523.31	Aggressive periodontitis, localized	K052.13	Aggressive periodontitis, localized, severe
523.31	Aggressive periodontitis, localized	K052.19	Aggressive periodontitis, localized, unspecified severity
523.32	Aggressive periodontitis, generalized	K05.22	Aggressive periodontitis, generalized

45 | Page

1			
523.32	Aggressive periodontitis, generalized	K052.21	Aggressive periodontitis, generalized, slight
523.32	Aggressive periodontitis, generalized	K052.22	Aggressive periodontitis, generalized, moderate
523.32	Aggressive periodontitis, generalized	K052.23	Aggressive periodontitis, generalized, severe
523.32	Aggressive periodontitis, generalized	K052.29	Aggressive periodontitis, generalized, unspecified severity
523.33	Acute periodontitis	K05.20	Acute periodontitis
523.40	Chronic periodontitis, unspecified	K05.30	Chronic periodontitis, unspecified
523.41	Chronic periodontitis, localized	K05.31	Chronic periodontitis, localized
523.41	Chronic periodontitis, localized	K053.11	Chronic periodontitis, localized, slight
523.41	Chronic periodontitis, localized	K053.12	Chronic periodontitis, localized, moderate
523.41	Chronic periodontitis, localized	K053.13	Chronic periodontitis, localized, severe
523.41	Chronic periodontitis, localized	K053.19	Chronic periodontitis, localized, unspecified severity
523.42	Chronic periodontitis, generalized	K05.32	Chronic periodontitis, generalized
523.42	Chronic periodontitis, generalized	K053.21	Chronic periodontitis, generalized, slight
523.42	Chronic periodontitis, generalized	K053.22	Chronic periodontitis, generalized, moderate
523.42	Chronic periodontitis, generalized	K053.23	Chronic periodontitis, generalized, severe
523.42	Chronic periodontitis, generalized	K053.29	Chronic periodontitis, generalized, unspecified severity
523.5	Periodontosis	K05.4	Periodontosis
523.5	Periodontosis	K05.40	Periodontosis
523.6	Accretions on teeth	K03.6	Deposits (accretions) on teeth
523.8	Other specified periodontal diseases	K05.5	Other periodontal diseases
523.8	Other specified periodontal diseases	K06.1	Gingival enlargement
523.8	Other specified periodontal diseases	K06.3	Horizontal alveolar bone loss
523.8	Other specified periodontal diseases	K06.8	Other specified disorders of gingiva and edentulous alveolar ridge

523.9	Unspecified gingival and periodontal disease	K05.6	Periodontal disease, unspecified
523.9	Unspecified gingival and periodontal disease	K06.9	Disorder of gingiva and edentulous alveolar ridge, unspecified
524.00	Major anomalies of jaw size, unspecified anomaly	M26.00	Unspecified anomaly of jaw size
524.01	Major anomalies of jaw size, maxillary hyperplasia	M26.01	Maxillary hyperplasia
524.02	Major anomalies of jaw size, mandibular hyperplasia	M26.03	Mandibular hyperplasia
524.03	Major anomalies of jaw size, maxillary hypoplasia	M26.02	Maxillary hypoplasia
524.04	Major anomalies of jaw size, mandibular hypoplasia	M26.04	Mandibular hypoplasia
524.05	Major anomalies of jaw size, macrogenia	M26.05	Macrogenia
524.06	Major anomalies of jaw size, microgenia	M26.06	Microgenia
524.07	Excessive tuberosity of jaw	M26.07	Excessive tuberosity of jaw
524.09	Major anomalies of jaw size, other specified anomaly	M26.09	Other specified anomalies of jaw size
524.10	Anomalies of relationship of jaw to cranial base, unspecified anomaly	M26.10	Unspecified anomaly of relationship of jaw-cranial base relationship
524.11	Anomalies of relationship of jaw to cranial base, maxillary asymmetry	M26.11	Maxillary asymmetry
524.12	Anomalies of relationship of jaw to cranial base, other jaw asymmetry	M26.12	Other jaw asymmetry
524.19	Anomalies of relationship of jaw to cranial base, other specified anomaly	M26.19	Other specified anomalies of jaw- cranial base relationship
524.20	Unspecified anomaly of dental arch relationship	M26.20	Unspecified anomaly of dental arch relationship
524.21	Malocclusion, Angle's class I	M26.211	Malocclusion, Angle's class I
524.22	Malocclusion, Angle's class II	M26.212	Malocclusion, Angle's class II
524.23	Malocclusion, Angle's class III	M26.213	Malocclusion, Angle's class III
524.24	Open anterior occlusal relationship	M26.220	Open anterior occlusal relationship
524.25	Open posterior occlusal relationship	M26.221	Open posterior occlusal relationship
524.26	Excessive horizontal overlap	M26.23	Excessive horizontal overlap
524.27	Reverse articulation	M26.24	Reverse articulation
524.28	Anomalies of interarch distance	M26.25	Anomalies of interarch distance

47 | Page

524.29	Other anomalies of dental arch relationship	M26.29	Other anomalies of dental arch relationship
524.30	Unspecified anomaly of tooth position of fully erupted teeth	M26.30	Unspecified anomaly of tooth position of fully erupted tooth or teeth
524.31	Crowding of teeth	M26.31	Crowding of fully erupted teeth
524.32	Excessive spacing of teeth	M26.32	Excessive spacing of fully erupted teeth
524.33	Horizontal displacement of teeth	M26.33	Horizontal displacement of fully erupted tooth or teeth
524.34	Vertical displacement of teeth	M26.34	Vertical displacement of fully erupted tooth or teeth
524.35	Rotation of tooth/teeth	M26.35	Rotation of fully erupted tooth or teeth
524.36	Insufficient interocclusal distance of teeth (ridge)	M26.36	Insufficient interocclusal distance of fully erupted teeth (ridge)
524.37	Excessive interocclusal distance of teeth	M26.37	Excessive interocclusal distance of fully erupted teeth
524.39	Other anomalies of tooth position	M26.39	Other anomalies of tooth position of fully erupted tooth or teeth
524.4	Malocclusion, unspecified	M26.4	Malocclusion, unspecified
524.50	Dentofacial functional abnormality, unspecified	M26.50	Dentofacial functional abnormalities, unspecified
524.51	Abnormal jaw closure	M26.51	Abnormal jaw closure
524.52	Limited mandibular range of motion	M26.52	Limited mandibular range of motion
524.53	Deviation in opening and closing of the mandible	M26.53	Deviation in opening and closing of the mandible
524.54	Insufficient anterior guidance	M26.54	Insufficient anterior guidance
524.55	Centric occlusion maximum intercuspation discrepancy	M26.55	Centric occlusion maximum intercuspation discrepancy
524.56	Non-working side interference	M26.56	Non-working side interference
524.57	Lack of posterior occlusal support	M26.57	Lack of posterior occlusal support
524.59	Other dentofacial functional abnormalities	M26.59	Other dentofacial functional abnormalities
524.60	Temporomandibular joint disorders, unspecified	M26.60	Temporomandibular joint disorder, unspecified
524.60	Temporomandibular joint disorders, unspecified	M26.601	Right temporomandibular joint disorder, unspecified
524.60	Temporomandibular joint disorders, unspecified	M26.602	Left temporomandibular joint disorder, unspecified

524.60	Temporomandibular joint disorders, unspecified	M26.603	Bilateral temporomandibular joint disorder, unspecified
524.60	Temporomandibular joint disorders, unspecified	M26.609	Unspecified temporomandibular joint disorder, unspecified side
524.60	Temporomandibular joint disorders, unspecified	M26.69	Other specified disorders of temporomandibular joint
524.61	Temporomandibular joint disorders, adhesions and ankylosis (bony or fibrous)	M26.61	Adhesions and ankylosis of temporomandibular joint
524.61	Temporomandibular joint disorders, adhesions and ankylosis (bony or fibrous)	M26.621	Arthralgia of right temporomandibular joint
524.61	Temporomandibular joint disorders, adhesions and ankylosis (bony or fibrous)	M26.622	Arthralgia of left temporomandibular joint
524.61	Temporomandibular joint disorders, adhesions and ankylosis (bony or fibrous)	M26.623	Arthralgia of bilateral temporomandibular joint
524.61	Temporomandibular joint disorders, adhesions and ankylosis (bony or fibrous)	M26.629	Arthralgia of temporomandibular joint, unspecified side
524.62	Temporomandibular joint disorders, arthralgia of temporomandibular joint	M26.62	Arthralgia of temporomandibular joint
524.63	Temporomandibular joint disorders, articular disc disorder (reducing or non-reducing)	M26.63	Articular disc disorder of temporomandibular joint
524.63	Temporomandibular joint disorders, articular disc disorder (reducing or non-reducing)	M26.631	Articular disc disorder of right temporomandibular joint
524.63	Temporomandibular joint disorders, articular disc disorder (reducing or non-reducing)	M26.632	Articular disc disorder of left temporomandibular joint
524.63	Temporomandibular joint disorders, articular disc disorder (reducing or non-reducing)	M26.633	Articular disc disorder of bilateral temporomandibular joint
524.63	Temporomandibular joint disorders, articular disc disorder (reducing or non-reducing)	M26.639	Articular disc disorder of temporomandibular joint, unspecified side
524.64	Temporomandibular joint sounds on opening and/or closing the jaw	M26.69	Other specified disorders of temporomandibular joint
524.69	Other specified temporomandibular joint disorders	M26.69	Other specified disorders of temporomandibular joint
524.70	Dental alveolar anomalies, unspecified alveolar anomaly	M26.70	Unspecified alveolar anomaly

50 | Page

524.71	Alveolar maxillary hyperplasia	M26.71	Alveolar maxillary hyperplasia
524.72	Alveolar mandibular hyperplasia	M26.72	Alveolar mandibular hyperplasia
524.73	Alveolar maxillary hypoplasia	M26.73	Alveolar maxillary hypoplasia
524.74	Alveolar mandibular hypoplasia	M26.74	Alveolar mandibular hypoplasia
524.75	Vertical displacement of alveolus and teeth	M26.79	Other specified alveolar anomaly
524.76	Occlusal plane deviation	M26.79	Other specified alveolar anomaly
524.79	Other specified alveolar anomaly	M26.79	Other specified alveolar anomaly
524.81	Anterior soft tissue impingement	M26.81	Anterior soft tissue impingement
524.82	Posterior soft tissue impingement	M26.82	Posterior soft tissue impingement
524.89	Other specified dentofacial anomalies	M26.4	Malocclusion, unspecified
524.89	Other specified dentofacial anomalies	M26.89	Other dentofacial anomalies
524.9	Unspecified dentofacial anomalies	M26.9	Dentofacial anomaly, unspecified
525.0	Exfoliation of teeth due to systemic causes	K08.0	Exfoliation of teeth due to systemic causes
525.10	Acquired absence of teeth, unspecified	K08.109	Complete loss of teeth, unspecified cause, unspecified class
525.12	Loss of teeth due to periodontal disease	K08.429	Partial loss of teeth due to periodontal diseases, unspecified class
525.13	Loss of teeth due to caries	K08.439	Partial loss of teeth due to caries unspecified class
525.13	Loss of teeth due to caries	K08.139	Complete loss of teeth due to caries, unspecified class
525.13	Loss of teeth due to caries	K08.431	Partial loss of teeth due to caries, class I
525.19	Other loss of teeth	K08.499	Partial loss of teeth due to other unspecified cause, unspecified class
525.19	Other loss of teeth	K08.191	Complete loss of teeth due to other specified cause, class I
525.19	Other loss of teeth	K08.199	Complete loss of teeth due to other specified cause, unspecified class
525.20	Unspecified atrophy of edentulous alveolar ridge	K08.20	Unspecified atrophy of edentulous alveolar ridge

525.21	Minimal atrophy of the mandible	K08.21	Minimal atrophy of the mandible
525.22	Moderate atrophy of the mandible	K08.22	Moderate atrophy of the mandible
525.23	Severe atrophy of the mandible	K08.23	Severe atrophy of the mandible
525.24	Minimal atrophy of the maxilla	K08.24	Minimal atrophy of the maxilla
525.25	Moderate atrophy of the maxilla	K08.25	Moderate atrophy of the maxilla
525.26	Severe atrophy of the maxilla	K08.26	Severe atrophy of the maxilla
525.3	Retained dental root	K08.3	Retained dental root
525.40	Complete edentulism, unspecified	K08.109	Complete loss of teeth, unspecified cause, unspecified class
525.40	Complete edentulism, unspecified	K08.139	Complete loss of teeth due to caries, unspecified class
525.40	Complete edentulism, unspecified	K08.199	Complete loss of teeth due to other specified cause, unspecified class
525.41	Complete edentulism, class I	K08.101	Complete loss of teeth, unspecified cause, class l
525.41	Complete edentulism, class I	K08.191	Complete loss of teeth due to other specified cause, class I
525.42	Complete edentulism, class II	K08.102	Complete loss of teeth, unspecified cause, class II
525.43	Complete edentulism, class III	K08.103	Complete loss of teeth, unspecified cause, class III
525.44	Complete edentulism, class IV	K08.104	Complete loss of teeth, unspecified cause, class IV
525.50	Partial edentulism, unspecified	K08.409	Partial loss of teeth, unspecified cause, unspecified class
525.51	Partial edentulism, class I	K08.401	Partial loss of teeth, unspecified cause, class I
525.51	Partial edentulism, class I	K08.431	Partial loss of teeth due to caries, class I
525.52	Partial edentulism, class II	K08.402	Partial loss of teeth, unspecified cause, class II
525.53	Partial edentulism, class III	K08.403	Partial loss of teeth, unspecified cause, class III
525.54	Partial edentulism, class IV	K08.404	Partial loss of teeth, unspecified cause, class IV
525.60	Unspecified unsatisfactory restoration of tooth	K08.50	Unsatisfactory restoration of tooth, unspecified
525.61	Open restoration margins	K08.51	Open restoration margins of tooth

525.62	Unrepairable overhanging of dental restorative materials	K08.52	Unrepairable overhanging of dental restorative materials
525.63	Fractured dental restorative material without loss of material	K08.530	Fractured dental restorative material without loss of material
525.64	Fractured dental restorative material with loss of material	K08.531	Fractured dental restorative material with loss of material
525.65	Contour of existing restoration of tooth biologically incompatible with oral health	K08.54	Contour of existing restoration of tooth biologically incompatible with oral health
525.66	Allergy to existing dental restorative material	K08.55	Allergy to existing dental restorative material
525.67	Poor aesthetics of existing restoration	K08.56	Poor aesthetic of existing restoration of tooth
525.69	Other unsatisfactory restoration of existing tooth	K08.59	Other unsatisfactory restoration of tooth
525.71	Osseointegration failure of dental implant	M27.61	Osseointegration failure of dental implant
525.72	Post-osseointegration biological failure of dental implant	M27.62	Post-osseointegration biological failure of dental implant
525.73	Post-osseointegration mechanical failure of dental implant	M27.63	Post-osseointegration mechanical failure of dental implant
525.79	Other endosseous dental implant failure	M27.69	Other endosseous dental implant failure
525.8	Other specified disorders of the teeth and supporting structures	K08.8	Other specified disorders of teeth and supporting structures
525.8	Other specified disorders of the teeth and supporting structures	K08.89	Other specified disorders of teeth and supporting structures
525.8	Other specified disorders of the teeth and supporting structures	M26.79	Other specified alveolar anomalies
525.9	Unspecified disorder of the teeth and supporting structures	K08.9	Disorder of teeth and supporting structures, unspecified
526.0	Developmental odontogenic cysts	K09.0	Developmental odontogenic cysts
526.1	Fissural cysts of jaw	K09.1	Developmental (nonodotogenic) cysts of oral region
526.2	Other cysts of jaws	M27.49	Other cysts of jaws
526.2	Other cysts of jaws	M27.40	Unspecified cyst of jaw
526.3	Central giant cell (reparative) granuloma	M27.1	Giant cell granuloma, central
526.4	Inflammatory conditions of jaw	M27.2	Inflammatory conditions of jaw
526.5	Alveolitis of jaw	M27.3	Alveolitis of jaw

526.61	Perforation of root canal space	M27.51	Perforation of root canal space due to endodontic treatment
526.62	Endodontic overfill	M27.52	Endodontic overfill
526.63	Endodontic underfill	M27.53	Endodontic underfill
526.69	Other periradicular pathology associated with previous endodontic treatment	M27.59	Other periradicular pathology associated with previous endodontic treatment
526.81	Exostosis of jaw	M27.8	Other specified diseases of jaws
526.89	Other specified diseases of the jaws	M27.8	Other specified diseases of jaws
526.9	Unspecified disease of the jaws	M27.9	Disease of the jaws, unspecified
526.9	Unspecified disease of the jaws	M27.0	Developmental disorders of jaws
527.0	Atrophy of salivary gland	K11.0	Atrophy of salivary gland
527.1	Hypertrophy of salivary gland	K11.1	Hypertrophy of salivary gland
527.2	Sialoadenitis	K11.20	Sialoadenitis, unspecified
527.2	Sialoadenitis	K11.21	Acute sialoadenitis
527.2	Sialoadenitis	K11.23	Chronic sialoadenitis
527.3	Abscess of salivary gland	K11.3	Abscess of salivary gland
527.4	Fistula of salivary gland	K11.4	Fistula of salivary gland
527.5	Sialolithiasis	K11.5	Sialolithiasis
527.6	Mucocele of salivary gland	K11.6	Mucocele of salivary gland
527.7	Disturbance of salivary secretion	K11.7	Disturbances of salivary secretion
527.7	Disturbance of salivary secretion	R68.2	Dry mouth, unspecified
527.8	Other specified diseases of the salivary glands	K11.8	Other diseases of salivary glands
527.9	Unspecified disease of the salivary glands	K11.9	Disease of the salivary glands, unspecified
528.00	Stomatitis and mucositis, unspecified	K12.2	Cellulitis and abscess of mouth
528.00	Stomatitis and mucositis, unspecified	K12.30	Oral mucositis (ulcerative), unspecified
528.01	Mucositis (ulcerative) due to antineoplastic therapy	K12.31	Oral mucositis (ulcerative) due to antineoplastic therapy
528.01	Mucositis (ulcerative) due to antineoplastic therapy	K12.33	Oral mucositis (ulcerative) due to radiation
528.02	Mucositis (ulcerative) due to other drugs	K12.32	Oral mucositis (ulcerative) due to other drugs
528.09	Other stomatitis and mucositis (ulcerative)	K12.1	Other forms of stomatitis

54 | Page

528.09	Other stomatitis and mucositis (ulcerative)	K12.39	Other oral mucositis (ulcerative)
528.1	Cancrum oris	A69.0	Necrotizing ulcerative stomatitis
101	Vincent's angina	A69.0	Necrotizing ulerative stomatitis
101	Vincent's angina	A69.1	Other Vincent's infections
528.2	Oral aphthae	K12.0	Recurrent oral aphthae
528.3	Cellulitis and abscess of oral soft tissues	K12.2	Cellulitis and abscess of mouth
528.4	Cysts of oral soft tissues	K09.8	Other cysts of oral region, not elsewhere classified
528.4	Cysts of oral soft tissues	K099	Cyst of oral region, unspecified
528.5	Diseases of lips	K13.0	Diseases of lips
528.6	Leukoplakia of oral mucosa, including tongue	K13.21	Leukoplakia of oral mucosa, including tongue
528.71	Minimal keratinized residual ridge mucosa	K13.22	Minimal keratinized residual ridge mucosa
528.72	Excessive keratinized residual ridge mucosa	K13.23	Excessive keratinized residual ridge mucosa
528.79	Other disturbances of oral epithelium, including tongue	K13.29	Other disturbances of oral epithelium, including tongue
528.8	Oral submucosal fibrosis, including of tongue	K13.5	Oral submucosal fibrosis
528.9	Other and unspecified diseases of the oral soft tissues	K13.70	Unspecified lesions of oral mucosa
528.9	Other and unspecified diseases of the oral soft tissues	K13.79	Other lesions of oral mucosa
528.9	Other and unspecified diseases of the oral soft tissues	K13.1	Cheek and lip biting
528.9	Other and unspecified diseases of the oral soft tissues	K13.6	Irritative hyperplasia of oral mucosa
528.9	Other and unspecified diseases of the oral soft tissues	K13.4	Granuloma and granuloma-like lesions of oral mucosa
529.0	Glossitis	K14.0	Glossitis
529.1	Geographic tongue	K14.1	Geographic tongue
529.2	Median rhomboid glossitis	K14.2	Median rhomboid glossitis
529.3	Hypertrophy of tongue papillae	K14.3	Hypertrophy of tongue papillae
529.4	Atrophy of tongue papillae	K14.4	Atrophy of tongue papillae
529.5	Plicated tongue	K14.5	Plicated tongue
529.6	Glossodynia	K14.6	Glossodynia
529.8	Other specified conditions of the tongue	K14.8	Other diseases of the tongue

529.9	Unspecified condition of the tongue	K14.9	Disease of tongue, unspecified
V52.3	Fitting and adjustment of dental prosthetic device	Z46.3	Encounter for fitting and adjustment of dental prosthetic device
V53.4	Fitting and adjustment of orthodontic devices	Z46.4	Encounter for fitting and adjustment of orthodontic device
V58.5	Orthodontics aftercare	Z46.4	Encounter for fitting and adjustment of orthodontic device
V72.2	Dental examination	Z01.20	Encounter for dental examination and cleaning without abnormal findings
V72.3	Dental examination	Z01.21	Encounter for dental examination and cleaning with abnormal findings
784.92	Jaw pain	R68.84	Jaw pain

 Table 2. First-Listed Diagnosis Codes to Identify Ambulatory Care Sensitive Non-Traumatic Dental

 Condition Visits when Paired with an Additional Listed Diagnosis Code from Table 1

ICD9 Codes	Description of Code	ICD10 Codes	Description of Code
682.0	Cellulitis and abscess of face	L03.211	Cellulitis of face
682.0	Cellulitis and abscess of face	L03.212	Acute lymphangitis of face
682.0	Cellulitis and abscess of face	L03.213	Periorbital cellulitis
682.1	Cellulitis and abscess of neck	L03.221	Cellulitis of neck
682.1	Cellulitis and abscess of neck	L03.222	Acute lymphangitis of neck
784.2	Swelling mass or lump in head and neck	R22.0	Localized swelling, mass and lump, head
784.2	Swelling mass or lump in head and neck	R22.1	Localized swelling, mass and lump, neck

End Notes

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57 | Page

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