		CODA WINEI 2021
1		MISCELLANEOUS POLICY REVISIONS FOR CONSIDERATION
2	Under	line indicates addition; Strikethrough indicates deletion
3		REVIEW COMMITTEES AND REVIEW COMMITTEE MEETINGS
4 5	1. St	ructure: The chair of each Review Committee will be the appointed Commissioner from the
6	rel	levant discipline.
7	i.	The Commission will appoint all Review Committee members.
8		a. Review Committee positions not designated as discipline-specific will be appointed from
9		the Commission where feasible, e.g. a public representative on the Commission could be
10		appointed to serve as the public member on the Dental Laboratory Technology Review
11		Committee; an ADA appointee to the Commission could be appointed to the Dental
12		Assisting Review Committee as the general dentist practitioner.
13		b. Discipline-specific positions on Review Committees will be filled by appointment by the
14		Commission of an individual from a small group of qualified nominees (at least two)
15		submitted by the relevant national organization, discipline-specific sponsoring
16		organization or certifying board. Nominating organizations may elect to rank their
17 10		nominees, if they so choose. If fewer than two (2) qualified nominees are submitted, the
18 10		appointment process will be delayed until such time as the minimum number of required
19 20	ii.	qualified nominations is received. Consensus is the method used for decision making; however if consensus cannot be reached and a
20	11.	vote is required, then the Chair may only vote in the case of a tie (American Institute of
22		Parliamentarians Standard Code of Parliamentary Procedures).
23	iii.	Member terms will be staggered, four year appointments; multiple terms may be served on the
24		same or a different committee, with a one-year waiting period between terms. A maximum of
25		two (2) terms may be served in total. The one-year waiting period between terms does not apply
26		to public members.
27	iv.	One public member will be appointed to each committee.
28	v.	The size of each Review Committee will be determined by the committee's workload.
29	vi.	As a committee's workload increases, additional members will be appointed while maintaining
30		the balance between the number of content experts and non-content experts. Committees may
31		formally request an additional member through New Business at Review Committee/Commission
32		meetings. If an additional member is approved, this member must be a joint nomination from the
33		professional organization and certifying board, as applicable.
34 25	vii.	Conflict of interest policies and procedures are applicable to all Review Committee members.
35	viii.	Review Committee members who have not had not been on a site visit within the last two (2)
36 27		years prior to their appointment on a Review Committee should observe at least one site visit
37 20		within their first year of service on the Review Committee.
38 39	ix.	In the event that fewer than 50% of discipline-specific experts are present for any one discipline, the decision by a quorum of the Review Committee shall be acceptable. In the case of less than
40		50% of discipline-specific experts, including the Chair, available for a review committee meeting,
40 41		for specified agenda items or for the entire meeting, the Review Committee Chair may
42		temporarily appoint an additional discipline-specific expert(s) with the approval of the CODA
43		Director. The substitute should be a previous Review Committee member or an individual
44		approved by both the Review Committee Chair and the CODA Director. The substitute would

1 2 3 4	<ul> <li>have the privileges of speaking, making motions and voting.</li> <li>x. Consent agendas may be used by Review Committees, when appropriate, and may be approved by a quorum of the Review Committee present at the meeting. Revised: 8/20; 1/20; 8/18; 8/17; 2/15; 1/14, 2/13, 8/10, 7/09; 7/08; 7/07; Adopted: 1/06</li> </ul>
5	Revised. 8/20, 1/20, 8/18, 8/17, 2/13, 1/14, 2/13, 8/10, 7/09, 7/08, 7/07, Adopted. 1/08
6	2. Composition
7	Predoctoral Education Review Committee (9 members)
8	1 discipline-specific Commissioner appointed by American Dental Education Association
9	1 public member
10	3 dental educators who are involved with a predoctoral dental education program (two must be
11	general dentists)
12	1 general dentist (One of whom is a practitioner)
13	1 non-general* dentist dentist and the other an educator)
14	1 dental assistant, dental hygienist, dental therapist or dental laboratory technology professional educator
15	1 dental therapist educator
16	*a dentist who has completed an advanced dental education program in dental anesthesiology,
17	dental public health, endodontics, oral and maxillofacial radiology, oral and maxillofacial
18	pathology, oral and maxillofacial surgery, oral medicine, orofacial pain, orthodontics and
19	dentofacial orthopedics, pediatric dentistry, periodontics, or prosthodontics.
20	
21	Three (3) Advanced Dental Education Review Committees (DPH, OMP, OMR - 5 members each. At
22	least one member must be a dental educator.)
23	1 discipline-specific Commissioner appointed by the discipline-specific sponsoring organization
24	1 public member
25	1 dentist nominated by the discipline-specific sponsoring organization
26	1 dentist nominated by the discipline-specific certifying board
27	1 general dentist
28 29	Sir (6) Advanced Dental Education Devices Committees (ENDO OMS OPTHO DEDIO DED
29 30	Six (6) Advanced Dental Education Review Committees (ENDO, OMS, ORTHO, PERIO, PED, PROS - 6 members each. At least one member must be a dental educator.)
30 31	1 discipline-specific Commissioner appointed by the discipline-specific sponsoring organization
32	1 public member
33	1 dentist nominated by the discipline-specific sponsoring organization
34	1 dentist nominated by the discipline-specific certifying board
35	1 dentist nominated by the discipline-specific certifying board and discipline-specific sponsoring
36	organization
37	1 general dentist
38	
39	Advanced Education in General Dentistry, General Practice Residency, Dental Anesthesiology, Oral
40	Medicine and Orofacial Pain Review Committee (12 members)
41	1 discipline-specific Commissioner, jointly appointed by American Dental Education Association
42	(ADEA), the Special Care Dentistry Association (SCDA), the American Society of Dentist
43	Anesthesiologists (ASDA), the American Academy of Oral Medicine (AAOM), and the
44	American Academy of Orofacial Pain (AAOP)
45	1 public member
46	2 current General Practice Residency (GPR) educators nominated by the SCDA

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1	2 current Advanced Education in General Dentistry (AEGD) educators nominated by ADEA
2	1 oral medicine educator nominated by the American Academy of Oral Medicine
3	1 dental anesthesiology educator nominated by the American Society of Dentist Anesthesiologists
4	1 orofacial pain educator nominated by the American Academy of Orofacial Pain
5	1 general dentist graduate of a GPR or AEGD
	1 non-general* dentist
6	•
7	1 higher education or hospital administrator with past or present experience in administration in a
8	teaching institution
9	*a dentist who has completed an advanced dental education program in dental public health,
10	endodontics, oral and maxillofacial radiology, oral and maxillofacial pathology, oral and
11	maxillofacial surgery, orthodontics and dentofacial orthopedics, pediatric dentistry, periodontics,
12	or prosthodontics.
13	
14	Dental Assisting Education Review Committee (10 members)
15	1 discipline-specific Commissioner appointed by American Dental Assistants Association
16	1 public member
17	2 general dentists (practitioner or educator)
18	5 dental assisting educators
19	1 dental assisting practitioner who is a graduate of a Commission accredited program
20	
21	Dental Hygiene Education Review Committee (11 members)
22	1 discipline-specific Commissioner appointed by American Dental Hygienists' Association
23	1 public member
24	4 dental hygienist educators
25	2 dental hygienist practitioners
26	1 dentist practitioner
20 27	1 dentist educator
28	1 higher education administrator
29	
30	Dental Laboratory Technology Education Review Committee (5 members)
31	1 discipline-specific Commissioner appointed by National Association of Dental Laboratories
32	1 public member
33	1 general dentist
34	1 dental laboratory technology educator
35	1 dental laboratory owner nominated by National Association of Dental Laboratories
36	Revised: 8/18; 2/16; 2/15; 8/14; 2/13, 7/09, 7/08, 1/08; Reaffirmed: 8/17; 8/10; Adopted: 1/06
37	
38	3. Nomination Criteria: The following criteria are requirements for nominating members to serve on the
39	Review Committees. Rules related to the appointment term on Review Committees apply.
40	
41	All Nominees:
42	• Ability to commit to one (1) four (4) year term;
43	• Willingness to commit ten (10) to twenty (20) days per year to Review Committee activities,
44	including training, comprehensive review of print and electronically delivered materials and travel to
45	Commission headquarters;
45 46	
40	• Ability to evaluate an educational program objectively in terms of such broad areas as curriculum,

- 1 faculty, facilities, student evaluation and outcomes assessment;
- Stated willingness to comply with all Commission policies and procedures (e.g. Agreement of
   Confidentiality; Conflict of Interest Policy; Operational Guidelines; Simultaneous Service; HIPAA
   Training, Licensure Attestation, and Professional Conduct Policy and Prohibition Against
- 5 Harassment);
- Ability to conduct business through electronic means (email, Commission Web Sites); and
- 7 Active, life or retired member of the American Dental Association, where applicable.
- 8 9
  - Educator Nominees:
- Commitment to predoctoral, advanced, and/or allied dental education;
- Active involvement in an accredited predoctoral, advanced, or allied dental education program as a full- or part-time faculty member;
- 13 Subject matter experts with formal education and credentialed in the applicable discipline; and
- Prior or current experience as a Commission site visitor.
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- 16 Practitioner Nominees:
- Commitment to predoctoral, advanced, and/or allied dental education;
- Majority of current work effort as a practitioner; and
- 19 Formal education and credential in the applicable discipline.
- 21 Public/Consumer Nominees:
- A commitment to bring the public/consumer perspective to Review Committee deliberations. The
   nominee should not have any formal or informal connection to the profession of dentistry; also, the
   nominee should have an interest in, or knowledge of, health-related and accreditation issues. In order
   to serve, the nominee must not be a:
  - a. Dentist or member of an allied dental discipline;
- b. Member of a predoctoral, advanced, or allied dental education program faculty;
- c. Employee, member of the governing board, owner, or shareholder of, or independent consultant
   to, a predoctoral, advanced, or allied dental education program that is accredited by the
   Commission on Dental Accreditation, has applied for initial accreditation or is not-accredited;
- d. Member or employee of any professional/trade association, licensing/regulatory agency or
   membership organization related to, affiliated with or associated with the Commission, dental
   education or dentistry; and
  - e. Spouse, parent, child or sibling of an individual identified above (a through d).
- 36 Higher Education Administrator:
- A commitment to bring the higher education administrator perspective to the Review Committee
   deliberations. In order to serve, the nominee must not be a:
  - a. Member of any trade association, licensing/regulatory agency or membership organization related to, affiliated with or associated with the Commission; and
  - b. Spouse, parent, child or sibling of an individual identified above.
- 41 42

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- 43 Hospital Administrator:
- A commitment to bring the hospital administrator perspective to Review Committee deliberations. In
   order to serve, the nominee must not be a:

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1 2 3 4	<ul> <li>a. Member of any trade association, licensing/regulatory agency or membership organization related to, affiliated with or associated with the Commission; and</li> <li>b. Spouse, parent, child or sibling of an individual identified above. Revised: 2/21; 8/18; 8/17; 8/14; 8/10; Adopted: 07/08</li> </ul>
5 6	COMMISSION AND COMMISSION MEETINGS
U	
7 8	The Commission and its Review Committees meet twice each year to consider site visit reports and institutional responses, progress reports, information from annual surveys, applications for
9	initial accreditation, and policies related to accreditation. These meetings are held in the winter
10	and the summer.
11	
12	Reports from site visits conducted less than 90 days prior to a Commission meeting are usually
13	deferred and considered at the next Commission meeting. Commission staff can provide
14	information about the specific dates for consideration of a particular report.
15	
16	The Commission has established policy and procedures for due process which are detailed in the
17	Due Process section of this manual.
18	Revised: 8/17; 8/14; 7/06, 7/96; Reaffirmed: 8/10; Adopted: 7/96
19	
20	1. Composition and Criteria
21	
22	Composition
23	The Board of Commissioners shall consist of:
24	
25	Four (4) members shall be selected from nominations open to all trustee districts from the active,
26	life or retired members of this association, no one of whom shall be a faculty member working
27	more than one day per week of a school of dentistry or a member of a state board of dental
28	examiners or jurisdictional dental licensing agency. These members shall be nominated by the
29	Board of Trustees and elected by the American Dental Association House of Delegates.
30	Four (4) members who are active life or retired members of the American Dentel Association
31	Four (4) members who are active, life or retired members of the American Dental Association
32	shall be selected by the American Association of Dental Boards from the active membership of
33 34	that body, no one of whom shall be a member of a faculty of a school of dentistry.
35	Four (4) members who are active, life or retired members of the American Dental Association
36	shall be selected by the American Dental Education Association from its active membership.
37	These members shall hold positions of professorial rank in dental schools accredited by the
38	Commission on Dental Accreditation and shall not be members of any state board of dental
39	examiners.
40	
41	Four (4) members who shall be appointed by the Board of Trustees from the names of active, life
42	or retired members of this Association. None of the appointees shall be a faculty member of any

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- dental education program working more than one day per week or a member of a state board of 1 dental examiners or jurisdictional dental licensing agency. 2 3 4 Four (4) members who are active, life or retired members of this Association and also active 5 members of the American Association of Dental Boards shall be selected by the American Association of Dental Boards. None of these members shall be a faculty member of any dental 6 7 education program. 8 9 Four (4) members who are active, life or retired members of this Association and also active members of the American Dental Education Association shall be selected by the American 10 Dental Education Association. None of these members shall be a member of any state board of 11 12 dental examiners or jurisdictional dental licensing agency. 13 14 The remaining Commissioners shall be selected as follows: one (1) certified dental assistant selected by the American Dental Assistants Association from its active or life membership, one 15 (1) licensed dental hygienist selected by the American Dental Hygienists' Association, one (1) 16 17 certified dental laboratory technician selected by the National Association of Dental Laboratories, one (1) student selected jointly by the American Student Dental Association and the 18 Council of Students, Residents and Fellows of the American Dental Education Association, one 19 20 (1) dentist who is board certified in the respective discipline-specific area of practice and is selected by each of the following organizations: American Academy of Oral and Maxillofacial 21 Pathology, American Academy of Oral and Maxillofacial Radiology, American Academy of 22 23 Pediatric Dentistry, American Academy of Periodontology, American Association of Endodontists, American Association of Oral and Maxillofacial Surgeons, American Association 24 of Orthodontists, American Association of Public Health Dentistry, American College of 25 26 Prosthodontists; one (1) dentist who is jointly appointed by the American Dental Education 27 Association, the Special Care Dentistry Association, the American Society of Dentist Anesthesiologists, the American Academy of Oral Medicine, and the American Academy of 28 Orofacial Pain and four (4) consumers members of the public who are neither dentists nor allied 29 30 dental personnel nor teaching in a dental or allied dental education institution and who are selected by the Commission, based on established and publicized criteria. In the event a 31 32 Commission member sponsoring organization fails to select a Commissioner, it shall be the responsibility of the Commission to select an appropriate representative to serve as a 33 Commissioner. A member of the Standing Committee on the New Dentist (when assigned by 34 the ADA Board of Trustees) and tThe Director of the Commission shall be an ex-officio 35 members of the Board without the right to vote. 36 37 **Criteria (All Appointees)** 38 • Ability to commit to one (1) four (4) year term; 39 40 • Willingness to commit ten (10) to twenty (20) days per year to activities, including training, comprehensive review of print and electronically delivered materials, and travel to 41
- 42 Commission headquarters;

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• Ability to evaluate an educational program objectively in terms of such broad areas as 1 2 curriculum, faculty, facilities, student evaluation and outcomes assessment; • Stated willingness to comply with all Commission policies and procedures (e.g. Agreement 3 of Confidentiality; Conflict of Interest Policy; Operational Guidelines; Simultaneous Service; 4 HIPAA Training, Licensure Attestation, and Professional Conduct Policy and Prohibition 5 6 Against Harassment); • Ability to conduct business through electronic means (email, Commission Web Sites); and 7 • Active, life or retired member of the American Dental Association, where applicable. 8 9 Revised: 2/21; 8/18; 8/17; Adopted: 8/14 10 CONFLICT OF INTEREST POLICY 11 12 13 Evaluation policies and procedures used in the accreditation process provide a system of checks and balances regarding the fairness and impartiality in all aspects of the accreditation process. 14 Central to the fairness of the procedural aspects of the Commission's operations and the 15 impartiality of its decision making process is an organizational and personal duty to avoid real or 16 perceived conflicts of interest. The potential for a conflict of interest arises when one's duty to 17 make decisions in the public's interest is compromised by competing interests of a personal or 18 private nature, including but not limited to pecuniary interests. 19 20 Conflict of interest is considered to be: 1) any relationship with an institution or program, or 2) a 21 partiality or bias, either of which might interfere with objectivity in the accreditation review 22 process. Procedures for selection of representatives of the Commission who participate in the 23 evaluation process reinforce impartiality. These representatives include: Commissioners, 24 Review Committee members, site visitors, and Commission staff. 25 26 27 In addition, procedures for institutional due process, as well as strict guidelines for all written documents and accreditation decisions, further reinforce adherence to fair accreditation practices. 28 29 Every effort is made to avoid conflict of interest, either from the point of view of an institution/program being reviewed or from the point of view of any person representing the 30 Commission. 31 32 33 On occasion, current and former volunteers involved in the Commission's accreditation process (site visitors, review committee members, commissioners) are requested to make presentations 34 35 related to the Commission and its accreditation process at various meetings. In these cases, the volunteer must make it clear that the services are neither supported nor endorsed by the 36 Commission on Dental Accreditation. Further, it must be made clear that the information 37 provided is based only on experiences of the individual and not being provided on behalf of the 38 39 Commission. Revised: 8/15; 8/14; Reaffirmed: 8/18; 2/18; 8/12, 8/10 40 41

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the right to reject the assignment of any Commissioner, site visitor or Commission staff because of a possible or perceived conflict of interest. The Commission expects all programs, Commissioners and/or site visitors to notify the Commission office immediately if, for any reason, there may be a conflict of interest or the appearance of such a conflict. All active site visitors who independently consult with educational programs accredited by CODA or applying for accreditation must identify all consulting roles to the Commission and must file with the Commission a letter of conflict acknowledgement signed by themselves and the institution/program with whom they consulted. All conflict of interest policies as noted elsewhere in this document apply. Contact the CODA office for the appropriate conflict of interest declaration form.

**1. Visiting Committee Members:** Conflicts of interest may be identified by either an

institution/program, Commissioner, site visitor or Commission staff. An institution/program has

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15 Conflicts of interest include, but are not limited to, a site visitor who:

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- is a graduate of a program at the institution;
- has served on the program's visiting committee within the last ten (10) seven (7) years;
- has served as an independent consultant, employee or appointee of the institution;
- has a family member who is employed or affiliated with the institution;
- has a close professional or personal relationship with the institution/program or key
   personnel in the institution/program which would, from the standpoint of a reasonable
   person, create the appearance of a conflict;
- manifests a partiality that prevents objective consideration of a program for accreditation;
- is a former employee of the institution or program;
- previously applied for a position at the institution within the last five (5) years;
- is affiliated with an institution/program in the same state <u>as the program's primary location</u>;
- is a resident of or owns property in the state; and/or
- is in the process of considering, interviewing and/or hiring key personnel at the institution.
- Note: Because of the nature of their positions, a state board representative will be a resident of the state in which a program is located and may be a graduate of the institution/program being
- visited. These components of the policy do not apply for state board representatives, although
- the program retains the right to reject an individual's assignment for other reasons.
- 35
- 36 If an institutional administrator, faculty member or site visitor has doubt as to whether or not a
- 37 conflict of interest could exist. Commission staff should be consulted prior to the site visit. The
- 38 Chair, Vice-Chair and a public member of the Commission, in consultation with Commission
- 39 staff and legal counsel, may make a final determination about such conflicts.
  - Revised: 2/21; 8/18; 2/18; 2/16; 8/14; 1/14; 2/13; 8/10; Reaffirmed: 8/12
- 40 41

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### 1 2. Commissioners, Review Committee Members And Members Of The Appeal Board: The

Commission firmly believes that conflict of interest or the appearance of a conflict of interest
must be avoided in all situations in which accreditation recommendations or decisions are being

made by Commissioners, Review Committee members, or members of the Appeal Board. No

5 Commissioner, Review Committee member, or member of the Appeal Board should participate

6 in any way in accrediting decisions in which he or she has a financial or personal interest or,

7 because of an institutional or program association, has divided loyalties and/or has a conflict of

- 8 interest on the outcome of the decision.
- 9
- 10 During the term of service as a Review Committee member, these individuals should not serve as
- site visitors for an actual accreditation site visit to an accredited or developing program, unless
- 12 deemed necessary. Two instances when a review committee member could serve on a site visit
- include: 1) an inability to find a site visitor from the comprehensive site visitor list, or 2) when
- 14 the review committee believes a member should attend a visit for consistency in the review
- process. This applies only to site visits that would be considered by the same review committee on which the site visitor is serving. Review committee members may not independently consult
- with a CODA-accredited program or a program applying for CODA accreditation. In addition,
- review committee members may not serve as a site visitor for mock accreditation purposes.
- 19 These policies help avoid conflict of interest in the decision making process and minimize the
- 20 need for recusals.
- 21

22 During the term of service as a commissioner or appeal board member, these individuals may not

- independently consult with a CODA-accredited program or a program applying for CODA
- accreditation. In addition, Commissioners or appeal board may not serve on a site visit teamduring their terms.
- 26

Areas of conflict of interest for Commissioners, Review Committee members and/or members ofthe Appeal Board include, but are not limited to:

- 29
- close professional or personal relationships or affiliation with the institution/program or key
   personnel in the institution/program which may create the appearance of a conflict;
- serving as an independent consultant or mock site visitor to the institution/program;
- being a graduate of the institution/program;
- being a current employee or appointee of the institution/program;
- previously applied for a position at the institution within the last five (5) years;
- being a current student at the institution/program;
- having a family member who is employed by or affiliated with the institution;
- manifesting a professional or personal interest at odds with the institution or program;
- key personnel of the institution/program having graduated from the program of the
   Commissioner, Review Committee member, or member of the Appeal Board;
- having served on the program's visiting committee within the last ten (10) seven (7) years;

- 1 and/or
- 2 • no longer a current employee of the institution or program but having been employed there 3 within the past ten (10) years.
- 4

5 To safeguard the objectivity of the Review Committees, conflict of interest determinations shall 6 be made by the Chair of the Review Committee. If the Chair, in consultation with a public 7 member, staff and legal counsel, determines that a Review Committee member has a conflict of 8 interest in connection with a particular program, the Review Committee member will be 9 instructed to not access the report either in advance of or at the time of the meeting. Further, the

- individual must leave the room when they have any of the above conflicts. In cases in which the 10 existence of a conflict of interest is less obvious, it is the responsibility of any committee 11
- member who feels that a potential conflict of interest exists to absent himself/herself from the 12
- room during the discussion of the particular accreditation report. 13
- 14

15 To safeguard the objectivity of the Commission, conflict of interest determinations shall be made

- by the Chair of the Commission. If the Chair, in consultation with a public member, staff and 16
- legal counsel, determines that a Commissioner has a conflict of interest in connection with a 17 particular program, the Commissioner will be instructed to not access the report either in
- 18
- advance of or at the time of the meeting. Further, the individual must leave the room when they 19 20 have any of the above conflicts. In cases in which the existence of a conflict of interest is less
- obvious, it is the responsibility of any Commissioner who feels that a potential conflict of 21
- interest exists to absent himself/herself from the room during the discussion of the particular 22
- 23 accreditation report.
- 24

To safeguard the objectivity of the Appeal Board, any member who has a conflict of interest in 25 connection with a program filing an appeal must inform the Director of the Commission. The 26

- Appeal Board member will be instructed to not access the report for that program either in 27
- advance of or at the time of the meeting, and the individual must leave the room when the 28
- program is being discussed. If necessary, the respective representative organization will be 29
- 30 contacted to identify a temporary replacement Appeal Board member.
- 31
- 32 Conflicts of interest for Commissioners, Review Committee members and members of the
- 33 Appeal Board may also include being from the same state, but not the same program. The
- Commission is aware that being from the same state may not itself be a conflict; however, when 34
- residence within the same state is in addition to any of the items listed above, a conflict would 35
- 36 exist.
- 37
- This provision refers to the concept of conflict of interest in the context of accreditation 38
- decisions. The prohibitions and limitations are not intended to exclude participation and 39
- decision-making in other areas, such as policy development and standard setting. 40
- 41

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Commissioners are expected to evaluate each accreditation action, policy decision or standard 1 adoption for the overall good of the public. The American Dental Association (ADA) 2 Constitution and Bylaws limits the involvement of the members of the ADA, the American 3 4 Dental Education Association and the American Association of Dental Boards in areas beyond 5 the organization that appointed them. Although Commissioners are appointed by designated communities of interest, their duty of loyalty is first and foremost to the Commission. A conflict 6 7 of interest exists when a Commissioner holds appointment as an officer in another organization within the Commission's communities of interest. Therefore, a conflict of interest exists when a 8 Commissioner or a Commissioner-designee provides simultaneous service to the Commission 9 10 and an organization within the communities of interest. (Refer to Policy on Simultaneous 11 Service) 12 Revised: 2/21; 8/16; 2/16; 2/15; 8/14; 1/14, 8/10; Reaffirmed: 8/18; 8/12 13 14 3. Commission Staff Members: Although Commission on Dental Accreditation staff does not participate directly in decisions by volunteers regarding accreditation, they are in a position to 15 influence the outcomes of the process. On the other hand, staff provides equity and consistency 16 17 among site visits and guidance interpreting the Commission's policies and procedures. 18 For these reasons, Commission staff adheres to the guidelines for site visitors, within the time 19 20 limitations listed and with the exception of the state residency, including: 21 • graduation from a program at the institution within the last five years; service as a site visitor, employee or appointee of the institution within the last five years; 22 • 23 and/or close personal or familial relationships with key personnel in the institution/program. 24 • 25 Revised: 8/14; 8/10, 7/09, 7/07, 7/00, 7/96, 1/95, 12/92; Reaffirmed: 8/18; 8/12, 1/03; Adopted: 1982 26 27 APPLICATION FOR ACCREDITATION FOR FULLY OPERATIONAL PROGRAMS WITH 28 ENROLLMENT AND WITHOUT ACCREDITATION 29 30 Those programs that have graduated at least one class of students/residents and are enrolling students/residents in every year of the program are considered fully operational. These programs 31 will complete the self-study document and will be considered for the accreditation status of 32 33 "approval with reporting requirements" or "approval without reporting requirements" following a comprehensive site visit (Please see procedures for the conduct of a comprehensive site visit). 34 35 Students/Residents who are enrolled in the program at the time accreditation is granted, and who successfully complete the program, will be considered graduates of an accredited program. 36 Students/Residents who graduated from the program prior to the granting of accreditation will 37 38 not be considered graduates of an accredited program. 39

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- 1 Because accreditation is voluntary, a program may withdraw its application for accreditation at
- 2 any time prior to the Commission taking action regarding an accreditation status. When an
- 3 accreditation status has been granted, the program has the right to ask that the status be
- 4 discontinued at any time for any reason.
- 5
- 6 Upon request, the Commission office will provide more specific information about types of
- programs, application forms, deadlines for submission and accreditation standards. Program
  administrators and faculty are encouraged to consult with Commission staff during this initial
  process.
- 10
- 11 An application fee must be submitted with a program's application for accreditation. Programs
- 12 should contact the Commission office for the current fee schedule.
- 13
- 14 The following steps apply:
- 15 1. An application for accreditation is completed by the program and submitted to the
- Commission on Dental Accreditation, along with appropriate documentation and application
   fee. The first opportunity for the Commission to consider the program, provided that the
   application is in order, is generally could be 12 to 18 months following the Commission's
   formal acknowledgment of receipt of the application and initiation of the review process. the
   application submission date.
- The completed application for accreditation is reviewed to determine whether the program, as
   proposed, appears to have the potential to meet minimum requirements. The application is
   considered complete when the Criteria for Granting Accreditation have been addressed as part
   of the application process.
- 3. If it is determined that the Criteria for Granting Accreditation have been addressed, a site visit is scheduled four (4) to seven (7) months following completion of the application review.
- 4. If changes occur within the program between the date of submission of the application andscheduled site visit, the site visit may be delayed.
- 5. After the site visit has been conducted, the visiting committee submits a draft report to theCommission office.
- 6. Within four (4) to six (6) weeks following the site visit, the preliminary draft of the site visit report is transmitted to the institution for consideration and comment prior to review by the discipline-specific Review Committee and the Commission.
- The visiting committee's report and the institution's response to the preliminary report are
  transmitted to the discipline-specific Review Committee for consideration at its meeting prior
  to the Commission meeting.
- 8. The Commission then considers the Review Committee's report and takes action on theaccreditation status.
- 39 9. The Commission's action regarding accreditation status and the final site visit report are
  40 transmitted to the institution within thirty (30) days of the Commission's meeting.
- 41

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1	Time Limitation for Review of Applications: The review of an application will be terminated
2	if an institution fails to respond to the Commission's requests for information for a period of six
3	(6) months. In this case, the institution will be notified that the application process has been
4	terminated. If the institution wishes to begin the process again, a new application and
5	application fee must be submitted.
6	Revised: 2/21; 8/16; 2/16; 8/13; 7/08; Reaffirmed: 8/18; 8/13; 8/10; Adopted: 8/02
7	
8	APPLICATION FOR INITIAL ACCREDITATION FOR DEVELOPING PROGRAMS
9	
10	A program which has not enrolled and graduated at least one class of students/residents and does not
11	have students/residents enrolled in each year of the program is defined by the Commission as
12	"developing." The same review steps that apply for Application for Accreditation for Fully
13	Operational Programs with Enrollment and Without Accreditation apply to Application for Initial
14	Accreditation for Developing Programs.
15	
16	The developing program must not enroll students/residents until initial accreditation status has
17	been obtained. Once a program is granted "initial accreditation" status, a site visit will be
18	conducted in the second year of programs that are four or more years in duration and again prior
19	to the first class of students/residents graduating. Programs that are less than four (4) years in
20	duration will be site visited again prior to the first class of students/residents graduating.
21	
22	An institution which has made the decision to initiate and seek accreditation for a program that
23	falls within the Commission on Dental Accreditation's purview is required to submit an
24	application for accreditation. "Initial accreditation" status may then be granted to programs
25	which are developing, according to the accreditation standards.
26	
27	Because accreditation is voluntary, a program may withdraw its application for accreditation at
28	any time prior to the Commission taking action regarding an accreditation status. The initial
29	accreditation status is granted based upon one or more site evaluation visit(s) and until the
30	program is fully operational. When an accreditation status has been granted, the program has the
31	right to ask that the status be discontinued at any time for any reason.
32	
33	Upon request, the Commission office will provide more specific information about types of
34	programs, application forms, deadlines for submission and accreditation standards. Program
35	administrators and faculty are encouraged to consult with Commission staff during this initial
36	process.
37	
38	An application fee must be submitted with a program's application for initial accreditation.
39	Programs should contact the Commission office for the current fee schedule.
40	The following stops apply:
41	The following steps apply:

42 1. An application for accreditation is completed by the program and submitted to the

application is in order, is generally could be 12 to 18 months following the Commission's 3 4 formal acknowledgment of receipt of the application and initiation of the review process. the 5 application submission date. 6 The completed application for accreditation is reviewed to determine whether the program, 2. 7 as proposed, appears to have the potential to meet minimum requirements. The application is considered complete when the Criteria for Granting Accreditation have been addressed as 8 part of the application process. 9 10 3. If it is determined that the Criteria for Granting Accreditation have been addressed, a site visit is scheduled four (4) to seven (7) months following completion of the application 11 12 review. 4. If changes occur within the program between the date of submission of the application and 13 14 scheduled site visit, the site visit may be delayed. 5. After the site visit has been conducted, the visiting committee submits a draft report to the 15 Commission office. 16 17 6. Within four (4) to six (6) weeks following the site visit, the preliminary draft of the site visit report is transmitted to the institution for consideration and comment prior to review by the 18 discipline-specific Review Committee and the Commission. 19 20 7. The visiting committee's report and the institution's response to the preliminary report are transmitted to the discipline-specific Review Committee for consideration at its meeting prior 21 to the Commission meeting. 22 23 8. The Commission then considers the Review Committee's report and takes action on the 24 accreditation status. 9. The Commission's action regarding accreditation status and the final site visit report are 25 26 transmitted to the institution within thirty (30) days of the Commission's meeting. 27 Revised: 2/21; 8/16; 2/16; 8/13; 7/08, 8/02, 7/01; Reaffirmed: 8/18; 8/13; 8/11, 8/10 28 29 30 SITE VISITORS 31 32 The Commission uses site visitors with education and practice expertise in the discipline or areas being evaluated to conduct its accreditation program. Nominations for site visitors are requested 33 from national dental and dental-related organizations representing the areas affected by the 34 35 accreditation process. Self-nominations are accepted. Site visitors are appointed by the Commission annually and may be re-appointed. 36 37 38 During the term of service as a Review Committee member, these individuals should not serve as site visitors for an actual accreditation site visit to an accredited or developing program, unless 39 40 deemed necessary. Two instances when a review committee member could serve on a site visit include: 1) an inability to find a site visitor from the comprehensive site visitor list, or 2) when 41 the review committee believes a member should attend a visit for consistency in the review 42

Commission on Dental Accreditation, along with appropriate documentation and application fee. The first opportunity for the Commission to consider the program, provided that the

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process. This applies only to site visits that would be considered by the same review committee on which the site visitor is serving. Review committee members are prohibited from serving as independent consultants for mock accreditation purposes. These policies help avoid conflict of interest in the decision making process and minimize the need for recusals.

4 5

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6 During the term of service as a commissioner, these individuals may not independently consult

- 7 with a CODA-accredited program or a program applying for CODA accreditation. In addition,
- 8 site visitors serving on the Commission may not serve on a site visit team during their terms.
- 9
- 10 All other active site visitors who independently consult with educational programs accredited by
- 11 CODA or applying for accreditation must identify all consulting roles to the Commission and
- 12 must file with the Commission a letter of conflict acknowledgement signed by themselves and
- 13 the institution/program with whom they consulted. All conflict of interest policies as noted
- elsewhere in this document apply. Contact the CODA office for the appropriate conflict of
- 15 interest declaration form.
- 16
- 17 Prior to a site visit, a list of site visitors and other participants is reviewed by the
- 18 institution/program for conflict of interest or any other potential problem. The
- 19 program/institution being site visited will be permitted to remove individuals from the list if a
- 20 conflict of interest, as described in the Commission's Conflict of Interest Policy, can be
- 21 demonstrated. Information concerning the conflict of interest must be provided in writing clearly
- 22 stating the specifics of the conflict.
- 23
- 24 Site visitors are appointed by the Chair and approved by the institution's administration, i.e.
- 25 dental school dean or program director. The visiting committee conducts the site visit and
- prepares the report of the site visit findings for Commission action. The size and composition of
- a visiting committee varies with the number and kinds of educational programs offered by the
- institution. All visiting committees will include at least one person who is not a member of a
- 29 Review Committee of the Commission or a Commission staff member. Two dental hygiene site
- 30 visitors shall be assigned to dental school-sponsored dental hygiene site visits.
- 31
- 32 When appropriate, a generalist representative from a regional accrediting agency may be invited
- by the chief executive officer of an institution to participate in the site visit with the
- Commission's visiting committee. A generalist advises, consults and participates fully in
- committee activities during a site visit. The generalist's expenses are reimbursed by the
- 36 institution. The generalist can help to ensure that the overall institutional perspective is
- 37 considered while the specific programs are being reviewed.
- 38
- 39 The institution is encouraged to invite the state board of dentistry to send a current member to
- 40 participate in the site visit. If invited, the current member of the state board receives the same
- 41 background materials as other site visit committee members and participates in all site visit

1	conferences and executive sessions. The state board of dentistry reimburses its member for
2	expenses incurred during the site visit.
3	
4	In addition to other participants, Commission staff member may participate on the visiting
5	committee for training purposes. It is emphasized that site visitors are fact-finders, who report
6	committee findings to the Commission. Only the Commission is authorized to take action
7	affecting the accreditation status.
8	Revised: 8/19; 2/16; 8/14; 1/14; 1/03, 1/00, 7/97; Reaffirmed: 8/10, 7/09, 7/07, 7/06, 7/01;
9	CODA: 07/96:10, 12/83:4
10	
11	<b>1. Appointments:</b> All site visitor appointments are made annually for one year terms for a
12	maximum of six consecutive years. Following the maximum appointment period of six
13	consecutive years, the site visitor may reapply for appointment after one year. In exceptional
14	circumstances the Review Committee may recommend that the Commission alter an individual's
15	term limits. Site visitors assist the Commission in a number of ways, including: developing
16	accreditation standards, serving on special committees, and serving as site visitors on visits to
17	predoctoral, advanced dental and allied dental education programs.
18	The Commission reviews communities and interest including
19 20	The Commission reviews nominations received from its communities of interest, including discipline-specific sponsoring organizations and certifying boards. Individuals may also self-
20 21	nominate. In addition to the mandatory subject expertise, the Commission always requests
22	nominations of potentially under-represented ethnic groups and women, and makes every effort to
23	achieve a pool of site visitors with broad geographic diversity to help reduce site visit travel
24	expenses.
25	enpenses.
26	Site visitors are appointed/reappointed annually and required to sign the Commission's Conflict
27	of Interest Statement, the Agreement of Confidentiality, the Copyright Assignment, Licensure
28	Attestation, and the ADA's Professional Conduct Policy and Prohibition Against Harassment.
29	Site visitors must also complete annual training and will receive periodic updates on the
30	Commission's policies and procedures related to the Health Insurance Portability and
31	Accountability Act (HIPAA). The Commission office stores these forms for seven (7) years. In
32	addition, site visitors must comply with training requirements, the ADA's travel policy and other
33	CODA Rules and Regulations. The Commission may remove a site visitor for failing to comply
34	with the Commission's policies and procedures, continued, gross or willful neglect of the duties
35	of a site visitor, or other just cause as determined by the Commission.
36	
37	Subsequent to appointment/reappointment by the Commission, site visitors receive an
38	appointment letter explaining the process for appointment, training, and scheduling of
39	Commission site visitors.
40	Revised: 8/19; 8/18; 8/14; 7/08; Reaffirmed: 8/10, 1/98, 8/02; CODA: 07/94:9, 01/95:10

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2. Criteria For Nomination Of Site Visitors: For predoctoral dental education programs, the 1 Commission solicits nominations for site visitors from the American Dental Education Association 2 to serve in five of six roles on dental education program site visits. The site visitor roles are Chair, 3 4 Basic Science, Clinical Science, Curriculum, and Finance. Nominations for the sixth role, national 5 licensure site visitor, are solicited from the American Association of Dental Boards. 6 7 For advanced dental education programs, the Commission solicits nominations for site visitors from the discipline-specific sponsoring organizations and their certifying boards. 8 9 10 For allied dental education programs, the American Dental Education Association is an additional source of nominations that augments, not supersedes, the nominations from the 11 12 Commission's other participating organizations, American Dental Assistants Association (ADAA), American Dental Hygienists' Association (ADHA) and National Association of Dental 13 14 Laboratories (NADL) Revised: 8/18; 8/15; 8/14; 8/12; Reaffirmed: 8/19; 8/10, 7/07, 7/01; CODA: 05/93:6-7 15 16 17 The Commission requests all agencies nominating site visitors to consider regional distribution, gender and minority representation and previous experience as a site visitor. Although site 18 visitors are nominated by a variety of sources, the Commission carefully reviews the 19 20 nominations and appoints site visitors on the basis of need in particular areas of expertise. The pool of site visitors is utilized for on-site evaluations, for special consultations and for special or 21 Review Committees. 22 23 24 All site visitors are appointed for a one-year term and may be re-appointed annually for a total of six consecutive years. Appointments are made at the Winter (January/February) Commission 25 26 meeting and become effective with the close of the ADA annual session in the Fall. 27 Revised: 1/20; 8/19; 8/18; 8/14; 8/12, 7/09, 7/07, 7/01; Reaffirmed: 8/10; Adopted: 7/98 28 A. Predoctoral Dental Education: The accreditation of predoctoral dental education programs is 29 30 conducted through the mechanism of a visiting committee. Membership on such visiting committees is general dentistry oriented rather than discipline or subject matter area oriented. 31 32 The composition of such committees shall be comprised, insofar as possible, of site visitors having broad expertise in dental curriculum, basic sciences, clinical sciences, finance, 33 national licensure (practitioner) and one Commission staff member. The evaluation visit is 34 oriented to an assessment of the educational program's success in training competent general 35 practitioners. 36 37 Although a basic science or clinical science site visitor may have training in a specific basic 38 39 science or discipline-specific advanced dental education area, it is expected that when serving as a member of the core committee evaluating the predoctoral program, the site 40 visitor serves as a general dentist. Further, it is expected that all findings, conclusions or 41 recommendations that are to be included in the report must have the concurrence of the 42

1 visiting committee team members to ensure that the report reflects the judgment of the entire 2 visiting committee. 3 4 In appointing site visitors, the Commission takes into account a balance in geographic 5 distribution as well as representation of the various types of educational settings and diversity. Because the Commission views the accreditation process as one of peer review, 6 7 predoctoral dental education site visitors, with the exception of the national licensure site 8 visitor, are affiliated with dental education programs. 9 The following are criteria for the six roles of predoctoral dental education site visitors: 10 11 Chair: 12 13 Must be a current dean of a dental school or have served as dean within the previous three • 14 (3) years. Should have accreditation experience through an affiliation with a dental education 15 • program accredited by the Commission and as a previous site visitor. 16 17 **Basic Science:** 18 19 • Must be an individual who currently teaches one or more biomedical science courses to dental education students or has done so within the previous three (3) years. 20 Should have accreditation experience through an affiliation with a dental education 21 • program accredited by the Commission or as a previous site visitor. 22 23 **Clinical Science:** 24 Must be a current clinical dean or an individual with extensive knowledge of and 25 • experience with the quality assurance process and overall clinic operations. 26 27 • Has served in the above capacity within the previous three (3) years. Should have accreditation experience through an affiliation with a dental education 28 program accredited by the Commission or as a previous site visitor. 29 30 Curriculum: 31 32 Must be a current academic affairs dean or an individual with extensive knowledge and • 33 experience in curriculum management. Has served in the above capacity within the previous three (3) years. 34 • Should have accreditation experience through an affiliation with a dental education 35 • program accredited by the Commission or as a previous site visitor. 36 37 Finance: 38 Must be a current financial officer of a dental school or an individual with extensive 39 • 40 knowledge of and experience with the business, finance and administration of a dental school. 41

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1 2 3 4	<ul> <li>Has served in the above capacity within the previous three (3) years.</li> <li>Should have accreditation experience through an affiliation with a dental education program accredited by the Commission or as a previous site visitor.</li> </ul>
5	National Licensure:
6	• Should be a current clinical board examiner or have served in that capacity within the
7	previous three (3) years.
8	• Should have an interest in the accreditation process.
9	Revised: 8/18; 2/18; 2/16; 8/14; 1/99; Reaffirmed: 8/19; 8/10, 7/07, 7/01; CODA: 07/05, 05/77:4
10	
11	B. Advanced Dental Education: In the disciplines of dental public health, endodontics, oral and
12	maxillofacial pathology, oral and maxillofacial radiology, oral and maxillofacial surgery,
13	orthodontics and dentofacial orthopedics, pediatric dentistry, periodontics and prosthodontics,
14 15	sponsoring organizations are advised that candidates recommended to serve as site visitors be board certified and/or have completed or participated in a CODA-accredited advanced dental
16	education program in the discipline and must have experience in advanced dental education as
17	teachers or administrators. Each applicable Review Committee will determine if board
18	certification is required. Some sponsoring organizations have established additional criteria for
19	their nominations to the Commission.
20	
21	C. Allied Dental Education in Dental Hygiene: In appointing site visitors, the Commission takes
22	into account a balance in geographic distribution, representation of the various types of
23 24	educational settings, and diversity. Because the Commission views the accreditation process as one of peer review, the dental hygiene education site visitors are affiliated with dental
24 25	hygiene education programs.
26	The following are criteria for selection of dental hygiene site visitors:
27 28	<ul> <li>a full-time or part-time appointment with a dental hygiene program accredited by the Commission on Dental Accreditation;</li> </ul>
29	<ul> <li>a baccalaureate or higher degree;</li> </ul>
30	<ul> <li>background in educational methodology;</li> </ul>
31	<ul> <li>accreditation experience through an affiliation with a dental hygiene education program</li> </ul>
32	that has completed a site visit; and
33	• accreditation experience within the previous three (3) years.
34	Revised: 8/18; 8/16; 8/14; Reaffirmed: 8/19; 8/10; Adopted: 7/09
35	
36	D. Allied Dental Education in Dental Assisting: The following are criteria for selection of dental
37	assisting site visitors:
38	<ul> <li>certification by the Dental Assisting National Board as a dental assistant;</li> <li>full time or part time appointment with a dental assisting and group dist d by the</li> </ul>
39 40	<ul> <li>full-time or part-time appointment with a dental assisting program accredited by the Commission on Dental Accreditation;</li> </ul>
40 41	<ul> <li>equivalent of three (3) years full-time dental assisting teaching experience;</li> </ul>
4T	- equivalent of three (3) years fun-time dental assisting teaching experience,

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1	• baccalaureate or higher degree;
2	• demonstrated knowledge of accreditation; and
3	• current background in educational methodology.
4 5	Revised: 8/18; 8/16; 8/14; 2/13, 1/08, 1/98, 2/02; Reaffirmed: 8/19; 8/10, 7/08; CODA: 07/95:5
6	
7 8	E. Allied Dental Education in Dental Laboratory Technology: The following are criteria for selection of dental laboratory technology site visitors:
9 10 11 12 13 14 15 16 17 18	<ul> <li>background in all five (5) dental laboratory technology specialty areas: complete dentures, removable dentures, crown and bridge, dental ceramics, and orthodontics;</li> <li>background in educational methodology</li> <li>knowledge of the accreditation process and the Accreditation Standards for Dental Laboratory Technology Education Programs;</li> <li>Certified Dental Technician (CDT) credential through the National Board of Certification (NBC); and</li> <li>full or part-time appointment with a dental laboratory technology education program accredited by the Commission on Dental Accreditation or previous experience as a Commission on Dental Accreditation site visitor.</li> </ul>
19 20	Revised: 8/18; 8/14; Reaffirmed: 8/19; 8/10; Adopted: 07/09
21 22 23 24 25 26 27 28 29 30 31 32	<ul> <li>F. Allied Dental Education in Dental Therapy: The following are criteria for selection of dental therapy site visitors:</li> <li>a full-time or part-time appointment with a predoctoral dental or allied dental education program accredited by the Commission on Dental Accreditation or an accredited (or recognized) dental therapy program;</li> <li>a baccalaureate or higher degree;</li> <li>background in educational methodology;</li> <li>accreditation experience through an affiliation with a dental therapy, allied, or predoctoral dental program that has completed a site visit;*</li> <li>accreditation experience within the previous three (3) years;*</li> <li>must either be a licensed dentist educator (general dentist) or licensed dental therapist educator; and</li> </ul>
33 34 35 36 37	<ul> <li>the "licensed dentist educator" may be predoctoral dental educator site visitors (<i>i.e.</i>, <i>a general dentist educator who serves as curriculum or clinical predoctoral site visitor</i>) or allied dental educator site visitors.</li> <li>*temporarily waived for dental therapist educator position until after CODA accredits <u>a minimum of three (3)</u> dental therapy education programs.</li> </ul>
38 39 40 41	Dental therapy site visit team consist of three (3) members as follows: one (1) dental therapist educator, one (1) predoctoral dentist educator (curriculum or clinical site visitor), and one (1) additional site visitor that could be either a second dental therapist educator, second

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predoctoral dentist educator, or an allied dentist educator. If needed due to lack of dental 1 therapy educator availability, such that if a dental therapy educator cannot be identified in 2 accordance with Commission policy then the three-person site visit team may be composed of 3 4 predoctoral educators and allied dentists, three (3) people total in any combination. 5 6 Revised: 2/21; 8/18; 8/16; Reaffirmed: 8/19; Adopted: 02/16 7 8 **REPORTING PROGRAM CHANGES IN ACCREDITED PROGRAMS** 9 The Commission on Dental Accreditation recognizes that education and accreditation are 10 dynamic, not static, processes. Ongoing review and evaluation often lead to changes in an 11 12 educational program. The Commission views change as part of a healthy educational process and encourages programs to make them as part of their normal operating procedures. 13 14 At times, however, more significant changes occur in a program. Changes have a direct and 15 significant impact on the program's potential ability to comply with the accreditation standards. 16 17 These changes tend to occur in the areas of finances, program administration, enrollment, curriculum and clinical/laboratory facilities, but may also occur in other areas. All program 18 changes that could affect the ability of the program to comply with the Accreditation Standards 19 20 must be reported to the Commission. When a change is planned, Commission staff should be 21 consulted to determine reporting requirements. Reporting program changes in the Annual Survey does not preclude the requirement to report changes directly to the Commission. Failure 22 23 to report and receive approval in advance of implementing the change, using the Guidelines for Reporting Program Change, may result in review by the Commission, a special site visit, and 24 may jeopardize the program's accreditation status. 25 26 27 Advanced dental education programs must adhere to the Policy on Enrollment Increases in Advanced Dental Education Programs. In addition, programs adding off-campus sites must 28 adhere to the Policy on Reporting and Approval of Sites Where Educational Activity Occurs. 29 30 Guidelines for Reporting and Approval of Sites where Educational Activity Occurs are available from the Commission office. Guidelines for Requesting an Increase in Enrollment in a 31 32 Predoctoral Dental Education Program and Guidelines for Reporting Enrollment Increases in Advanced Dental Education Programs are available from the Commission office. 33 34 On occasion, the Commission may learn of program changes which may impact the program's 35 ability to comply with accreditation standards or policy. In these situations, CODA will contact 36 the sponsoring institution and program to determine whether reporting may be necessary. 37 Failure to report and receive approval prior to the program change may result in further review 38 39 by the Commission and/or a special site visit, and may jeopardize the program's accreditation 40 status. 41

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- 1 The Commission's Policy on Integrity also applies to the reporting of changes. If the
- 2 Commission determines that an intentional breech of integrity has occurred, the Commission will
- 3 immediately notify the chief executive officer of the institution of its intent to withdraw the
- 4 accreditation of the program(s) at its next scheduled meeting.
- 5
- 6 A Report of Program Change must document how the program will continue to meet accreditation
- 7 standards. The Commission's Guidelines for Reporting Program Changes are available on the
- 8 Commission's website and may clarify what constitutes a change and provide guidance in
- 9 adequately explaining and documenting such changes.
- 10
- 11 The following examples illustrate, but are not limited to, changes that must be reported by **June**
- 12 1 or December 1 and must be reviewed by the appropriate Review Committee and approved by

13 **the Commission prior to the implementation** to ensure that the program continues to meet the

- 14 accreditation standards:
- 15
- Establishment of Off-Campus Sites not owned by the sponsoring institution used to meet
   accreditation standards or program requirements (See Guidelines on Reporting and Approval
   of Sites Where Educational Activity Occurs);
- Changes to Off-Campus Sites not owned by the sponsoring institution that impacts the use of the site (e.g. minor site to major site, or termination of enrollment at or discontinued use of major site);
- Transfer of sponsorship from one institution to another;
- Moving a program from one geographic site to another, including but not limited to geographic moves within the same institution;
- Program director qualifications not in compliance with the standards. In lieu of a CV, a copy of the new or acting program director's completed BioSketch must be provided to
   Commission staff. Contact Commission Staff for the BioSketch template.
- Substantial increase in program enrollment as determined by preliminary review by the discipline-specific Review Committee Chair.
- Requests for *retroactive permanent* increases in enrollment will not be considered.
   Requests for *retroactive temporary* increases in enrollment may be considered due to
   special circumstances on a case-by-case basis. Programs are reminded that resources
   must be maintained even when the full complement of students/residents is not
   enrolled in the program. (see Policy on Enrollment Increases In Advanced Dental
   Education Programs and Predoctoral programs see Guidelines for Requesting an
- 36 Increase in Enrollment in a Predoctoral Dental Education Program);
- Change in the nature of the program's financial support that could affect the ability of the program to meet the standards;
- Curriculum changes that could affect the ability of the program to meet the standards;
- Reduction in faculty or support staff time commitment that could affect the ability of the
- 41 program to meet the standards;

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- Change in the required length of the program;
- Reduction of program dental facilities that could affect the ability of the program to meet the standards;
- Addition of advanced standing opportunity, <u>part-time track or multi-degree track</u>, <u>or other</u>
   <u>track offerings</u>; and/or
- Expansion of a developing dental hygiene or assisting program which will only be considered after the program has demonstrated success by graduating the first class,
   measured outcomes of the academic program, and received approval without reporting requirements.
- 10
- 11 The Commission recognizes that unexpected, changes may occur. If an unexpected change
- 12 occurs, it must be reported no more than 30 days following the occurrence. Unexpected changes
- 13 may be the result of sudden changes in institutional commitment, affiliated agreements between
- 14 institutions, faculty support, or facility compromise resulting from natural disaster (See
- 15 Policy/Guidelines on Interruption of Education). Failure to proactively plan for change will not
- 16 be considered an unexpected change. Depending upon the timing and nature of the change,
- 17 appropriate investigative procedures including a site visit may be warranted.
- 18
- 19 The following examples illustrate, but are not limited to, additional program changes that must
- 20 be reported in writing at least thirty (30) days prior to the anticipated implementation of the
- change and are not reviewed by the Review Committee and the Commission but are reviewed at
- 22 the next site visit:
- 23
- Establishment of Off-Campus Sites owned by the sponsoring institution used to meet accreditation standards or program requirements;
- Expansion or relocation of dental facilities within the same building;
- Change in program director. In lieu of a CV, a copy of the new or acting program director's completed BioSketch must be provided to Commission staff. Contact Commission Staff for the BioSketch template.
- First-year non-enrollment. See Policy on Non Enrollment of First Year Students/Residents.
- Addition of distance education methods (see reporting requirements found in the Policy on Distance Education).
- 33
- 34 The Commission uses the following process when considering reports of program changes.
- Program administrators have the option of consulting with Commission staff at any time duringthis process.
- 37
- 1. A program administrator submits the report by **June 1 or December 1**.
- 2. Commission staff reviews the report to assess its completeness and to determine whether the
- 40 change could impact the program's potential ability to comply with the accreditation
- standards. If this is the case, the report is reviewed by the appropriate Review Committee for
- 42 the discipline and by the Commission.

1 3. Receipt of the report and accompanying documentation is acknowledged in one of the 2 following ways: a. The program administrator is informed that the report will be reviewed by the appropriate 3 Review Committee and by the Commission at their next regularly scheduled meeting. 4 5 Additional information may be requested prior to this review if the change is not well-6 documented; or 7 b. The program administrator is informed that the reported change will be reviewed during 8 the next site visit. 9 4. If the report will be considered by a Review Committee and by the Commission, the report is 10 added to the appropriate agendas. The program administrator receives notice of the results of the Commission's review. 11 12 The following alternatives may be recommended by Review Committees and/or be taken by the 13 14 Commission in relation to the review of reports of program changes received from accredited educational programs. 15 Approve the report of program change: If the Review Committee or Commission does not 16 identify any concerns regarding the program's continued compliance with the accreditation 17 standards, the transmittal letter should advise the institution that the change(s) have been 18 noted and will be reviewed at the next regularly-scheduled site visit to the program. 19 20 Approve the report of program change and request additional information: If the Review Committees or Commission does not identify any concerns regarding the program's 21 compliance with the accreditation standards, but believes follow up reporting is required to 22 23 ensure continued compliance with accreditation standards, additional information will be 24 requested for review by the Commission. Additional information could occur through a supplemental report or a focused site visit, 25 Postpone action and continue the program's accreditation status, but request additional 26 • 27 *information*: The transmittal letter will inform the institution that the report of program change has been considered, but that concerns regarding continued compliance with the 28 accreditation standards have been identified. Additional specific information regarding the 29 identified concerns will be requested for review by the Commission. The institution will be 30 31 further advised that, if the additional information submitted does not satisfy the Commission regarding the identified concerns, the Commission reserves the right to request additional 32 documentation, conduct a special focused site visit of the program, or deny the request. 33 Postpone action and continue the program's accreditation status pending conduct of a 34 • 35 special site visit: If the information submitted with the initial request is insufficient to provide reasonable assurance that the accreditation standards will continue to be met, and the 36 Commission believes that the necessary information can only be obtained on-site, a special 37 focused site visit will be conducted. 38 39 Deny the request: If the submitted information does not indicate that the program will continue to comply with the accreditation standards, the Commission will deny the request 40 for a program change. The institution will be advised that they may re-submit the request of 41 42 program change with additional information if they choose. If the program change was

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1 submitted retroactively, and non-compliance is identified, the program's accreditation status 2 will be changed. The transmittal letter will inform the institution that the report of program change has been considered, but an area of non-compliance with the accreditation standards 3 4 has been identified. The program's accreditation status is changed and additional specific 5 information regarding the identified area(s) of non-compliance will be requested for review 6 by the Commission. 7 Revised: 2/21; 8/20; 1/20; 8/18; 2/18; 8/17; 8/16; 2/16; 8/15; 2/15; 8/13 2/12, 8/11, 8/10, 7/09, 7/07, 8 8/02, 7/97; Reaffirmed: 7/07, 7/01, 5/90; CODA: 05/91:11 9 10 POLICY ON NON-ENROLLMENT OF FIRST YEAR STUDENTS/RESIDENTS 11 12 First-year non-enrollment must be reported to the Commission. The accreditation status of programs within the purview of the Commission on Dental 13 Accreditation will be discontinued when all first-year positions remain vacant for two (2) 14 consecutive years. Exceptions to this policy may be made by the Commission for programs with 15 "approval without reporting requirements" status upon receipt of a formal request from the 16 17 institution stating reasons why the accreditation of the program should not be discontinued. Exceptions to this policy may also be made by the Commission for programs in Oral and 18 Maxillofacial Pathology with "initial accreditation" status upon receipt of a formal request from 19 20 the institution stating reasons why the accreditation of the program should not be discontinued. If 21 the Commission grants an institution's request to continue the accreditation of a program, the continuation of accreditation is effective for one (1) year. Only one (1) request for continued 22 accreditation will be granted for a total of three (3) consecutive years of non-enrollment. See the 23 Commission's policies related to Reporting Program Changes in Accredited Programs, Initial 24 25 Accreditation, Intent to Withdraw Accreditation, Voluntary Discontinuance, and Discontinuance 26 or Closure of Educational Programs Accredited by The Commission and Teach-Out Plans for additional information. 27 28 Revised: 2/21; 8/20; 8/16; 2/15; Reaffirmed: 8/15; 8/10, 7/07, 7/01, 7/99, 12/87, 4/83, 12/76 29 30 POLICY ON REPRINTS 31 All Commission on Dental Accreditation material is copyrighted and may be reprinted by 32 permission only. "Reprint" means reproducing Commission materials in any paper or electronic 33 format or media. Requests must be in writing or via e-mail. Permission will not be granted over 34 35 the phone. 36 Requests must include the exact materials intended for reprint, i.e.: "Accreditation Standards for 37 Dental Education Programs – Standard 5." All permissions are granted for one-time usage only, 38 as stated in the permission agreement. 39 40

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1	The Commission requires that materials be reprinted, unedited and in their entirety. Deletion or
2	alteration of any Commission on Dental Accreditation material is prohibited. Content must not
3	be placed on any electronic platform; however, the reprint may include a link to the
4	Commission's website where the material is located.
5	
6	The Commission does not provide hard copies of the requested reprint content.
7	
8	Each page of the reproduced Commission on Dental Accreditation material should contain the
9	following statement, clearly indicting these materials are the Commission's. The statement must
10	be placed at the bottom of each page of the print copy (remove quotation marks):
11	
12	"Reprinted by permission of the Commission on Dental Accreditation, [current
13	year]. Further reproduction by permission only. Permission to reprint Commission on
14	Dental Accreditation materials does not constitute explicit or implicit endorsement of any
15	activity, program, or presentation in which the materials may be used."
16	
17	No content may be translated into any language without the expressed permission of the
18	Commission on Dental Accreditation.
19	Revised: <u>2/21;</u> 1/20; Reaffirmed: 8/20; Adopted: 8/18
20	
21	POLICY AND PROCEDURE REGARDING INVESTIGATION OF COMPLAINTS
22	AGAINST EDUCATIONAL PROGRAMS
23	
24	Anonymous Comments/Complaints
25	An "anonymous comment/complaint" is defined as an unsigned comment/complaint submitted to
26	the Commission. Any submitted information that identifies the complainant renders this
27	submission a formal complaint and will be reviewed as such (e.g. inclusion of a complainant's
28	name within an email or submitted documentation).
29	
30	All anonymous complaints will be reviewed by Commission staff to determine linkage to
31	Accreditation Standards or CODA policy and procedures. If linkage to Accreditation Standards
32	or CODA policy is identified, legal counsel, the Chair or the appropriate Review Committee, and
33	the applicable Review Committee members may be consulted to assist in determining whether
34	there is sufficient evidence of probable cause of noncompliance with the standard(s) or required
35	accreditation policy(ies), or procedure(s) to proceed with an investigation. The initial screening
36	is usually completed within thirty (30) days. If further investigation is warranted, the anonymous
37	complaint will be handled as a formal complaint (See Formal Complaints); however, due to the
38	anonymous nature of the submission, the Commission will not correspond with the complainant.
39	
40	Anonymous comments/complaints determined to be unrelated to an Accreditation Standard or
41	CODA policies and procedures, or those that do not provide sufficient evidence of probable cause

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- 1 of noncompliance with the standard(s) or required accreditation policy(ies), or procedure(s) to
- 2 proceed, will be added to the respective program's file for evaluation during the program's next
- 3 scheduled accreditation site visit. At the time of the site visit, the program and site visit team will
- 4 be informed of the anonymous comment/complaint. The program will have an opportunity to
- 5 respond to the anonymous comment/complaint; the response will be considered during the site
- 6 visit evaluation. Anonymous comments/complaints will be assessed to determine trends in
- 7 compliance with Commission standards, policies, and procedures. The assessment of findings
- 8 related to the anonymous comments/complaint will be documented in the site visit report.
- 9

Revised: 2/21; Adopted: 8/17

## 1 CONSIDERATION OF POLICY REVISIONS RELATED TO CHANGES IN THE USDE 2 REGULATIONS FOR RECOGNITION OF ACCREDITING AGENCIES

3

5 6

- 4 <u>Underline indicates addition; Strikethrough indicates deletion</u>
  - FUNCTION AND PROCEDURES OF THE APPEAL BOARD

The principal function of the Appeal Board is to determine whether the Commission on Dental
Accreditation, in arriving at a decision regarding the withdrawal or denial of accreditation for a
given program, has properly applied the facts presented to it. In addition, the Commission's *Rules* stipulate that the Appeal Board shall provide the educational program filing the appeal the
opportunity to be represented by legal counsel and shall give the program the opportunity to
offer evidence and argument in writing and/or orally to try to refute or overcome the findings and

- 13 decision of the Commission.
- 14

Reaffirmed: 8/16; 8/10

- **15 1. Appeal Board:** The four (4) permanent members of the Appeal Board include: one (1)
- 16 representative selected by the American Dental Association, one (1) representative selected by the
- 17 American Association of Dental Boards, one (1) representative selected by the American Dental
- 18 Education Association and one (1) consumer representative selected by the Commission on
- 19 Dental Accreditation. Representatives from allied or advanced dental education areas would also
- 20 be included on the Appeal Board, depending on the nature of the appeal. Appeal Board members
- do not concurrently serve on the Commission. (See Rules of the Commission, Article III, Section
  22 2. Appeal Board Composition, p. 5)
- 22

The Appeal Board is an autonomous body, separate from the Commission. Costs related to

- appeal procedures will be underwritten, whenever possible, by the institution and the
- 26 Commission on an equally shared cost basis.
- 27

Revised: 8/18; 8/16; Reaffirmed: 8/10

- 28 2. Selection Criteria For Appeal Board Members: The Appeal Board Member shall not be:
- a current member of a dental or allied dental faculty\*;
- an employee, member of the governing board, owner, shareholder of, or independent
   consultant to, a program that either is accredited by the Commission on Dental Accreditation
   or has applied for initial accreditation\*; and
- spouse, parent, child, or sibling of an individual identified above;
- current member of the Commission; and/or
- an individual who has participated in any step of the process leading up to the decision that is
   being appealed (e.g. member of the visiting committee, member of Review Committee, etc.).
- 37
- 38 The Appeal Board Member shall:
- be willing to participate as a member of the appellate body should it be convened; and

- be willing to comply with all Commission policies and procedures (e.g., Agreement of
   Confidentiality; Conflict of Interest Policy; and Professional Conduct Policy and Prohibition
   Against Harassment).
- 4 5

\*Discipline-specific representatives from allied or advanced dental education areas and the ADEA representative can be a program director, faculty member or practitioner.

Revised: 8/18; 2/16; 8/14; 2/13; Reaffirmed: 8/16; 8/10

7 8 9

10

6

**3. Appeal Procedures:** If a program has been denied accreditation or if its accreditation has been withdrawn, the following appeal procedures are followed:

- Within fourteen (14) days after the institution's receipt of notification of the Commission on Dental Accreditation's decision to deny or withdraw accreditation, the program may file a written request of appeal to the Director of the Commission. If a request of appeal is not made, the Commission's proposed decision will automatically become final and the appropriate announcement will be made.
- If a request of appeal is received, the Director of the Commission shall acknowledge receipt
   of the request and notify the program of the date of the appeal hearing. The appeal date shall
   be within sixty (60) days after the appeal has been filed.
- The program filing the appeal may be represented by legal counsel in addition to the program administrator and other program representatives and shall be given the opportunity at such hearing to offer evidence and argument in writing or orally or both tending to refute or overcome the findings and decision of the Board of Commissioners. The educational program need not appear in person or by its representative at the appellate hearing.
- 4. Legal counsel of the American Dental Association will be available to members of theAppeal Board upon request.
- 5. No new information regarding correction of the deficiencies may be presented with the
  exception of review of new financial information if all of the following conditions are met:
  (i) The financial information was unavailable to the institution or program until after the
  decision subject to appeal was made. (ii) The financial information is significant and bears
  materially on the financial deficiencies identified by the Commission. The criteria of
  significance and materiality are determined by the Commission. (iii) The only remaining
- deficiency cited by the Commission in support of a final adverse action decision is the
- institution's or program's failure to meet the Commission's standard pertaining to finances.
- An institution or program may seek the review of new financial information described in this section only once and any determination by the Commission made with respect to that review does not provide a basis for an appeal.
- 37 6. The Appeal Board may make the following decisions: to affirm, amend, or remand, or
- 38 reverse the adverse actions of the Commission. A decision to affirm, or amend or reverse the
- adverse action is implemented by the Commission. In a decision to remand the adverse
- 40 action for further consideration, the Appeal Board will identify specific issues that the
- 41 Commission must address. The Commission must act in a manner consistent with the Appeal

- 1 Board's decisions or instructions.
- 2 7. No change in the accreditation status of the program will occur pending disposition of the appeal.
- 8. Within ten (10) days of the hearing, the applicant shall be notified by tracked mail of the
  Appeal Board's decision. The decision may be to sustain the decision of the Commission or
  to remand the matter back to the Commission for reconsideration. Notice shall include a
  statement of the specifics on which the decision is based.
- 8 9. The decision rendered by the Appeal Board shall be final and binding.
- 9 10. In the event the educational program does not file a timely appeal of the Board of
  10 Commissioner's findings and decisions, the Board of Commissioner's decision shall become
  11 final.
- 12
- 13 In accord with due process measures, the Appeal Board will, when appropriate, review
- 14 substantive procedural issues raised by the appellants. To this end, the Appeal Board is limited

in its inquiry to the factual determinations up to the time of the Commission on Dental

16 Accreditation's decision regarding the status of the program at issue.

17

18 It is not proper for the Appeal Board to either receive or consider facts not previously presented

19 to the Commission on Dental Accreditation since it does not sit as an initial reviewing body.

20 Similarly, it is not the function of the Appeal Board to determine whether the facts, singularly or

- cumulatively, justify the decision of the Commission on Dental Accreditation unless it can be
- shown that the Commission's decision was clearly against the manifest weight of the evidence.
- Further, the Appeal Board will not hear testimony relative to the reasonableness of previously
- 24 determined requirements for accreditation since this is clearly outside the scope of authority of
- this reviewing body.

Revised: 2/21; 8/18; 8/16; 8/11, 1/03; Reaffirmed: 8/10

### 27 4. Mechanism For The Conduct Of The Appeal Hearing:

- A brief opening statement may be made by the Commission of Dental Accreditation for the purpose of establishing the Commission's finding and the reasons therefore.
- 30 2. The Appellant will then present its argument to the Board.
- 31 3. The Commission may then present its rebuttal of the Appellant's argument.
- 4. After hearing the evidence, the Appeal Board shall meet in executive session to discuss the appeal and make its decision. The Appeal Board's decision may be to sustain the decision of the Commission, or remand the matter to the Commission for reconsideration. The decision shall be based on a majority vote of the members of the Appeal Board with the Chair voting only to break a tie vote.
- 5. The Appellant shall be notified by tracked mail of the decision of the Appeal Board,
- including a statement of specifics, within ten (10) days following the hearing.
  - Revised: 8/16; 7/07, 7/06, 7/00, 12/88, 1978; Reaffirmed: 8/11, 8/10; Adopted: 12/77
- 39 40

1	VOLUNTARY DISCONTINUANCE OF ACCREDITATION
2	The Commission marks have a few and the lange way's desiring to reduce the
3 4	The Commission may become aware of an accredited program's decision to voluntarily discontinue its participation in the accreditation program when it receives official notification
4 5	from the sponsoring institution's chief executive officer. When the Commission becomes aware
6	of the program's intent to discontinue accreditation, it takes the following steps:
7	of the program s ment to discontinue decreditation, it takes the following steps.
8	1. Commission staff verifies that both the program and institution understand the impact of this
9	intended action and informs the institution and program of the specific audiences that will be
10	notified of their decision to let accreditation lapse (the USDE Secretary, the appropriate
11	accrediting agency and state licensing agency). If students/residents who matriculated prior
12	to the program's reported discontinuance effective date are enrolled in any year of the
13	program, the program must submit a Teach-Out Plan until all of these students/residents have
14	graduated. (See Policy on Discontinuance or Closure of Educational Programs Accredited by
15	the Commission and Teach-Out Plans)
16 17	2. Within ten (10) business days thirty (30) days, Commission staff contacts the institution's chief
18	executive officer and program director and acknowledges the date when accreditation will
19	lapse (i.e. program's discontinuance effective date) and the date by which the program will no
20	longer be listed in the Commission's lists of accredited programs (i.e. date of CODA meeting
21	or mail ballot). The USDE Secretary and the state licensing or accrediting agency are copied
22	on this letter. Commission staff will inform the program that any classes enrolled on or after
23	the program's reported date of discontinuance must be advised that they will not graduate from
24	a CODA-accredited program. (See Policy on Discontinuance or Closure of Educational
25	Programs Accredited by the Commission and Teach-Out Plans)
26	
27	3. At its next meeting, or by mail ballot if waiting until the next meeting would preclude a
28	timely review, the Commission will take action to affirm the program's decision to let
29 30	accreditation lapse, either through a Discontinuance or Teach-Out (See Other Accreditation Action Definitions). The USDE Secretary and appropriate state licensing or accrediting
30 31	agency are copied on any follow-up correspondence to the institution/program that may
32	occur after this meeting.
33	Revised: <u>2/21;</u> 2/16; 8/15; 7/06, 7/00; Reaffirmed: 8/20; 8/10
34	
35	DUE PROCESS RELATED TO WITHDRAWAL OF ACCREDITATION
36	
37	An institution/program may request a special appearance (hearing) before the appropriate
38	Review Committee in order to supplement the written information about the program which has
39	already been provided to the Review Committee. (See Due Process Related to Review
40	Committee Special Appearance)
41	

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If the Review Committee's recommendation to the Commission is to withdraw accreditation, the 1 Commission will notify the institution of the proposed action and the date of the Commission 2 meeting at which the Review Committee's recommendation will be considered. This 3 4 notification will advise the institution of its right to provide additional information for the 5 Commission to consider prior to reaching a decision on the proposed action. Any additional 6 information must be submitted in writing at least one (1) week prior to the meeting, absent 7 documented extraordinary circumstances, and should include any reasons why the institution 8 believes that the withdrawal of accreditation is unjustified. 9 10 If the Commission determines that accreditation should be withdrawn, the program will be notified within fourteen (14) days and the notification is sent by tracked mail. The program is 11 12 also notified of its right to appeal this decision to the Appeal Board. The filing of an appeal shall automatically stay the final decision of the Commission. 13 14 15 Adverse actions, or those that may be appealed, are defined as those related to denial or withdrawal of accreditation. Such decisions become final fourteen (14) days after the date on the 16 17 transmittal letter or when any appeal has been resolved. The Commission has procedures in place to provide notice of the reasons for taking an adverse accreditation action. Such 18 procedures are required in order for accrediting agencies to comply with U.S. Department of 19 Education's Criteria and Procedures for Recognition of Accrediting Agencies. 20 21 Revised: 2/19; 8/18; 2/18; 8/16; Reaffirmed: 8/10 22 23 12. Notice Of Reasons For Adverse Actions: Accrediting agencies recognized by the Secretary of the USDE, including the Commission, are required to report any adverse 24 accreditation action (defined as an action to deny or withdraw accreditation). Accordingly, when 25 26 the Commission makes a final decision to deny or withdraw a program's accreditation, a brief 27 statement summarizing the reasons for the Commission's decision and the official comments that the affected program may make with regard to that decision, is made available to the USDE 28 Secretary, the appropriate state licensing or authorizing agency and the public. The 29 30 Commission's final decision; the statement summarizing the reasons for the Commission's decision; and the program's official comments will be posted on the Commission's website no 31 32 later than sixty (60) days after the decision is final. 33 34 The Commission's Notice of Reasons for Adverse Action Disclosure Statement includes the 35 following information about the program's accreditation history, past problems, current problems, specific reasons why action to deny or withdraw accreditation was taken and what 36 future option are available to the program. 37 38 39 To illustrate the scope of the statement and the level of reasons reported, a sample announcement

- 40 follows:
- 41 Disclosure Statement: Dental Assisting Program
- 42

1 2	Pick Your State Community College
3 4	The Commission on Dental Accreditation, the only nationally-recognized accrediting agency for predoctoral, advanced, and allied dental education programs, reviewed an
5	application for initial accreditation of the new dental assisting program offered by Pick-
6	Your-State Community College. On the basis of information provided in the application,
7	the Commission was unable to grant "initial accreditation" status to the program.
8	
9	The Commission determined, at its (date) meeting, that the application did not provide
10	sufficient information and assurances that the proposed program meets the intent of the
11	Accreditation Standards for Dental Assisting Education Programs. Specific concerns in
12	compliance with the standards were noted in the following areas:
13	
14	Financial Support (adequacy of resources);
15	Curriculum (adequacy of knowledge and skills offered, scope and depth of
16	instruction in required areas, and documentation of student competence);
17	Admissions (documentation that written criteria, procedures, and policies are
18 10	used); Eaculty (adaguagy of tagghing and supervision of students);
19 20	Faculty (adequacy of teaching and supervision of students); Facilities (insufficient documentation of adequacy of physical facilities and
20 21	equipment).
22	equipment).
23	The Commission informed the program and sponsoring institution that these specific concerns
24	would need to be addressed before the institution reapplied for "initial accreditation" status of the
25	dental assisting program.
26	
27	CEO, Sponsoring Institution (date)
28	
29	Chair, Commission on Dental Accreditation (date)
30	Revised: 8/17; 5/12; Reaffirmed: 8/14; 8/10
31	13. Procedure For Disclosure Notice Of Adverse Actions: The following procedure is used
32	when an adverse action (to deny or withdraw accreditation) is taken. Applicants, when they
33	inquire about initial accreditation, are to be notified by Commission staff that the Notice of
34	Reasons for Adverse Actions statement will be prepared and distributed should accreditation be
35	denied.
36	
37	1. The Commission sends notice of any adverse action in a transmittal letter to the appropriate
38	institutional executives no later than fourteen (14) days after the Commission meeting. This
39	letter is sent by certified/tracked mail, (including email), and includes the reasons for any
40	adverse action to deny or withdraw accreditation. <u>All current and prospective</u>
41	students/residents/fellows must be informed of the Commission's notice of any adverse

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- action within seven (7) business days of the program's receipt of the notice. The USDE
   Secretary, the appropriate state entities, and any appropriate institutional accrediting agency
   are notified at this time, usually by a letter to the Secretary with copies to the other entities
   and the institution.
- A statement of the reasons for any adverse action is developed and available for distribution
  within sixty (60) days. This new statement will include the same information that has been
  contained in the transmittal letter. For this reason, the statement will be drafted and the draft
  will be sent to the institution/program for review at the same time as the transmittal letter. As
  needed, the draft statement will be reviewed by legal counsel prior to being sent.
- The institution must notify the Commission within fourteen (14) days if it wishes to indicate an intent to appeal an adverse action. If an intent to appeal is received, the usual appeal procedures are followed according to the Commission policy on Due Process Related to Appeal of Accreditation Actions.
- 4. If an intent to appeal is not received by the fourteen (14) day deadline specified, the adverse
  action is considered final and the USDE Secretary, the appropriate state entities, and any
  appropriate institutional accrediting agency are notified at this time, usually by a letter to the
  Secretary with copies to the other entities and the institution.
- 5. During the same fourteen (14) days, the institution/program will be asked to review the draft
   statement and:
- 20 a. indicate agreement with the statement; and/or,
  - b. make official comments with regard to the decision, or state that the affected institution has been offered the opportunity to provide official comment.
- 6. When the final statement (or statement and response) has been developed and signed by both parties, it will be distributed as required in the regulations to the USDE Secretary, to the appropriate state licensing or authorizing agency, to any appropriate institutional accrediting agency, and to the public. All current and prospective students/residents/fellows must be informed of the Commission's final decision within seven (7) business days of the program's receipt of the notice.
- 29 7. The Commission's final decision; the statement summarizing the reasons for the
- 30 Commission's decision; and the program's official comments will be posted on the
- Commission's website no later than sixty (60) days after the decision is final.
- 32

21

22

- When there are no differences of opinion regarding the statement, it may be possible to send it to the Secretary along with the letter in step #4 above, along with posting the final decision and
- reasons on the Commission's website.
- 36 Revised: <u>2/21;</u> 8/17; 5/12; 7/06; Reaffirmed: 8/14; 8/10; Adopted: 7/00; CODA: 07/94:6

# POLICY ON REGARD FOR DECISIONS OF STATES AND OTHER ACCREDITING AGENCIES

- 39
- 40 The Commission takes into account decisions made by other recognized accrediting or state
- 41 agencies. If the Commission determines that an institution sponsoring an accredited program or

- 1 a program seeking accreditation is the subject of an interim action or threatened loss of
- accreditation or legal authority to provide postsecondary education, the Commission will act as
  follows.
- 4
- 5 If a recognized institutional accrediting agency takes adverse action with respect to the
- 6 institution offering the program or places the institution on public probationary status, the
- 7 Commission will promptly review its accreditation of the program to determine if it should take
- 8 adverse action against the program.
- 9
- 10 The Commission does not renew the accreditation status of a program during any period in 11 which the institution offering the program:
- Is the subject of an interim action or <u>final decision</u> by a recognized institutional accrediting agency potentially leading to the suspension, revocation, <u>withdrawal</u>, or termination of accreditation or pre-accreditation;
- Is the subject of a decision by a recognized institutional accrediting agency to deny accreditation or pre-accreditation;
- Is the subject of a pending or final n interim action by a state agency potentially leading to the suspension, revocation, withdrawal or termination of the institution's legal authority to provide postsecondary education;
- Has been notified of probation or an equivalent status, or a threatened loss of accreditation,
   and the due process procedures required by the action have not been completed; and/or
- Has been notified of a threatened suspension, revocation, or termination by a state of the
   institution's legal authority to provide postsecondary education, and the due process
   procedures required by the action have not been completed.
- 25

In considering whether to grant initial accreditation to a program, the Commission takes intoaccount actions by:

- Recognized institutional accrediting agencies that have denied accreditation or pre accreditation to the institution offering the program, placed the institution on public
   probationary status, or revoked the accreditation or pre-accreditation of the institution; and
- State agency that has suspended, revoked, or terminated the institution's legal authority to
   provide postsecondary education.
- 33
- 34 If the Commission grants accreditation to a program notwithstanding its actions described above,
- the Commission will provide to the USDE Secretary, within 30 days of granting initial or
- continued accreditation, a thorough <u>and reasonable</u> explanation, consistent with the accreditation
- 37 standards, why the previous action by a recognized institutional accrediting agency or the state
- does not preclude the Commission's grant of accreditation. The Commission's review and
- explanation will consider each of the findings of the other agency in light of its own standards.
- 40 Upon formal request, the Commission will share with other appropriate USDE-recognized
- 41 accrediting agencies and USDE-recognized State approval agencies information about the

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1	accreditation status of a program and any adverse actions it has taken against an accredited
2	program.
3	Revised: 2/21; 5/12; Reaffirmed: 8/20; 8/15; 8/10, 7/07, 7/01; Revised: 7/96; 12/88
4	

Page 1603 Appendix 7 Subpage 1 Ad Hoc Structure and Function Commission Only Winter 2021

# PROPOSED REVISIONS TO THE COMMISSION ON DENTAL ACCREDITATION POLICIES ON REVIEW COMMITTEE AND COMMISSION STRUCTURE AND FUNCTION

## (Underline indicates addition; Strikethrough indicates deletion)

## 2. Rules Of The Commission On Dental Accreditation:

## Article II. BOARD OF COMMISSIONERS

Section 2. COMPOSITION: The Board of Commissioners shall consist of:

Four (4) members who shall be appointed by the Board of Trustees from the names of active, life or retired members of this Association. None of the appointees shall be a faculty member of any dental education program working more than one day per week or a member of a state board of dental examiners or jurisdictional dental licensing agency.

Four (4) members who are active, life or retired members of this Association and also active members of the American Association of Dental Boards shall be selected by the American Association of Dental Boards. None of these members shall be a faculty member of any dental education program.

Four (4) members who are active, life or retired members of this Association and also active members of the American Dental Education Association shall be selected by the American Dental Education Association. None of these members shall be a member of any state board of dental examiners or jurisdictional dental licensing agency.

The remaining Commissioners shall be selected as follows: one (1) certified dental assistant selected by the American Dental Assistants Association from its active or life membership, one (1) licensed dental hygienist selected by the American Dental Hygienists' Association, one (1) certified dental laboratory technician selected by the National Association of Dental Laboratories, one (1) student selected jointly by the American Student Dental Association and the Council of Students, Residents and Fellows of the American Dental Education Association, one (1) dentist who is board certified in the respective discipline-specific area of practice and is selected by each of the following organizations: American Academy of Oral and Maxillofacial Pathology, American Academy of Oral and Maxillofacial Radiology, American Academy of Oral Medicine, American Academy of Orofacial Pain, American Academy of Pediatric Dentistry, American Academy of Periodontology, American Association of Endodontists, American Association of Oral and Maxillofacial Surgeons, American Association of Orthodontists, American Association of Public Health Dentistry, American College of Prosthodontists, American Society of Dentist Anesthesiologists; one (1) dentist who is jointly appointed by the American Dental Education Association, and the Special Care Dentistry Association, the American Society of Dentist Anesthesiologists, the American Academy of Oral Medicine, and the American Academy of Orofacial Pain and four (4) members of the public who are neither dentists nor allied dental personnel nor teaching in a dental or allied dental education institution and who are selected by the Commission, based on established and publicized criteria. In the event a Commission member sponsoring organization fails to select a Commissioner, it shall be the responsibility of the Commission to select an appropriate

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representative to serve as a Commissioner. The Director of the Commission shall be an ex-officio member of the Board without the right to vote.

Section 3. TERM OF OFFICE: The term of office of the members of the Board of Commissioners shall be one four (4) year term except that the member jointly selected by the American Dental Education Association and the American Student Dental Association shall serve only one two (2) year term.

#### Article III. APPEAL BOARD

Section 1. APPEAL BOARD: The appellate body of the Commission shall be the Appeal Board which shall have the authority to hear and decide appeals filed by predoctoral and advanced dental educational and allied dental educational programs from decisions rendered by the Board of Commissioners denying or revoking accreditation. Such appeals shall be heard pursuant to procedures established by these *Rules* and the Commission's *Evaluation and Operational Policies and Procedures* manual.

Section 2. COMPOSITION: The Appeal Board shall consist of four (4) permanent members. The four (4) permanent members of the Appeal Board shall be selected as follows: one (1) selected by the Board of Trustees of the American Dental Association from the active, life or retired membership of the American Dental Association giving special consideration whenever possible to former members of the Council on Dental Education and Licensure, one (1) member selected by the American Association of Dental Boards from the active membership of that body, one (1) member selected by the American Dental Education Association from the active membership of that body and one (1) consumer member who is neither a dentist nor an allied dental personnel nor teaching in a dental or allied dental educational program and who is selected by the Commission, based on established and publicized criteria. In addition, a representative from either an allied or advanced dental education discipline would be included on the Appeal Board depending upon the type and character of the appeal. Such special members shall be selected by the appropriate allied or advanced dental education organization. Since there is no national organization for general practice residencies and advanced education programs in general dentistry, representatives of these areas shall be selected by the American Dental Education Association and the Special Care Dentistry Association. One (1) member of the Appeal Board shall be appointed annually by the Chair of the Commission to serve as the Chair and shall preside at all meetings of the Appeal Board. If the Chair is unable to attend any given meeting of the Appeal Board, the other members of the Appeal Board present and voting shall elect by majority vote an acting Chair for that meeting only. The Director of the Commission shall provide assistance to the Appeal Board.

Section 3. TERM OF OFFICE: The term of office of members on the Appeal Board shall be one four (4) year term.

## Article V. OFFICERS

Section I. OFFICERS: The officers of the Commission shall be a Chair, Vice-chair, a Director and such other officers as the Board of Commissioners may authorize. The Chair and Vice-chair shall be elected by the Board of Commissioners.

Section 2. ELIGIBILITY: The Chair and Vice-chair shall be dentists who are members of the Board of Commissioners. The Chair and Vice-chair shall be active, life or retired members of the American Dental

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Association.

Section 3. ELECTION AND TERM: The Chair and Vice-chair of the Commission shall be elected annually by the Board of Commissioners. The term of the Chair and Vice-chair shall be one (1) year beginning and ending with adjournment of the closing session of the annual meeting of the House of Delegates of the American Dental Association.

Section 4: DUTIES: The duties of the officers are as follows:

- A. CHAIR:
  - 1. Appoint members and chairs of such committees as are necessary for the orderly conduct of business except as otherwise provided in these *Rules*.
  - 2. Circulate or cause to be circulated an announcement and an agenda for each regular or special meeting of the Board of Commissioners.
  - 3. Preside during meetings of the Board of Commissioners.
  - 4. Prepare or supervise the preparation of an annual report of the Commission.
  - 5. Prepare or supervise the preparation of an annual budget of the Commission.
  - 6. Represent the Commission during sessions of the House of Delegates of the American Dental Association.
- B. VICE-CHAIR: The Vice-chair of the Commission shall assist the Chair in the performance of his or her duties. If the Chair is unable to attend any given meeting of the Board of Commissioners, the Vice-chair shall preside at the meeting. If the Vice-chair also is unable to attend the meeting, the other members of the Board of Commissioners present and voting shall elect by majority vote an acting chair for the purpose of presiding at that meeting only.
- C. VACANCIES: In the event the vacancy involves the Chair, the Vice-chair shall immediately assume all duties of the Chair. In the event the vacancy involves the Vice-chair, a meeting of the Commission shall be convened to select a new Vice-chair.

## Section 5. DIRECTOR:

- A. Appointment: The Director of the Commission shall be an employee of the American Dental Association selected by the Executive Director of that Association.
- B. Duties: The Director of the Commission shall:
  - 1. Prepare an agenda and keep minutes of meetings of the Board of Commissioners.
  - 2. See that all notices are duly given in accordance with the provisions of these *Rules* or as required by law.
  - 3. Be the custodian of records of the Commission.
  - 4. Manage the office and staff of the Commission.
  - 5. In general shall perform all duties incident to the office of Director.

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## Article VI. REMOVAL FOR CAUSE

Pursuant to the *Rules* of the Commission on Dental Accreditation, the following are causes for removal of a member from the Board of Commissioners, Committees, or Appeal Board:

- continued, gross or willful neglect of the duties of the office;
- failure to comply with the Commission's policies on conflict of interest;
- failure or refusal to disclose necessary information on matters of Commission business;
- failure to keep confidential any exclusive information protected by secrecy that becomes known to the member by reason of the performance of his or her duties on the Commission's behalf;
- failure to comply with the Association's professional conduct policy and prohibition against harassment;
- unauthorized expenditures or misuse of Commission funds;
- unwarranted attacks on the Commission, any of its committees or any person serving the Commission in an elected, appointed or employed capacity;
- unwarranted refusal to cooperate with any Commission officer, Commission, Review Committee or Appeal Board member or staff;
- misrepresentation of the Commission and any person serving the Commission in an elected, appointed or employed capacity to outside persons;
- being found to have engaged in conduct subject to discipline pursuant to Chapter XI of the *Governance and Organizational Manual* of the American Dental Association; and
- conviction of a felony.

# **II. REVIEW COMMITTEES AND BOARD OF COMMISSIONERS**

## A. REVIEW COMMITTEES AND REVIEW COMMITTEE MEETINGS

- **1. Structure:** The chair of each Review Committee will be the appointed Commissioner from the relevant discipline.
  - i. The Commission will appoint all Review Committee members.
    - a. Review Committee positions not designated as discipline-specific will be appointed from the Commission where feasible, e.g. a public representative on the Commission could be appointed to serve as the public member on the Dental Laboratory Technology Review Committee; an ADA appointee to the Commission could be appointed to the Dental Assisting Review Committee as the general dentist practitioner.
    - b. Discipline-specific positions on Review Committees will be filled by appointment by the Commission of an individual from a small group of qualified nominees (at least two) submitted by the relevant national organization, discipline-specific sponsoring organization or certifying board. Nominating organizations may elect to rank their nominees, if they so choose. If fewer than two (2) qualified nominees are submitted, the appointment process will be delayed until such time as the minimum number of required qualified nominations is received.

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- ii. Consensus is the method used for decision making; however if consensus cannot be reached and a vote is required, then the Chair may only vote in the case of a tie (American Institute of Parliamentarians Standard Code of Parliamentary Procedures).
- iii. Member terms will be staggered, four year appointments; multiple terms may be served on the same or a different committee, with a one-year waiting period between terms. A maximum of two (2) terms may be served in total. The one-year waiting period between terms does not apply to public members.
- iv. One public member will be appointed to each committee.
- v. The size of each Review Committee will be determined by the committee's workload.
- vi. As a committee's workload increases, additional members will be appointed while maintaining the balance between the number of content experts and non-content experts. Committees may formally request an additional member through New Business at Review Committee/Commission meetings. If an additional member is approved, this member must be a joint nomination from the professional organization and certifying board, as applicable.
- vii. Conflict of interest policies and procedures are applicable to all Review Committee members.
- viii. Review Committee members who have not had not been on a site visit within the last two (2) years prior to their appointment on a Review Committee should observe at least one site visit within their first year of service on the Review Committee.
- ix. In the event that fewer than 50% of discipline-specific experts are present for any one discipline, the decision by a quorum of the Review Committee shall be acceptable. In the case of less than 50% of discipline-specific experts, including the Chair, available for a review committee meeting, for specified agenda items or for the entire meeting, the Review Committee Chair may temporarily appoint an additional discipline-specific expert(s) with the approval of the CODA Director. The substitute should be a previous Review Committee member or an individual approved by both the Review Committee Chair and the CODA Director. The substitute would have the privileges of speaking, making motions and voting.
- x. Consent agendas may be used by Review Committees, when appropriate, and may be approved by a quorum of the Review Committee present at the meeting.
  - Revised: 8/20; 1/20; 8/18; 8/17; 2/15; 1/14, 2/13, 8/10, 7/09; 7/08; 7/07; Adopted: 1/06

## 2. Composition

Predoctoral Education Review Committee (9 members)

1 discipline-specific Commissioner appointed by American Dental Education Association 1 public member

3 dental educators who are involved with a predoctoral dental education program (two must be general dentists)

1 general dentist \(One of whom is a practitioner

1 non-general\* dentist dentist and the other an educator)

1 dental assistant, dental hygienist, dental therapist or dental laboratory technology professional educator 1 dental therapist educator

\*a dentist who has completed an advanced dental education program in dental anesthesiology, dental public health, endodontics, oral and maxillofacial radiology, oral and maxillofacial pathology, oral and maxillofacial surgery, oral medicine, orofacial pain, orthodontics and dentofacial orthopedics, pediatric dentistry, periodontics, or prosthodontics.

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Six (6) Three (3) Advanced Dental Education Review Committees (<u>DENTANESTH\*</u>, DPH, <u>OFP\*</u>, OMP, OMR, OM\* - 5 members each. At least one member must be a dental educator.)

1 discipline-specific Commissioner appointed by the discipline-specific sponsoring organization 1 public member

1 dentist nominated by the discipline-specific sponsoring organization

1 dentist nominated by the discipline-specific certifying board

1 general dentist

\* Effective January 1, 2021

Six (6) Advanced Dental Education Review Committees (ENDO, OMS, ORTHO, PERIO, PED, PROS - 6 members each. At least one member must be a dental educator.)

1 discipline-specific Commissioner appointed by the discipline-specific sponsoring organization 1 public member

1 dentist nominated by the discipline-specific sponsoring organization

1 dentist nominated by the discipline-specific certifying board

1 dentist nominated by the discipline-specific certifying board and discipline-specific sponsoring organization

1 general dentist

Advanced Education in General Dentistry, General Practice Residency, Dental Anesthesiology, Oral Medicine and Orofacial Pain Postdoctoral General Dentistry Review Committee (12 9 members)

1 discipline-specific Commissioner, jointly appointed by American Dental Education Association (ADEA), <u>and</u> the Special Care Dentistry Association (SCDA), <u>the American Society of Dentist</u> Anesthesiologists (ASDA), the American Academy of Oral Medicine (AAOM), and the American Academy of Orofacial Pain (AAOP)

1 public member

2 current General Practice Residency (GPR) educators nominated by the SCDA

2 current Advanced Education in General Dentistry (AEGD) educators nominated by ADEA 1 oral medicine educator nominated by the American Academy of Oral Medicine

1 dental anesthesiology educator nominated by the American Society of Dentist Anesthesiologists 1 orofacial pain educator nominated by the American Academy of Orofacial Pain

1 general dentist graduate of a GPR or AEGD

1 non-general\* dentist

1 higher education or hospital administrator with past or present experience in administration in a teaching institution

\*a dentist who has completed an advanced dental education program in <u>dental anesthesiology</u>, dental public health, endodontics, oral and maxillofacial radiology, oral and maxillofacial pathology, oral and maxillofacial surgery, <u>oral medicine</u>, <u>orofacial pain</u>, <u>orthodontics</u> and dentofacial orthopedics, pediatric dentistry, periodontics, or prosthodontics.

Dental Assisting Education Review Committee (10 members)

1 discipline-specific Commissioner appointed by American Dental Assistants Association 1 public member

2 general dentists (practitioner or educator)

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5 dental assisting educators1 dental assisting practitioner who is a graduate of a Commission accredited program

Dental Hygiene Education Review Committee (11 members)

- 1 discipline-specific Commissioner appointed by American Dental Hygienists' Association1 public member4 dental hygienist educators
- 2 dental hygienist practitioners
- 1 dentist practitioner
- 1 dentist educator
- 1 higher education administrator

Dental Laboratory Technology Education Review Committee (5 members)

1 discipline-specific Commissioner appointed by National Association of Dental Laboratories

- 1 public member
- 1 general dentist
- 1 dental laboratory technology educator
- 1 dental laboratory owner nominated by National Association of Dental Laboratories

Revised: 2/21, 8/18; 2/16; 2/15; 8/14; 2/13, 7/09, 7/08, 1/08; Reaffirmed: 8/17; 8/10; Adopted: 1/06

**3. Nomination Criteria:** The following criteria are requirements for nominating members to serve on the Review Committees. Rules related to the appointment term on Review Committees apply.

All Nominees:

- Ability to commit to one (1) four (4) year term;
- Willingness to commit ten (10) to twenty (20) days per year to Review Committee activities, including training, comprehensive review of print and electronically delivered materials and travel to Commission headquarters;
- Ability to evaluate an educational program objectively in terms of such broad areas as curriculum, faculty, facilities, student evaluation and outcomes assessment;
- Stated willingness to comply with all Commission policies and procedures (e.g. Agreement of Confidentiality; Conflict of Interest Policy; Operational Guidelines; Simultaneous Service; HIPAA Training, Licensure Attestation, and Professional Conduct Policy and Prohibition Against Harassment);
- Ability to conduct business through electronic means (email, Commission Web Sites); and
- Active, life or retired member of the American Dental Association, where applicable.

Educator Nominees:

- Commitment to predoctoral, advanced, and/or allied dental education;
- Active involvement in an accredited predoctoral, advanced, or allied dental education program as a full- or part-time faculty member;
- Subject matter experts with formal education and credentialed in the applicable discipline; and
- Prior or current experience as a Commission site visitor.

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Practitioner Nominees:

- Commitment to predoctoral, advanced, and/or allied dental education;
- Majority of current work effort as a practitioner; and
- Formal education and credential in the applicable discipline.

Public/Consumer Nominees:

- A commitment to bring the public/consumer perspective to Review Committee deliberations. The nominee should not have any formal or informal connection to the profession of dentistry; also, the nominee should have an interest in, or knowledge of, health-related and accreditation issues. In order to serve, the nominee must not be a:
  - a. Dentist or member of an allied dental discipline;
  - b. Member of a predoctoral, advanced, or allied dental education program faculty;
  - c. Employee, member of the governing board, owner, or shareholder of, or independent consultant to, a predoctoral, advanced, or allied dental education program that is accredited by the Commission on Dental Accreditation, has applied for initial accreditation or is not-accredited;
  - d. Member or employee of any professional/trade association, licensing/regulatory agency or membership organization related to, affiliated with or associated with the Commission, dental education or dentistry; and
  - e. Spouse, parent, child or sibling of an individual identified above (a through d).

Higher Education Administrator:

- A commitment to bring the higher education administrator perspective to the Review Committee deliberations. In order to serve, the nominee must not be a:
  - a. Member of any trade association, licensing/regulatory agency or membership organization related to, affiliated with or associated with the Commission; and
  - b. Spouse, parent, child or sibling of an individual identified above.

Hospital Administrator:

- A commitment to bring the hospital administrator perspective to Review Committee deliberations. In order to serve, the nominee must not be a:
  - a. Member of any trade association, licensing/regulatory agency or membership organization related to, affiliated with or associated with the Commission; and
  - b. Spouse, parent, child or sibling of an individual identified above.

Revised: 8/18; 8/17; 8/14; 8/10; Adopted: 07/08

**5.** Chairs Of Review Committees: Review Committees are chaired by the Commissioner for the respective discipline(s). The Chair of the Predoctoral Review Committee is selected by the Chair of the Commission from among the four (4) Commissioners appointed by ADEA.

Revised: 8/17; Reaffirmed: 8/10

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#### **B. COMMISSION AND COMMISSION MEETINGS**

The Commission and its Review Committees meet twice each year to consider site visit reports and institutional responses, progress reports, information from annual surveys, applications for initial accreditation, and policies related to accreditation. These meetings are held in the winter and the summer.

Reports from site visits conducted less than 90 days prior to a Commission meeting are usually deferred and considered at the next Commission meeting. Commission staff can provide information about the specific dates for consideration of a particular report.

The Commission has established policy and procedures for due process which are detailed in the Due Process section of this manual.

Revised: 8/17; 8/14; 7/06, 7/96; Reaffirmed: 8/10; Adopted: 7/96

#### 1. Composition and Criteria

#### **Composition**

The Board of Commissioners shall consist of:

Four (4) members shall be selected from nominations open to all trustee districts from the active, life or retired members of this association, no one of whom shall be a faculty member working more than one day per week of a school of dentistry or a member of a state board of dental examiners or jurisdictional dental licensing agency. These members shall be nominated by the Board of Trustees and elected by the American Dental Association House of Delegates.

Four (4) members who are active, life or retired members of the American Dental Association shall be selected by the American Association of Dental Boards from the active membership of that body, no one of whom shall be a member of a faculty of a school of dentistry.

Four (4) members who are active, life or retired members of the American Dental Association shall be selected by the American Dental Education Association from its active membership. These members shall hold positions of professorial rank in dental schools accredited by the Commission on Dental Accreditation and shall not be members of any state board of dental examiners.

Four (4) members who shall be appointed by the Board of Trustees from the names of active, life or retired members of this Association. None of the appointees shall be a faculty member of any dental education program working more than one day per week or a member of a state board of dental examiners or jurisdictional dental licensing agency.

Four (4) members who are active, life or retired members of this Association and also active members of the American Association of Dental Boards shall be selected by the American Association of Dental Boards. None of these members shall be a faculty member of any dental education program.

Four (4) members who are active, life or retired members of this Association and also active members of the American Dental Education Association shall be selected by the American Dental Education

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Association. None of these members shall be a member of any state board of dental examiners or jurisdictional dental licensing agency.

The remaining Commissioners shall be selected as follows: one (1) certified dental assistant selected by the American Dental Assistants Association from its active or life membership, one (1) licensed dental hygienist selected by the American Dental Hygienists' Association, one (1) certified dental laboratory technician selected by the National Association of Dental Laboratories, one (1) student selected jointly by the American Student Dental Association and the Council of Students, Residents and Fellows of the American Dental Education Association, one (1) dentist who is board certified in the respective discipline-specific area of practice and is selected by each of the following organizations: American Academy of Oral and Maxillofacial Pathology, American Academy of Oral and Maxillofacial Radiology, American Academy of Oral Medicine, American Academy of Orofacial Pain, American Academy of Pediatric Dentistry, American Academy of Periodontology, American Association of Endodontists, American Association of Oral and Maxillofacial Surgeons, American Association of Orthodontists, American Association of Public Health Dentistry, American College of Prosthodontists, American Society of Dentist Anesthesiologists; one (1) dentist who is jointly appointed by the American Dental Education Association, and the Special Care Dentistry Association, the American Society of Dentist Anesthesiologists, the American Academy of Oral Medicine, and the American Academy of Orofacial Pain and four (4) consumers who are neither dentists nor allied dental personnel nor teaching in a dental or allied dental education institution and who are selected by the Commission, based on established and publicized criteria. In the event a Commission member sponsoring organization fails to select a Commissioner, it shall be the responsibility of the Commission to select an appropriate representative to serve as a Commissioner. A member of the Standing Committee on the New Dentist (when assigned by the ADA Board of Trustees) and the Director of the Commission shall be ex-officio members of the Board without the right to vote.

## **Criteria (All Appointees)**

- Ability to commit to one (1) four (4) year term;
- Willingness to commit ten (10) to twenty (20) days per year to activities, including training, comprehensive review of print and electronically delivered materials, and travel to Commission headquarters;
- Ability to evaluate an educational program objectively in terms of such broad areas as curriculum, faculty, facilities, student evaluation and outcomes assessment;
- Stated willingness to comply with all Commission policies and procedures (e.g. Agreement of Confidentiality; Conflict of Interest Policy; Operational Guidelines; Simultaneous Service; HIPAA Training, Licensure Attestation, and Professional Conduct Policy and Prohibition Against Harassment);
- Ability to conduct business through electronic means (email, Commission Web Sites); and
- Active, life or retired member of the American Dental Association, where applicable. Revised: 2/21, 8/18; 8/17; Adopted: 8/14

**2. Policy On Absence From Commission Meetings:** When a Commissioner notifies the Director that he/she will be unable to attend a meeting of the Commission, the Director will notify the Chair. The Chair determines if another individual should be invited to attend the meeting in the Commissioner's absence. A substitute will be invited if the Commissioner's discipline would not otherwise be represented. This individual must be familiar with the Commission's policies and procedures; and

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therefore, must be a current or former member of the appropriate Review Committee and must represent the same discipline or appointing organization as the absent Commissioner. In the event that these criteria cannot be met, the Commission Chair may elect not to invite another individual to the meeting. The substitute would have the privileges of speaking, introducing business, making motions, and voting. Revised: 8/17; 8/10, 7/97; Reaffirmed: 7/07, 7/01; CODA: 12/86:14

# C. POLICY ON CHANGES TO THE COMPOSITION OF REVIEW COMMITTEES AND THE BOARD OF COMMISSIONERS

The Commission believes it is imperative that content area experts are represented on site visit committees, Review Committees and on the Commission to accomplish its mission. However, the Commission does not establish Review Committees or add Commissioner positions based upon the number of programs accredited or number of students/residents enrolled within a given discipline.

The Board of Commissioners is composed of representatives and subject area experts from the dental education, dental licensure and private practice communities, advanced dental education, allied dental education, and the public at large. The Commission's Review Committees mirror this structure with committees devoted to dental, dental assisting, dental hygiene, dental laboratory technology, dental anesthesiology, dental public health, endodontics, oral and maxillofacial pathology, oral and maxillofacial radiology, oral and maxillofacial surgery, oral medicine, orofacial pain, orthodontics and dentofacial orthopedics, pediatric dentistry, periodontics, and prosthodontics. The Review Committee on Postdoctoral General Dentistry Advanced Education in General Dentistry, General Practice Residency, Dental Anesthesiology, Oral Medicine and Orofacial Pain reviews programs in advanced education in general dentistry, and general practice residency, dental anesthesiology, oral medicine, and orofacial pain; content experts from each of these areas are represented on the Committee. The Predoctoral Dental Education Review Committee reviews programs in predoctoral dental education and dental therapy education; content experts from each of these areas are represented on the Committee. The Review Committees function to ensure the quality of predoctoral, advanced, and allied dental education programs accredited by the Commission is maintained; they are advisory to the Commission on matters of accreditation policy and program review.

As predoctoral, advanced, and allied dental education and practice continues to evolve, the Board of Commissioners may consider a change in its composition, consistent with its *Rules*. The Board may also modify the number or composition of its Review Committees. Such changes may be necessary to reflect changes in the makeup of the dental profession workforce and to provide standards and quality accreditation services to the educational programs in these areas.

For example, changes to the Board of Commissioners or Review Committees may be considered by the Board of Commissioners under the following circumstances:

- When a new dental workforce or discipline is recognized by a nationally accepted agency.
- When development of accreditation standards or accreditation services for a new or existing dental workforce or discipline cannot be supported by the existing structure(s).
- When the Board of Commissioners identifies the need to modify its composition or that of a

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Review Committee(s).

Procedure for Requesting a New Review Committee and/or Commissioner Position:

- A request is submitted to the Commission for either a new Review Committee and/or Commissioner position.
- The Chair of the Commission may refer the request to the appropriate standing committee and/or review committee(s) for evaluation or may present the request to the Commission at its next regularly scheduled meeting.
- If referred to a committee, the committee considers the request and provides a recommendation to the Commission.
- The Commission considers the report and recommendation of standing/review committee(s) or considers the request directly as presented by the chair and makes a final determination.
- If the Commission approves the request and directs a new Review Committee, a period of implementation and training will also be provided. If a modification to the existing composition of the Board of Commissioners is approved, the Commission's *Rules* will be modified.

Revised: 2/21; 8/18; 8/17; 2/16; Adopted 8/14

## D. POLICY ON REMOVAL OF COMMISSION, REVIEW COMMITTEE, AND APPEAL BOARD MEMBERS

Pursuant to the *Rules* of the Commission on Dental Accreditation, the Commission may remove from office a member of the Commission, Review Committee, or Appeal Board for cause. The causes for removal from office are documented within the Commission's *Rules*. Before a member is removed for cause, the following procedures shall be followed by the Board of Commissioners:

The Chair of the Board of Commissioners shall notify the accused member in writing of the allegations concerning the member's performance. The written notice shall include a description of the conduct purported to constitute each charge. The accused shall be invited to respond in writing. If the accused member wishes, he or she may resign the position voluntarily or may request the opportunity to appear before the Board to respond to the allegations received. If an appearance is requested, the Board shall schedule it during the next meeting of the Board.

If the Commission, Review Committee, or Appeal Board on which the accused holds an office is scheduled to meet before the date of the appearance, the Board of Commissioners at its discretion may excuse the accused member from attending that meeting only after the Board of Commissioners offers the accused an opportunity to be heard or where it determines that compelling reasons exist for excusal. It shall specify the reasons for excusal in writing.

Formal rules of evidence shall not apply to the appearance to discuss the allegations made, but if requested, the Board of Commissioners shall permit the accused member to be assisted by legal counsel. Following the appearance, the Board shall decide by majority vote whether or not to remove the accused member. Every decision, which results in removal of a Commission, Review Committee, or Appeal Board member for cause, shall be reduced to writing and shall specify the

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findings of fact which support the decision to remove the accused members. If the Board of Commissioners decides to remove the accused, that action shall create a vacancy on that Commission, Review Committee, or Appeal Board which shall be filled in accordance the appropriate provisions in these *Rules*. All records of the proceedings and the cause for removal shall be confidential information.

The Commission on Dental Accreditation shall provide notice to the ADA Board of Trustees once the Commission acts to remove a member for cause.

Adopted: 8/18; Revised 10/18