**Please read the DQA Measures User Guide prior to implementing this measure.**

**DQA Measure Technical Specifications: Administrative Claims-Based Measures**

**Preventive Services for Children at Elevated Caries Risk, Oral Health Services**

**“Oral Health” Services**

**Description:** Percentage of enrolled children who are at “elevated” risk (i.e., “moderate” or “high”) who received a topical fluoride application and/or sealants as an oral health service within the reporting year

**Numerator:** Unduplicated number of children at “elevated” risk (i.e., “moderate” or “high”) who received a topical fluoride application and/or sealants as an oral health service

**Denominator:** Unduplicated number of enrolled children at “elevated” risk (i.e., “moderate” or “high”)

**Rate:** NUM/DEN

**Rationale:** Dental caries is the most common chronic disease in children in the United States (1). In 2009–2010, 14% of children aged 3–5 years had untreated dental caries. Among children aged 6–9 years, 17% had untreated dental caries, and among adolescents aged 13–15, 11% had untreated dental caries (2). Identifying caries early is important to reverse the disease process, prevent progression of caries, and reduce incidence of future lesions. Approximately three quarters of children younger than age 6 years did not have at least one visit to a dentist in the previous year (3). Evidence-based Clinical Recommendations recommend that sealants should be placed on pits and fissures of children’s primary and permanent teeth when it is determined that the tooth, or the patient, is at risk of experiencing caries (4). Evidence-based Clinical Recommendations suggest that topical fluoride should be applied at least every three to six months in children at elevated risk for caries (5).

**Rationale for “Oral Health” Services Specification:**

Apart from routine quality reporting, researchers and policy makers may wish to seek additional information regarding services provided by or under the supervision of a dentist versus otherwise. The DQA Measures User Guide provides additional information on categorization of “dental” and “oral health” services.

**Note:** Not all state Medicaid programs reimburse for “oral health” services up to age 21. Age stratifications may be used when interpreting this measure.

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**AHRQ Domain:** Use of Services

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1 Use of Services (Related Healthcare Delivery Measure): “Use of services is the provision of a service to, on behalf of, or by a group of persons identified by enrollment in a health plan or through use of clinical services. Use of service measures can assess encounters, tests, or interventions that are not supported by evidence for the appropriateness of the service for the specified individuals.” National Quality Measures Clearinghouse. Available at: http://www.qualitymeasures.ahrq.gov/about/domain-definitions.aspx. Accessed August 10, 2015.
IOM Aim: Equity, Effectiveness

Level of Aggregation: Health Plan/Program

Improvement Noted As: This measure should be interpreted in conjunction with the DQA measures: (1) Preventive Services for Children at Elevated Caries Risk, Dental Services and (2) Preventive Services for Children at Elevated Caries Risk, Dental or Oral Health Services. In general, a higher percentage of children at elevated risk for dental caries who receive topical fluoride or sealants during the reporting year indicates better performance. Contextual information relating to the overall health status of the population is also useful in interpreting measure scores. The measures can also be very useful longitudinally to monitor change over time for a particular program or plan.

Data Required: Administrative enrollment and claims data; single year for measurement (prior 3 years needed for risk determination). When using claims data to determine service receipt, include both paid and unpaid claims (including pending, suspended, and denied claims).

Measure purpose: Examples of questions that can be answered through this measure at each level of aggregation:

1. Among those enrolled, how many received preventive services as an oral health service (e.g., from a medical primary care provider or independently practicing dental hygienist)?
2. Over time, is the percentage of children who receive preventive services as an oral health service (e.g., from a medical primary care provider or independently practicing dental hygienist) stable, increasing, or decreasing?

Applicable Stratification Variables (Optional: Contact Program Official to determine reporting requirement)

1. Age (e.g., <1; 1-2; 3-5; 6-7; 8-9; 10-11; 12-14; 15-18; 19-20)
2. Payer Type (e.g., Medicaid; CHIP; private commercial benefit programs)
3. Program/Plan Type (e.g., traditional FFS; PPO; prepaid dental/DHMO)
4. Geographic Location (e.g., rural; suburban; urban)
5. Race
6. Ethnicity
7. Socioeconomic Status (e.g., premium or income category)

Measure Limitations:

- CDT codes do not distinguish between fluoride gel and fluoride foam. This measure assumes that all modes of topical fluoride application are equally effective
- This measure does not take into account alternate home-use fluoride products including supplements.
- This measure will not delineate those whose teeth have not erupted, those who already received sealants in prior years, and those with decayed/filled teeth not candidates for sealants.
- Some codes (i.e., a few endodontic codes) are included to identify children at elevated risk may also be reported for instances such as trauma and may contribute to some overestimation of children at “elevated risk.”
- Since the “elevated risk” determination requires an evaluation (to record CDT risk code) or treatment visit (to record a CDT treatment code), children who are enrolled but do not have a visit in the reporting year or a treatment visit in any of the prior three years will not have sufficient information to be included in the measure. While this is a limitation, the intent of this measure is to seek to understand whether children who can be positively identified as being at elevated risk receive the recommended preventive services.
Preventive Services (Oral Health Services) Calculation for Children at Elevated Caries Risk

1. Check if the enrollee meets age criterion\(^2\) at the last day of the reporting year:\(^3\)
   a. If age criterion is met, then proceed to next step.
   b. If age criterion is not met or there are missing or invalid field codes (e.g., birth date), then STOP processing. This enrollee does not get counted.

2. Check if subject is continuously enrolled for at least 180 days during the reporting year:\(^4\)
   a. If subject meets continuous enrollment criterion, then proceed to next step.
   b. If subject does not meet enrollment criterion, then STOP processing. This enrollee does not get counted.

YOU NOW HAVE THE COUNT OF THOSE WHO MEET THE AGE AND ENROLLMENT CRITERIA

3. Check if subject is at “elevated risk”:
   a. If subject meets \textit{ANY} of the following criteria, then include in \textit{denominator}:
      i. the subject has a CDT Code among those in Table 1 in the reporting year, \textit{OR}
      ii. the subject has a CDT Code among those in Table 1 in any of the three years prior to the reporting year, \textit{(NOTE: The subject does \textit{not} need to be enrolled in any of the prior three years for the denominator enrollment criteria; this is a “look back” for enrollees who do have claims experience in any of the prior three years.)} \textit{OR}
      iii. the subject has a visit with a CDT code = (D0602 or D0603) in the reporting year.
   b. If the subject does not meet any of the above criteria for elevated risk, then STOP processing. This enrollee will not be included in the measure denominator.

YOU NOW HAVE THE DENOMINATOR: Enrollees who are at “elevated risk”

4. Check if subject received topical fluoride or a sealant as an \textit{oral health service} during the reporting year:
   a. If [SERVICE CODE] = D1206 or D1208\(^5\) or D1351, \textit{AND}
   b. If [RENDERING PROVIDER TAXONOMY] code is a valid NUCC maintained Provider Taxonomy code but \textit{NOT} included in the NUCC maintained Provider Taxonomy Codes in Table 2 below, then include in \textit{numerator};\(^6\) STOP processing.
   c. If both a AND b are not met, then the service was not provided as an “oral health” service; STOP processing. This enrollee is already included in the denominator but will not be included in the numerator.

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\(^2\) \textit{Age:} Medicaid/CHIP programs use under age 21 (<21); Exchange quality reporting use under age 19 (<19); other programs check with program officials. This criterion should be reported with the measurement score.

\(^3\) \textit{Medicaid/CHIP programs should exclude those individuals who do not qualify for dental benefits.} The exclusion criteria should be reported along with the number and percentage of members excluded.

\(^4\) \textit{Enrollment in “same” plan vs. “any” plan:} At the state program level (e.g., Medicaid/CHIP) a criterion of “any” plan applies versus at the health plan (e.g., MCO) level a criterion of “same” plan applies. The criterion used should be reported with the measure score. While this prevents direct aggregation of results from plan to program, each entity is given due credit for the population it serves. Thus, states with multiple MCOs should not merely “add up” the plan level scores but should calculate the state score from their database to allow inclusion of individuals who may be continuously enrolled but might have switched plans in the interim.

\(^5\) \textit{Topical Fluoride codes:} For reporting years prior to 2013, use D1203 or D1204 or D1206.

\(^6\) \textit{Identifying “oral health” services:} Programs and plans that do not use standard NUCC maintained provider taxonomy codes should use a valid mapping to identify providers whose services would be categorized as “dental” or “oral health” services.
### Note 1:
Some states may use codes other than CDT codes to reimburse for fluoride. These codes should be included in the [SERVICE CODE] codes in addition to D1206 and D1208.

### Note 2:
In this step, all claims with missing or invalid SERVICE CODE or with missing or invalid NUCC maintained Provider Taxonomy Codes should be excluded.

YOU NOW HAVE NUMERATOR (NUM) COUNT: Enrollees at “elevated risk” who received a preventive service as an oral health service

5. Report  
   a. Unduplicated count of enrollees in numerator  
   b. Unduplicated count of enrollees in denominator  
   c. Measure rate (NUM/DEN)

<table>
<thead>
<tr>
<th>Table 1: CDT Codes to identify “elevated risk”</th>
</tr>
</thead>
<tbody>
<tr>
<td>D1354</td>
</tr>
<tr>
<td>D2140</td>
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<tr>
<td>D2150</td>
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<td>D2160</td>
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<td>D2161</td>
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<tr>
<td>D2391</td>
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<tr>
<td>D2392</td>
</tr>
</tbody>
</table>

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*Services provided by medical providers:* In some instances, CPT or other codes are used for reimbursement of oral health services e.g., medical primary care providers providing oral evaluation, risk assessment, anticipatory guidance or fluoride varnish. Details available at [AAP Table]. For such states these additional codes must be considered.
**Table 2: NUCC maintained Provider Taxonomy Codes classified as “Dental Service”**

<table>
<thead>
<tr>
<th>Code 1</th>
<th>Code 2</th>
<th>Code 3</th>
<th>Code 4</th>
<th>Code 5</th>
</tr>
</thead>
<tbody>
<tr>
<td>122300000X</td>
<td>1223P0106X</td>
<td>1223X0008X</td>
<td>125Q00000X</td>
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</tr>
<tr>
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<td>1223P0221X</td>
<td>1223X0400X</td>
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<td>1223P0300X</td>
<td>124Q00000X+</td>
<td>261QR1300X</td>
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</tr>
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<td>125J00000X</td>
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<tr>
<td>1223G0001X</td>
<td>1223S0112X</td>
<td>125K00000X</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Services provided by County Health Department dental clinics may also be included as “dental” services.

*Only dental hygienists who provide services under the supervision of a dentist should be classified as “dental” services. Services provided by independently practicing dental hygienists should be classified as “oral health” services.

*** Note: Reliability of the measure score depends on the quality of the data that are used to calculate the measure. The percentages of missing and invalid data for these data elements must be investigated prior to measurement. Data elements with high rates of missing or invalid data will adversely affect the subsequent counts that are recorded. For example, records with missing or invalid SERVICE CODE to identify topical fluoride or sealants may be counted in the denominator but not in the numerator. These records are assumed to not have had a qualifying service. In this case, a low quality data set will result in a measure score that will not be reliable.***
Child will be counted if any one of the following are present:
1. CDT code for moderate or high risk in the reporting year
2. Treatment code from Table 1 in reporting year
3. Treatment code from Table 1 in any one of the prior three years. Continuity of enrollment not required in prior years.

Use NUCC codes. Exclude records with missing or invalid codes. Some States may use different file types or custom codes to classify dental and oral health services.
Dental Quality Alliance Measures (Measures) and related data specifications, developed by the Dental Quality Alliance (DQA), are intended to facilitate quality improvement activities. These Measures are intended to assist stakeholders in enhancing quality of care. These performance Measures are not clinical guidelines and do not establish a standard of care. The DQA has not tested its Measures for all potential applications. Measures are subject to review and may be revised or rescinded at any time by the DQA. The Measures may not be altered without the prior written approval of the DQA. The DQA shall be acknowledged as the measure steward in any and all references to the measure. Measures developed by the DQA, while copyrighted, can be reproduced and distributed, without modification, for noncommercial purposes. Commercial use is defined as the sale, license, or distribution of the Measures for commercial gain, or incorporation of the Measures into a product or service that is sold, licensed or distributed for commercial gain. Commercial uses of the Measures require a license agreement between the user and DQA. Neither the DQA nor its members shall be responsible for any use of these Measures. THE MEASURES ARE PROVIDED “AS IS” WITHOUT WARRANTY OF ANY KIND Limited proprietary coding is contained in the Measure specifications for convenience. For Proprietary Codes: The code on Dental Procedures and Nomenclature is published in Current Dental Terminology (CDT). Copyright © 2018 American Dental Association (ADA). All rights reserved. This material contains National Uniform Claim Committee (NUCC) Health Care Provider Taxonomy codes (http://www.nucc.org/index.php?option=com_content&view=article&id=14&Itemid=125). Copyright © 2018 American Medical Association. All rights reserved. Users of the proprietary code sets should obtain all necessary licenses from the owners of these code sets. The DQA, American Dental Association (ADA), and its members disclaim all liability for use or accuracy of any terminologies or other coding contained in the specifications. THE SPECIFICATIONS ARE PROVIDED “AS IS” WITHOUT WARRANTY OF ANY KIND.